

HI Augmentations of \mathcal{L}_∞ spaces and spaces with very few operators

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The space $\mathcal{L}(X)$

Problem

Find methods of constructing Banach spaces X such that the elements of $\mathcal{L}(X)$ have desirable properties.

- Although there is a deep understanding on methods constructing Banach spaces with desirable properties the corresponding problem for the space $\mathcal{L}(X)$ does not have sufficient general answer.

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- In fact, the only general result in this direction is the **W. T. Gowers - B. Maurey** Theorem as was extended by **V. Ferenczi** on the structure of $\mathcal{L}(X)$ with X hereditarily indecomposable.
- Recent results yield Banach spaces with very few operators (i.e. spaces with the "scalar-plus-compact" property). The goal of the present talk is to discuss these recent developments.

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Hereditarily Indecomposable Banach spaces

- A Banach space X is said to be **Hereditarily Indecomposable** if no closed infinite dimensional subspace admits non trivial bounded linear projection.
- Every HI space does not contain subspaces with an unconditional basis.
- Also it is not a subspace of a space with an unconditional basis.
- Hence the two classes are completely separated and **Gowers' dichotomy** explains that every Banach space should contain a member of one of the above classes.

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Strictly Singular Operators

- An operator $T : X \rightarrow Y$ is called **strictly singular**, if its restriction on every infinite dimensional subspace of X is not an isomorphism.
- The class of strictly singular operators is very similar to the class of compact ones.
- For example, in many spaces X the ideal of strictly singular operators $\mathcal{S}(X)$ and the one of compact operators $\mathcal{K}(X)$ coincide. In particular, this is the case in $\ell_p(\mathbb{N})$ spaces.
- In the space $L^p(0, 1)$, $p \neq 2$ and $C[0, 1]$ the ideals $\mathcal{S}(X)$ and $\mathcal{K}(X)$ are different.

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Operators on HI Banach spaces

Among the seminal properties of Hereditarily Indecomposable Banach spaces is that they admit few operators in the following sense:

- (W. T. Gowers - B. Maurey) For every complex HI space X $\dim \mathcal{L}(X)/\mathcal{S}(X) = 1$
- (V. Ferenczi) For every real Banach X one of the following holds

$$\mathcal{L}(X)/\mathcal{S}(X) \cong \mathbb{R}, \quad \mathcal{L}(X)/\mathcal{S}(X) \cong \mathbb{C}, \quad \mathcal{L}(X)/\mathcal{S}(X) \cong \mathbb{H}$$

Where \mathbb{H} denotes the algebra of quaternions.

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The scalar-plus-compact problem

Problem (Scalar-plus-Compact)

Does there exist a Banach space X such that every operator $T : X \rightarrow X$ is of the form $\lambda I + K$, with $K : X \rightarrow X$ be a compact operator.

- This problem was stated by [J. Lindenstrauss](#) in 1969.
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The solution of the "Scalar-plus-Compact" problem

Theorem (S. A., R. Haydon, *Acta Math.* 2011)

There exists a \mathcal{L}_∞ Hereditarily Indecomposable Banach space \mathfrak{X}_K such that \mathfrak{X}_K^* is isomorphic to $\ell_1(\mathbb{N})$ and every $T : \mathfrak{X}_K \rightarrow \mathfrak{X}_K$ is of the form $T = \lambda I + K$ with K a compact operator.

- A separable space is a \mathcal{L}_∞ space, if it is the closure of the union of an increasing sequence of finite dimensional spaces, each one isomorphic to ℓ_∞ of its dimension, with a uniformly bounded constant.
- Since the space \mathfrak{X}_K has separable dual and it has a Schauder basis, we have that the space $\mathcal{L}(\mathfrak{X}_K)$ is separable.
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The solution of the "Scalar-plus-Compact" problem

- To build the space \mathfrak{X}_K we combine two fundamental methods for constructing non-classical Banach spaces.
- The first method due to *J. Bourgain* and *F. Delbaen* (Acta Math, 1980) concerns spaces with minimal \mathcal{L}_∞ structure.
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The solution of the "Scalar-plus-Compact" problem

- It is notable that the space \mathfrak{X}_K belongs to the class of HI spaces while its dual $\mathfrak{X}_K^* \cong \ell_1(\mathbb{N})$ is a space with an unconditional basis. This fact describes the extreme structure of \mathfrak{X}_K .

More spaces with the “scalar-plus-compact” property

Theorem (S. A., R. Haydon, Th. Raikoftsalis)

There exists a \mathcal{L}_∞ space \mathfrak{X} with the “scalar-plus-compact” property and $\ell_1 \hookrightarrow \mathfrak{X}$.

- This is clearly a non HI space with non separable dual and with very few operators.

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Theorem (S. A., D. Freeman, R. Haydon, E. Odell, Th. Raikoftsalis, Th. Schlumprecht, D. Zisimopoulou)

For every separable space X with X^* separable, there exists a \mathcal{L}_∞ space \mathfrak{X} with separable dual such that \mathfrak{X} contains a subspace \tilde{X} which is isomorphic to X , the quotient space \mathfrak{X}/\tilde{X} is hereditarily indecomposable and satisfies the “scalar-plus-compact” property.

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Theorem (S. A., D. Freeman, R. Haydon, E. Odell, Th. Raikoftsalis, Th. Schlumprecht, D. Zisimopoulou)

For every separable space X with X^* separable and X^{**} does not contain c_0 , there exists a \mathcal{L}_∞ space \mathfrak{X} with separable dual, the “scalar-plus-compact” property and $X \hookrightarrow \mathfrak{X}$.

The compact operators of \mathfrak{X}

Theorem (J. Lindenstrauss)

If \mathfrak{X} is a separable \mathcal{L}_∞ space and X a subspace of \mathfrak{X} , then the subalgebra \mathcal{A} of $\mathcal{K}(\mathfrak{X})$ consisting of all operators having X as an invariant subspace, has as quotient the compact operators on X .

General Bourgain-Delbaen construction

- The classical \mathcal{L}_∞ spaces are the spaces $C(K)$ with K a compact set.
- The **BD \mathcal{L}_∞ spaces** are spaces with **minimal \mathcal{L}_∞ structure**.
- For example, the spaces which will be presented admit an FDD i.e. $\mathfrak{X} = (\sum_{n \in \mathbb{N}} \oplus M_n)$ and for every $L \subset \mathbb{N}$ such that both $L, \mathbb{N} \setminus L$ are infinite the subspace $Y = \sum_{n \in L} \oplus M_n$ is a reflexive one.

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- BD \mathcal{L}_∞ spaces are exotic Banach spaces.
- The construction involves the choice of a separable subspace of $\ell_\infty(\mathbb{N})$ while the norm is the usual supremum norm.

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By induction we choose a sequence of finite sets $(\Delta_n)_{n=0}^{\infty}$ and we set $\Gamma_n = \cup_{k=0}^n \Delta_k$, $\Gamma = \cup_{n=0}^{\infty} \Delta_n$.

- Also by induction we choose linear

$$\phi_n : \ell_{\infty}(\Gamma_n) \rightarrow \ell_{\infty}(\Delta_{n+1})$$

and we define $i_{n,n+1} : \ell_{\infty}(\Gamma_n) \rightarrow \ell_{\infty}(\Gamma_{n+1})$ as follows.

$$i_{n,n+1}(f)(\gamma) = \begin{cases} f(\gamma), & \text{if } \gamma \in \Gamma_n, \\ \phi_n(f)(\gamma), & \text{if } \gamma \in \Delta_{n+1} \end{cases}$$

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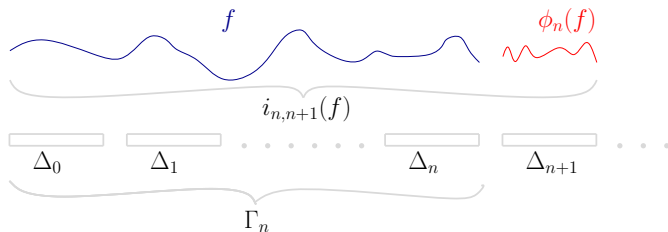
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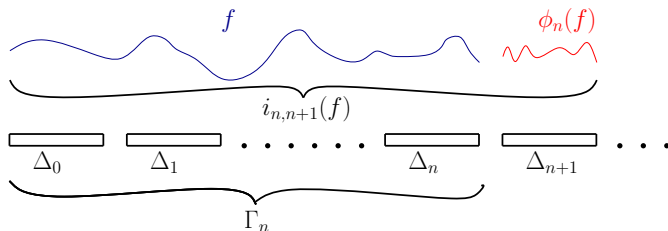
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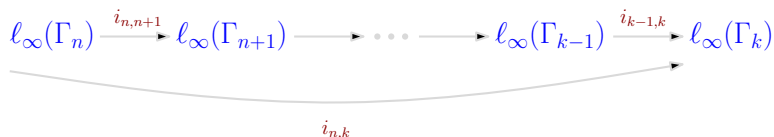


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For every $n < k$ we define $i_{n,k} : \ell_\infty(\Gamma_n) \rightarrow \ell_\infty(\Gamma_k)$ to be the composition described as follows.

$$\ell_\infty(\Gamma_n) \xrightarrow{i_{n,n+1}} \ell_\infty(\Gamma_{n+1}) \longrightarrow \cdots \longrightarrow \ell_\infty(\Gamma_{k-1}) \xrightarrow{i_{k-1,k}} \ell_\infty(\Gamma_k)$$


The diagram illustrates the composition of maps $i_{n,n+1}, \dots, i_{k-1,k}$ from $\ell_\infty(\Gamma_n)$ to $\ell_\infty(\Gamma_k)$. A long curved arrow labeled $i_{n,k}$ indicates the total composition.

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$i_{n,k}$

General Bourgain-Delbaen construction

A critical required property for the operators $i_{n,k}$ is that there exists a $C \geq 1$ such that $\|i_{n,k}\| \leq C$.

We set $\Gamma = \cup_{n=0}^{\infty} \Delta_n$ and we define

$$i_n : \ell_{\infty}(\Gamma_n) \rightarrow \ell_{\infty}(\Gamma) \text{ as } i_n(f) = \varprojlim i_{n,k}(f).$$

The operators i_n are well defined since for every $n < p \leq k$, if $f \in \ell_{\infty}(\Gamma_n)$ and $\gamma \in \Delta_p$ we have that

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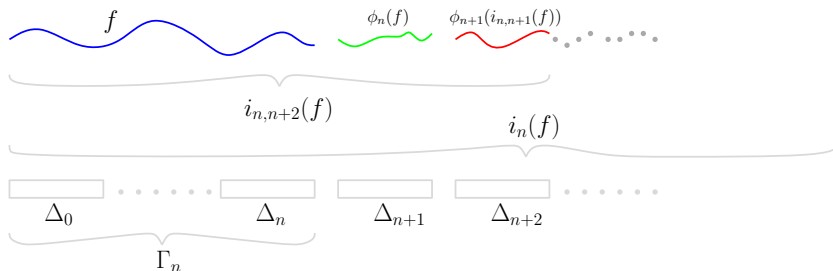
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$$i_n : \ell_{\infty}(\Gamma_n) \rightarrow \ell_{\infty}(\Gamma) \text{ as } i_n(f) = \varprojlim i_{n,k}(f).$$

The operators i_n are well defined since for every $n < p \leq k$, if $f \in \ell_{\infty}(\Gamma_n)$ and $\gamma \in \Delta_p$ we have that

$$i_{n,p}(f)(\gamma) = i_{n,k}(f)(\gamma).$$

General Bourgain-Delbaen construction

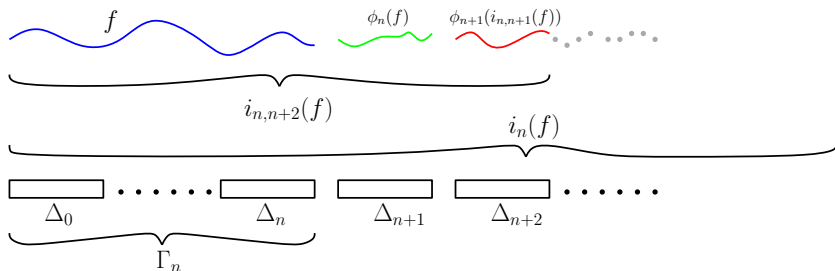


- If $\|i_{n,k}\| \leq C$ then $i_n(f) \in \ell_\infty(\Gamma)$.

$$\|f\| \leq \|i_n(f)\| \leq C\|f\|.$$

- Hence $\ell_\infty(\Gamma_n) \simeq^C i_n(\ell^\infty(\Gamma_n))$.

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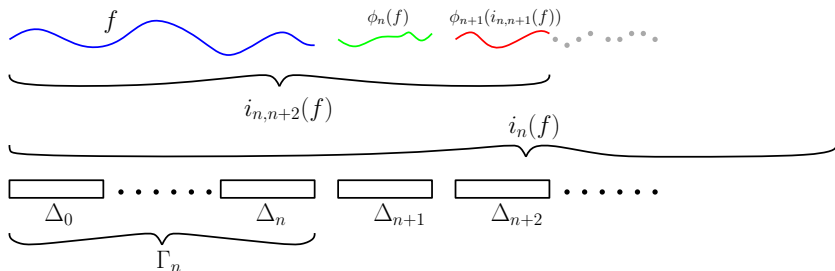


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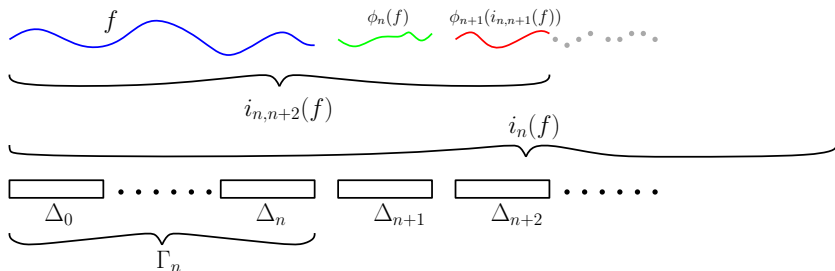


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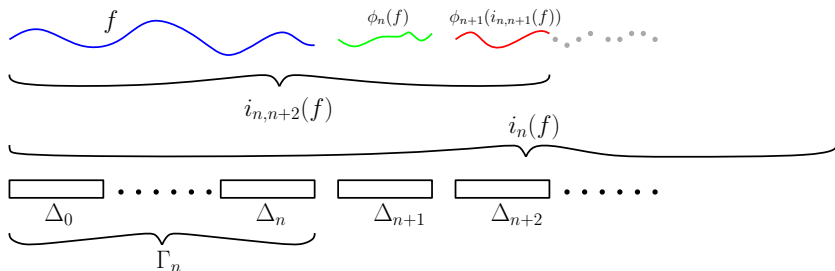


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General Bourgain-Delbaen construction

We set $\mathfrak{X}(\Gamma) = \overline{\bigcup_{n=1}^{\infty} i_n(\ell_{\infty}(\Gamma_n))} \hookrightarrow \ell_{\infty}(\Gamma)$.

- Clearly the space $\mathfrak{X}(\Gamma)$ is \mathcal{L}_{∞} space.
- The variety of BD- \mathcal{L}_{∞} spaces arises from the different $\phi_n : \ell_{\infty}(\Gamma_n) \rightarrow \ell_{\infty}(\Delta_{n+1})$ one can define.
- In general, each ϕ_n is determined by a finite family $\{\mathbf{c}_{\gamma}^* : \gamma \in \Delta_{n+1}\}$ where each $\mathbf{c}_{\gamma}^* : \ell_{\infty}(\Gamma_n) \rightarrow \mathbb{R}$.

$$\ell_{\infty}(\Gamma_n) \ni f \longrightarrow \phi_n(f) = \{\mathbf{c}_{\gamma}^*(f) : \gamma \in \Delta_{n+1}\}.$$

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Hereditarily Indecomposable \mathcal{L}_∞ spaces

- The choice of $\{c_\gamma^* : \gamma \in \Gamma\}$ such that the resulting \mathcal{L}_∞ space is HI.
- The space will be depended on two sequences $(\lambda_j)_j, (F_j)_j$ of parameters where
- $(\lambda_j)_j$ is a decreasing to zero sequence of positive reals.
- Each F_j is a compact family of finite subsets of \mathbb{N} .

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- For each $\gamma \in \Gamma = \cup_{n=0}^\infty \Delta_n$, inductively, we assign an identity

$$id(\gamma) = (n, j(\gamma), F(\gamma)),$$

such that

- $\gamma \in \Delta_n$.
- $j(\gamma) \in \mathbb{N}$ and it is the $weight(\gamma)$.
- $F(\gamma) \in \mathcal{F}_{j(\gamma)}$ and it is the $history(\gamma)$.

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We define $c_\gamma^* : \ell_\infty(\Gamma_{n-1}) \rightarrow \mathbb{R}$ as

- $c_\gamma^*(f) = f(\eta) + \lambda_j b^*(f - i_{q,n}(f|_{\Gamma_q})).$

$$\eta \in \Delta_q, id(\eta) = (q, j(\eta) = j(\gamma), F(\eta) = F(\gamma) \setminus \{n\})$$

- $b^* \in B_{\ell_1(\Gamma_n \setminus \Gamma_q)}.$

- If $j = 2k - 1$, then $b^* = e_\xi^*$ with $weight(\xi)$ uniquely determined by η .

- The definition of c_γ^* imposes the HI structure in the space \mathfrak{X}_K . In particular, the c_γ^* with $weight(\gamma)$ odd are the conditional functionals and they are closely related to the definition of the norming set in the Gowers Maurey construction.

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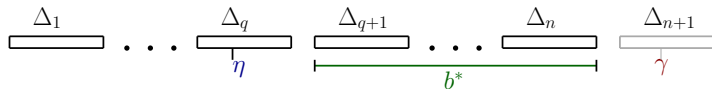
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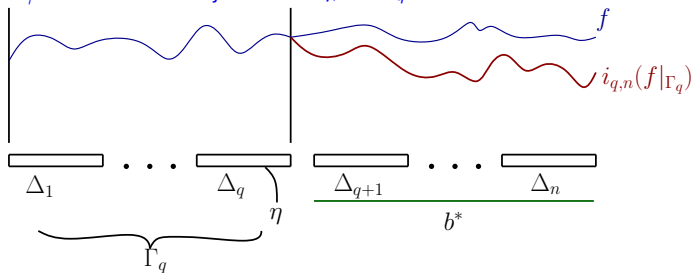
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Argyros Haydon \mathcal{L}_∞ space

- The Argyros Haydon hereditarily indecomposable and \mathcal{L}_∞ space \mathfrak{X}_k is a BD construction of the previous form which is based in the following parameters.
- A strictly increasing sequence of natural numbers $(m_j)_j$ with $m_1 \geq 4$. (where $\lambda_j = \frac{1}{m_j}$)
- The sequence of compact families \mathcal{A}_{n_j} such that $(n_j)_j$ is strictly increasing sequences of natural numbers growing faster than $(m_j)_j$, where

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The scalar-plus-compact property of \mathfrak{X}_K

- The space \mathfrak{X}_K is HI. Therefore every $T \in \mathcal{L}(\mathfrak{X}_K)$ is of the form $\lambda I + S$ with S strictly singular operator.
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- The space \mathfrak{X}_K is HI. Therefore every $T \in \mathcal{L}(\mathfrak{X}_K)$ is of the form $\lambda I + S$ with S strictly singular operator.
- The scalar-plus-compact property of \mathfrak{X}_K , (i.e. $\mathcal{S}(\mathfrak{X}_K) = \mathcal{K}(\mathfrak{X}_K)$) is heavily depended on the \mathcal{L}_∞ structure of the space \mathfrak{X}_K .
- The proof is based on the following facts which occur in the \mathcal{L}_∞ setting.
- For every $\gamma \in \Gamma$ we have assigned the weight of γ .
- The space \mathfrak{X}_K has local unconditional structure.

The scalar-plus-compact property of \mathfrak{X}_K

- A sequence $(x_k)_{k \in \mathbb{N}}$ is a **block sequence** if there exist:
- $p_1 < q_1 < \dots < p_k < q_k < \dots$ and $y_k \in \ell_\infty(\cup_{k=p_k}^{q_k} \Delta_k)$ such that $x_k = i_{q_k}(y_k)$.
- We define the local support x_k as
 $\text{supp}_{loc}(x_k) = \text{supp}(y_k) = \{\gamma \in \Gamma : y_k(\gamma) \neq 0\}$ and

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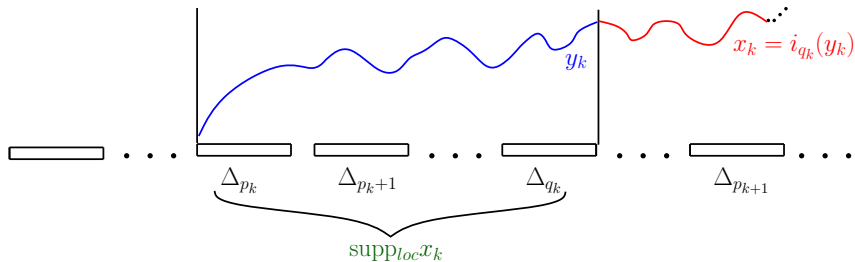
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The scalar-plus-compact property of \mathfrak{X}_K



The scalar-plus-compact property of \mathfrak{X}_K

Proposition

Let $(x_k)_{k \in \mathbb{N}}$ be a normalized block sequence such that either
(i) the sequence $(G_{x_k})_{k \in \mathbb{N}}$ is uniformly bounded, or
(ii) The sequence $(G_{x_k})_{k \in \mathbb{N}}$ is strictly increasing.
Then for every strictly singular operator $S : \mathfrak{X}_K \rightarrow \mathfrak{X}_K$ we have
that $\|S(x_k)\| \rightarrow 0$.

The scalar-plus-compact property of \mathfrak{X}_K

Proposition

Let $T : \mathfrak{X}_K \rightarrow \mathfrak{X}_K$ be a bounded linear non compact operator. Then there exists a normalized block sequence $(x_k)_{k \in \mathbb{N}}$ such that $(G_{x_k})_{k \in \mathbb{N}}$ is either uniformly bounded or strictly increasing and $\|T(x_k)\| \not\rightarrow 0$.

The scalar-plus-compact property of \mathfrak{X}_K

Theorem

Let $T : \mathfrak{X}_K \rightarrow \mathfrak{X}_K$ be a bounded linear operator. Then there exists a scalar λ such that the operator $K = T - \lambda I$ is compact, where $I : \mathfrak{X}_K \rightarrow \mathfrak{X}_K$ is the identity operator.

HI- Augmentations

- Let $\mathfrak{X}(\Gamma)$, $\Gamma = \cup_{n=0}^{\infty} \Delta_n$ be a BD \mathcal{L}_{∞} space with separable dual (i.e. $\mathfrak{X}(\Gamma)^* \simeq \ell_1$).
- Let also X be a subspace of $\mathfrak{X}(\Gamma)$ such that X^{\perp} is of infinite dimension and \mathbb{Q} well disposed in $\ell_1(\Gamma)$, i.e.

$$\{f \in X^{\perp} : f \text{ finite rational combination of } e_{\gamma}^*\}$$

is dense in X^{\perp} .

- Combining a recent result of D. Freeman, E. Odell, Th. Schlumprecht, (Math. Ann., 2011) with some classical results of W.B. Johnson, H.P. Rosenthal and M. Zippin we conclude that for every Banach space X with separable dual there exists a BD \mathcal{L}_{∞} space $\mathfrak{X}(\Gamma)$ such that X^{\perp} is \mathbb{Q} well disposed in $\ell_1(\Gamma)$.

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Let $\mathfrak{X}(\Gamma)$, X be as before.

A BD \mathcal{L}_∞ space $\mathfrak{X}(\tilde{\Gamma})$ is an **HI Augmentation of the pair $(\mathfrak{X}(\Gamma), X)$** if the following are satisfied:

- $\tilde{\Gamma} = \cup_{n=0}^{\infty} \tilde{\Delta}_n$ and $\Delta_n \subset \tilde{\Delta}_n$ (Hence $\Gamma \subset \tilde{\Gamma}$).
- There exists a linear bounded operator $\Phi : \mathfrak{X}(\tilde{\Gamma}) \rightarrow \mathfrak{X}(\Gamma)$.
- There exists a subspace \tilde{X} of $\mathfrak{X}(\tilde{\Gamma})$ such that $\Phi(\tilde{X}) = X$ and $\Phi|_{\tilde{X}}$ is an isomorphism.
- The quotient space $\mathfrak{X}(\tilde{\Gamma})/\tilde{X}$ is HI and satisfies the scalar-plus-compact property.
- If ℓ_1 does not embed complementarily into X^* , then $\mathfrak{X}(\tilde{\Gamma})$ satisfies the scalar-plus-compact property.

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Theorem (*S. A., D. Freeman, R. Haydon, E. Odell, Th. Raikoftsalis, Th. Schlumprecht, D. Zisimopoulou*)

For every separable space X with X^* separable, there exists a \mathcal{L}_∞ space \mathfrak{X} with separable dual such that \mathfrak{X} contains a subspace \tilde{X} which is isomorphic to X , the quotient space \mathfrak{X}/\tilde{X} is hereditarily indecomposable and satisfies the “scalar-plus-compact” property.

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Theorem (S. A., D. Freeman, R. Haydon, E. Odell, Th. Raikoftsalis, Th. Schlumprecht, D. Zisimopoulou)

For every separable space X with X^* separable and X^{**} does not contain c_0 , there exists a \mathcal{L}_∞ space \mathfrak{X} with separable dual, the “scalar-plus-compact” property and $X \hookrightarrow \mathfrak{X}$.

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Every separable reflexive Banach space embeds to a \mathcal{L}_∞ space with the scalar-plus-compact property

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- The HI Augmentation of the pair $(\mathfrak{X}(\Gamma), X)$ essentially **augments the initial set Γ** .
- We start with two sequences of parameters $(\lambda_j)_j, (F_j)_j$, where $(\lambda_j)_j$ is a decreasing to zero sequence of positive reals and $(F_j)_j$ is a sequence of compact families of \mathbb{N} with **complexity greater than the local ℓ_1 complexity of $\mathfrak{X}(\Gamma)$** .
- The sets $\tilde{\Delta}_n$ are recursively chosen and for every $\gamma \in \tilde{\Delta}_n$ we define a BD functional $\tilde{c}_\gamma^* : \ell_\infty(\tilde{\Gamma}_{n-1}) \rightarrow \mathbb{R}$ such that:

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- We consider the following subspace of $\mathfrak{X}(\tilde{\Gamma}) \hookrightarrow \ell_\infty(\tilde{\Gamma})$

$$\tilde{X} = \{y \in \mathfrak{X}(\tilde{\Gamma}) : y|_{\tilde{\Gamma} \setminus \Gamma} = 0, y|_{\Gamma} \in X\}.$$

- We also define $\Phi : \mathfrak{X}(\tilde{\Gamma}) \rightarrow \mathfrak{X}(\Gamma)$ by the rule

$$\Phi(z) = z|_{\Gamma}$$

- It follows from our construction that \tilde{X} , Φ are well defined and

$$\Phi(\tilde{X}) = X.$$

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