

# Computation of analytic capacity and application to the subadditivity problem

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(To appear in *Computational Methods and Function Theory*.)

## Notation for the whole talk:

- $K :=$  a compact subset of  $\mathbb{C}$
- $\Omega :=$  the unbounded component of  $\mathbb{C}_\infty \setminus K$

## Analytic capacity of $K$

$$\gamma(K) := \max \left\{ |f'(\infty)| : f \in H^\infty(\Omega), \|f\|_\infty \leq 1 \right\}.$$

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- If the max  $> 0$ , it is attained by a unique  $f$  (Ahlfors function). Automatically  $f(\infty) = 0$ .

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## Elementary properties

- $K \subset L \Rightarrow \gamma(K) \leq \gamma(L)$
- $K_n \downarrow K \Rightarrow \gamma(K_n) \downarrow \gamma(K)$
- $\gamma(\alpha K + \beta) = |\alpha| \gamma(K)$
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## Examples

- $\gamma(\text{disk}) = \text{radius}$
- If  $K \subset \mathbb{R}$  then  $\gamma(K) = |K|/4$
- $m_1(K) = 0 \Rightarrow \gamma(K) = 0 \Rightarrow m_{1+\epsilon}(K) = 0$

# Upper and lower bounds for analytic capacity

Assume  $K$  bounded by  $N$  disjoint piecewise-analytic Jordan curves. Write  $E^2(\Omega)$  for Smirnov class on  $\Omega$  (analogue of Hardy space  $H^2$ ).

Upper bound theorem (Garabedian 1949, Havinson 1964)

$$\gamma(K) = \min \left\{ \frac{1}{2\pi} \int_{\partial\Omega} |g^*(z)|^2 |dz| : g \in E^2(\Omega), g(\infty) = 1 \right\}$$



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Lower bound theorem (Younsi-R., 2013)

$$\gamma(K) = \max \left\{ 2 \operatorname{Re} h'(\infty) - \frac{1}{2\pi} \int_{\partial\Omega} |h^*(z)|^2 |dz| : h \in E^2(\Omega), h(\infty) = 0 \right\}$$

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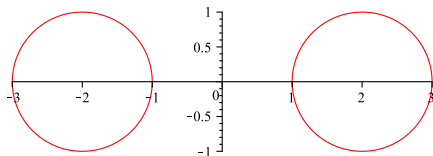
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- Theorems are well suited to numerical calculations.
- Extremal  $g, h$  expressible via Szegő kernel and Ahlfors function

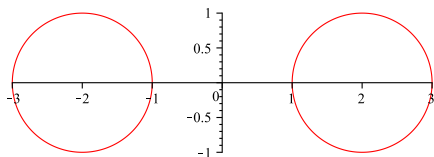
## Example 1: $K =$ union of two disks



$$\gamma(K) = \sqrt{3}(\theta_2(7 - 4\sqrt{3}))^2 \approx 1.8755950190971197289$$

(where  $\theta_2$  is the Jacobi theta function) (Murai, 1994)

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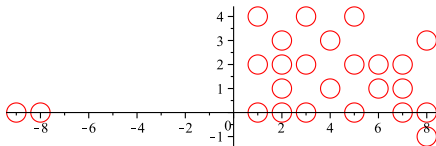
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### Numerical results

poles/disk	lower bound	upper bound
1	1.8750000000000000	1.8828125000000000
5	1.875593064023693	1.875619764386366
9	1.875595017927203	1.875595038756883
13	1.875595019096871	1.875595019097141
17	<b>1.875595019097112</b>	<b>1.875595019097164</b>

## Example 2: $K =$ union of 25 disjoint disks of equal radius



Exact value of  $\gamma(K)$  is unknown

### Numerical results

poles/disk	lower bound	upper bound	seconds
1	4.073652478223290	4.219704181009330	0.2
5	4.148169157685863	4.148514554979665	3.7
9	4.148331342401185	4.148332498165111	11.6
13	4.148331931858607	4.148331938572625	24.8
17	<b>4.148331934292544</b>	<b>4.148331934334756</b>	41.3

## Example 3: $K = \text{square}$

$K = \text{square with vertices at } \pm 1, \pm i$

$$\gamma(K) = \sqrt{2}\Gamma(1/4)^2/(4\pi^{3/2}) \approx 0.83462684167407318630.$$

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**Numerical results with  $g, h \in \text{span}\{z^{-j} : j = 1, \dots, n\}$**

n	lower bound	upper bound
10	0.761941423753061	0.881014562149127
15	0.770723484232218	0.877175902241141
20	0.776589045256849	0.872341829081944
25	0.784189460107018	0.870656623669828
30	0.786857803378602	0.869257904380382
35	0.789068961951613	0.868068649269412
40	0.790942498354322	0.866133165258689



## Example 3: $K = \text{square}$ (continued)

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### Numerical results

$g, h \in \text{span}\{z^{-j}, z^{-j}(1 - a/z)^{-1/6} : a = \pm 1, \pm i, j = 1, \dots, n\}$

n	lower bound	upper bound
2	0.834566926465074	0.835066810881929
3	0.834609482283050	0.834678782816948
4	0.834622127643984	0.834628966618492
5	0.834626255962448	0.834627566559480
6	0.834626584020641	0.834627152182154

## Example 4: $K =$ rational lemniscate

Let  $a_1, \dots, a_n, b_1, \dots, b_n \in \mathbb{C}$  and consider

$$K := \left\{ z \in \mathbb{C} : \left| \sum_{j=1}^n \frac{a_j}{z - b_j} \right| \geq 1 \right\}$$

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Theorem (Fortier Bourque–Younsi, 2013)

Assume that  $K$  has  $n$  components. If  $a_j$  are positive and  $b_j$  are collinear, then  $\gamma(K) = \sum_{j=1}^n a_j$ .

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Theorem (Fortier Bourque–Younsi, 2013)

Assume that  $K$  has  $n$  components. If  $a_j$  are positive and  $b_j$  are collinear, then  $\gamma(K) = \sum_{j=1}^n a_j$ .

What if the  $b_j$  are not collinear?

## Example 4: $K =$ rational lemniscate (continued)

$$K := \left\{ z \in \mathbb{C} : \left| \sum_{j=1}^n \frac{a_j}{z - b_j} \right| \geq 1 \right\}$$

Try  $(a_1, a_2, a_3) = (0.4, 0.4, 0.4)$  and  $(b_1, b_2, b_3) = (0, 6, 1 + i)$ .  
In this case  $K$  has 3 components.

poles/component	lower bound	upper bound
1	1.125853723035751	1.203267502101022
2	1.197416632904951	1.201353200697178
3	1.199380567900335	1.200524665448821
4	1.200219059439418	1.200426277666660
5	1.200321460719667	1.200387399481300
6	1.200361472698255	1.200378783416171
7	1.200370456320151	1.200375934512287

So  $\gamma(K) \neq \sum_{j=1}^n a_j$  in this case.

# The subadditivity problem

Theorem (Tolsa, 2003)

$\gamma(K \cup L) \leq A(\gamma(K) + \gamma(L))$  where  $A$  is an absolute constant.

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- Yes if  $\gamma(K) = 0$
- Yes if  $K, L \subset \mathbb{R}$  (Pommerenke, 1960)
- Yes if  $K, L$  disjoint connected sets (Suita, 1994)

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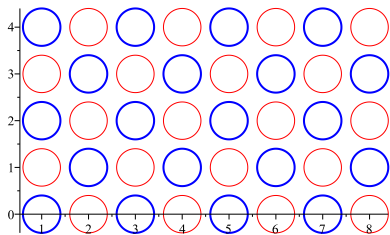
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Proposition (Younsi-R, based on results of Melnikov)

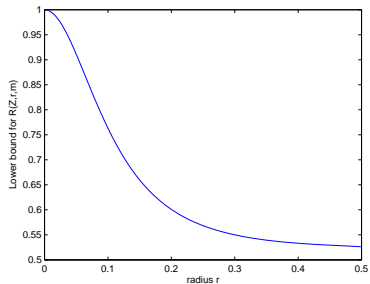
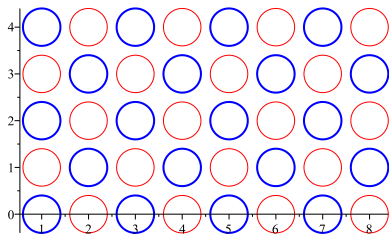
*Subadditivity holds provided it holds for  $K = \cup_1^n K_j$  and  $L = \cup_1^m L_j$ , where  $K_1, \dots, K_n, L_1, \dots, L_m$  are disjoint disks of equal radius.*



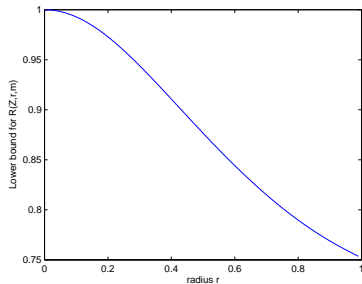
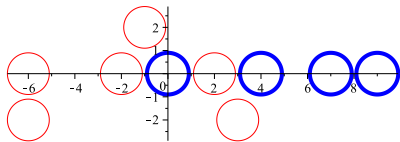
# Graph of $\gamma(K \cup L)/(\gamma(K) + \gamma(L))$ against $r$



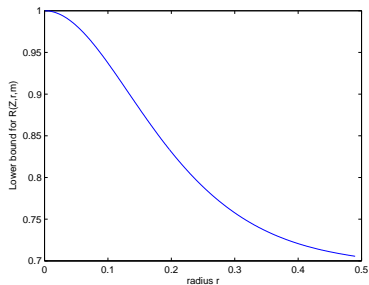
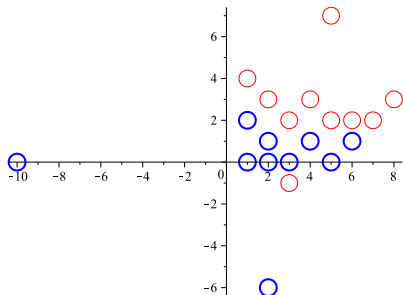
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**Notation:** Let  $z_1, \dots, z_n$  and  $w_1, \dots, w_m$  be distinct points in  $\mathbb{C}$  and let  $\delta$  be the minimum separation between them. For  $r > 0$ , set

$$K_r := \cup_{j=1}^n \overline{D}(z_j, r) \quad \text{and} \quad L_r := \cup_{j=1}^m \overline{D}(w_j, r).$$

Conjecture (Younsi–R)

$R(r) := \frac{\gamma(K_r \cup L_r)}{\gamma(K_r) + \gamma(L_r)}$  is a decreasing function for  $0 < r < \delta/2$ .

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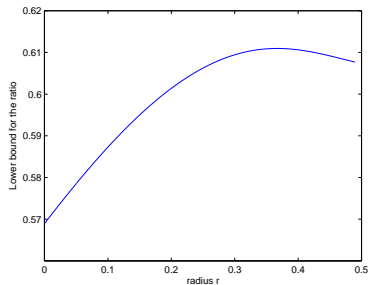
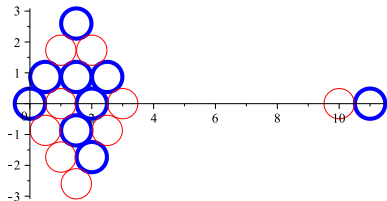
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- $R(r) = 1 - ar^2 + O(r^3)$  as  $r \rightarrow 0$ , for some  $a > 0$  (Melnikov)
- If conjecture is true, then  $\gamma$  is subadditive.
- Conjecture is true for  $n = m = 1$  (proof via elliptic functions).

# A cautionary example: where only two radii vary



**Tack så mycket!**