

Dual Banach
algebras: an
overview

Volker Runde

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Dual Banach algebras: an overview

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Dual Banach algebras: the definition

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Definition

A **dual Banach algebra** is a pair $(\mathfrak{A}, \mathfrak{A}_*)$ of Banach spaces such that:

- 1 $\mathfrak{A} = (\mathfrak{A}_*)^*$;
- 2 \mathfrak{A} is a Banach algebra, and multiplication in \mathfrak{A} is separately $\sigma(\mathfrak{A}, \mathfrak{A}_*)$ continuous.

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Examples

- 1 Every W^* -algebra;
- 2 $(M(G), C_0(G))$ for every locally compact group G ;
- 3 $(M(S), C(S))$ for every compact, **semitopological semigroup** S ;
- 4 $(B(G), C^*(G))$ for every locally compact group G ;
- 5 $(B(E), E \otimes^\gamma E^*)$ for every reflexive Banach space E ;
- 6 Let \mathfrak{A} be a Banach algebra and let \mathfrak{A}^{**} be equipped with either **Arens product**. Then $(\mathfrak{A}^{**}, \mathfrak{A}^*)$ is a dual Banach algebra if and only if \mathfrak{A} is **Arens regular**;
- 7 If $(\mathfrak{A}, \mathfrak{A}_*)$ is a dual Banach algebra and \mathfrak{B} is a $\sigma(\mathfrak{A}, \mathfrak{A}_*)$ closed subalgebra of \mathfrak{A} , then $(\mathfrak{B}, \mathfrak{A}_*/{}^\perp\mathfrak{B})$ is a dual Banach algebra.

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Question

Given a dual Banach algebra $(\mathfrak{A}, \mathfrak{A}_*)$, is \mathfrak{A}_* unique, i.e., if E_1 and E_2 are Banach spaces such that (\mathfrak{A}, E_1) and (\mathfrak{A}, E_2) are dual Banach algebras, do $\sigma(\mathfrak{A}, E_1)$ and $\sigma(\mathfrak{A}, E_2)$ coincide on \mathfrak{A} ?

Theorem (S. Sakai, 1956)

The predual space of a W^ -algebra is unique.*

Theorem (M. Daws, H. L. Pham, S. White, 2009)

*Let \mathfrak{A} be an Arens regular Banach algebra such that \mathfrak{A}^{**} is unital. Then \mathfrak{A}^* is the unique predual of \mathfrak{A} .*

Uniqueness of the predual, II

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But...

Example

Let $\mathfrak{A} = \ell^1$ with trivial multiplication, i.e., $fg = 0$ for all $f, g \in \ell^1$. Then (ℓ^1, c_0) and (ℓ^1, c) are both dual Banach algebras. If $\sigma(\ell^1, c_0)$ and $\sigma(\ell^1, c)$ coincided on ℓ^1 , then the induced images of c_0 and c in ℓ^∞ would have to coincide. This is impossible because the unit ball of c has extreme points whereas the one of c_0 has none.

Question

Are there “more natural” examples of dual Banach algebras with non-unique preduals?

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Indeed...

Theorem (M. Daws, et al., 2012)

There is a family $(E_t)_{t \in \mathbb{R}}$ of Banach spaces such that:

- 1** $(\ell^1(\mathbb{Z}), E_t)$ is a dual Banach algebra for each $t \in \mathbb{R}$ where $\ell^1(\mathbb{Z})$ is equipped with the convolution product;
- 2** $E_t \cong c_0$ for each $t \in \mathbb{R}$;
- 3** $\sigma(\ell^1(\mathbb{Z}), E_t) \neq \sigma(\ell^1(\mathbb{Z}), E_s)$ for $t \neq s$.

Still, ...

Even though the predual \mathfrak{A}_* of a dual Banach algebra $(\mathfrak{A}, \mathfrak{A}_*)$ need not be unique, there is in many cases a canonical choice for \mathfrak{A}_* , e.g., $M(G)_* = \mathcal{C}_0(G)$. In such cases, we usually suppose tacitly that we are dealing with that particular \mathfrak{A}_* , and simply call \mathfrak{A} a dual Banach algebra.

Daws' representation theorem

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Recall. . .

$(\mathcal{B}(E), E \otimes^{\gamma} E^*)$ is a dual Banach algebra for reflexive E , as is each of its weak* closed subalgebras.

Theorem (M. Daws, 2007)

Let $(\mathfrak{A}, \mathfrak{A}_)$ be a dual Banach algebra. Then there are a reflexive Banach space E and an isometric, $\sigma(\mathfrak{A}, \mathfrak{A}_*)$ -weak* continuous algebra homomorphism $\pi : \mathfrak{A} \rightarrow \mathcal{B}(E)$.*

In short. . .

Every dual Banach algebra “is” a weak* closed subalgebra of $\mathcal{B}(E)$ for some reflexive E .

A bicommutant theorem

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Question

Does von Neumann's bicommutant theorem extend to general dual Banach algebras?

Example

Let

$$\mathfrak{A} := \left\{ \begin{bmatrix} a & b \\ 0 & c \end{bmatrix} : a, b, c \in \mathbb{C} \right\}.$$

Then $\mathfrak{A} \subset \mathcal{B}(\mathbb{C}^2)$ is a dual Banach algebra, but $\mathfrak{A}'' = \mathcal{B}(\mathbb{C}^2)$.

Theorem (M. Daws, 2010)

Let \mathfrak{A} be a unital dual Banach algebra. Then there are a reflexive Banach space E and a unital, isometric, weak-weak* continuous algebra homomorphism $\pi : \mathfrak{A} \rightarrow \mathcal{B}(E)$ such that $\pi(\mathfrak{A}) = \pi(\mathfrak{A})''$.*

Banach \mathfrak{A} -bimodules and derivations

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Definition

Let \mathfrak{A} be a Banach algebra, and let E be a Banach \mathfrak{A} -bimodule. A bounded linear map $D : \mathfrak{A} \rightarrow E$ is called a **derivation** if

$$D(ab) := a \cdot Db + (Da) \cdot b \quad (a, b \in \mathfrak{A}).$$

If there is $x \in E$ such that

$$Da = a \cdot x - x \cdot a \quad (a \in \mathfrak{A}),$$

we call D an **inner derivation**.

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Remark

If E is a Banach \mathfrak{A} -bimodule, then so is E^* :

$$\langle x, a \cdot \phi \rangle := \langle x \cdot a, \phi \rangle \quad (a \in \mathfrak{A}, \phi \in E^*, x \in E)$$

and

$$\langle x, \phi \cdot a \rangle := \langle a \cdot x, \phi \rangle \quad (a \in \mathfrak{A}, \phi \in E^*, x \in E).$$

We call E^* a **dual Banach \mathfrak{A} -bimodule**.

Definition (B. E. Johnson, 1972)

\mathfrak{A} is called **amenable** if, for every Banach \mathfrak{A} -bimodule E , every **derivation** $D : \mathfrak{A} \rightarrow E^*$, is **inner**.

Amenability for groups and Banach algebras

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Theorem (B. E. Johnson, 1972)

The following are equivalent for a locally compact group G :

- 1** $L^1(G)$ is an amenable Banach algebra;
- 2** the group G is amenable.

Theorem (H. G. Dales, F. Ghahramani, & A. Ya. Helemskiĭ, 2002)

The following are equivalent:

- 1** $M(G)$ is amenable;
- 2** G is amenable and discrete.

Amenable C^* -algebras

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Theorem (A. Connes, U. Haagerup, et al.)

The following are equivalent for a C^ -algebra \mathfrak{A} :*

- 1 \mathfrak{A} is nuclear;
- 2 \mathfrak{A} is amenable.

Theorem (S. Wasserman, 1976)

The following are equivalent for a von Neumann algebra \mathfrak{M} :

- 1 \mathfrak{M} is nuclear;
- 2 \mathfrak{M} is *subhomogeneous*, i.e.,

$$\mathfrak{M} \cong M_{n_1}(\mathfrak{M}_1) \oplus \cdots \oplus M_{n_k}(\mathfrak{M}_k)$$

with $n_1, \dots, n_k \in \mathbb{N}$ and $\mathfrak{M}_1, \dots, \mathfrak{M}_k$ abelian.

Virtual diagonals

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Definition (B. E. Johnson, 1972)

An element $\mathbf{D} \in (\mathfrak{A} \otimes^{\gamma} \mathfrak{A})^{**}$ is called a **virtual diagonal** for \mathfrak{A} if

$$a \cdot \mathbf{D} = \mathbf{D} \cdot a \quad (a \in \mathfrak{A})$$

and

$$a \Delta^{**} \mathbf{D} = a \quad (a \in \mathfrak{A}),$$

where $\Delta : \mathfrak{A} \otimes^{\gamma} \mathfrak{A} \rightarrow \mathfrak{A}$ denotes multiplication.

Theorem (B. E. Johnson, 1972)

\mathfrak{A} is amenable if and only if \mathfrak{A} has a virtual diagonal.

Normality

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Definition (R. Kadison, BEJ, & J. Ringrose, 1972)

Let \mathfrak{M} be a von Neumann algebra, and let E be a dual Banach \mathfrak{M} -bimodule. Then:

- 1 E is called **normal** if the module actions

$$\mathfrak{M} \times E \rightarrow E, \quad (a, x) \mapsto \begin{cases} a \cdot x \\ x \cdot a \end{cases}$$

are separately weak*-weak* continuous;

- 2 if E is normal, we call a derivation $D : \mathfrak{M} \rightarrow E$ **normal** if it is weak*-weak* continuous.

Connes-amenability for von Neumann algebras

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Theorem (R. Kadison, BEJ, & J. Ringrose, 1972)

Suppose that \mathfrak{M} is a von Neumann algebra containing a weak dense amenable C^* -subalgebra. Then, for every normal Banach \mathfrak{M} -bimodule E , every normal derivation $D : \mathfrak{M} \rightarrow E$ is inner.*

Definition (A. Connes, 1976; A. Ya. Helemskiĭ, 1991)

A von Neumann algebra \mathfrak{M} is **Connes-amenable** if, for every normal Banach \mathfrak{M} -bimodule E , every normal derivation $D : \mathfrak{M} \rightarrow E$ is inner.

Corollary

If \mathfrak{A} is an amenable C^ -algebra, then \mathfrak{A}^{**} is Connes-amenable.*

Injectivity, semidiscreteness, and hyperfiniteness

Definition

A von Neumann algebra $\mathfrak{M} \subset \mathcal{B}(\mathfrak{H})$ is called

- 1 **injective** if there is a norm one projection $\mathcal{E} : \mathcal{B}(\mathfrak{H}) \rightarrow \mathfrak{M}'$ (this property is independent of the representation of \mathfrak{M} on \mathfrak{H});
- 2 **semidiscrete** if there is a net $(S_\lambda)_\lambda$ of unital, weak*-weak* continuous, completely positive finite rank maps such that

$$S_\lambda a \xrightarrow{\text{weak}^*} a \quad (a \in \mathfrak{M});$$

- 3 **hyperfinites** if there is a directed family $(\mathfrak{M}_\lambda)_\lambda$ of finite-dimensional *-subalgebras of \mathfrak{M} such that $\bigcup_\lambda \mathfrak{M}_\lambda$ is weak* dense in \mathfrak{M} .

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Connes-amenability, and injectivity, etc.

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Theorem (A. Connes, et al.)

The following are equivalent:

- 1 \mathfrak{M} is Connes-amenable;
- 2 \mathfrak{M} is injective;
- 3 \mathfrak{M} is semidiscrete;
- 4 \mathfrak{M} is hyperfinite.

Corollary

A C^* -algebra \mathfrak{A} is amenable *if and only if* \mathfrak{A}^{**} is Connes-amenable.

Amenability and Connes-amenability, I

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The notions of normality and Connes-amenability make sense for **every** dual Banach algebra...

Proposition

Let \mathfrak{A} be a dual Banach algebra, and let \mathfrak{B} be a norm closed, amenable subalgebra of \mathfrak{A} that is weak dense in \mathfrak{A} . Then \mathfrak{A} is Connes-amenable.*

Question

Suppose that \mathfrak{A} is a Connes-amenable, dual Banach algebra. Does it have a norm closed, weak* dense, amenable subalgebra?

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Corollary

*If \mathfrak{A} is amenable and Arens regular. Then \mathfrak{A}^{**} is Connes-amenable.*

Question

Suppose that \mathfrak{A} is Arens regular such that \mathfrak{A}^{**} is Connes-amenable. Is then \mathfrak{A} amenable?

Theorem (VR, 2001)

*Suppose that \mathfrak{A} is Arens regular and an ideal in \mathfrak{A}^{**} . Then the following are equivalent:*

- 1 \mathfrak{A} is amenable;
- 2 \mathfrak{A}^{**} is Connes-amenable.

Amenability and Connes-amenability, III

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Corollary

Let E be reflexive and have the approximation property. Then the following are equivalent:

- 1 $\mathcal{K}(E)$ is amenable;
- 2 $\mathcal{B}(E)$ is Connes-amenable.

Example (N. Grønbæk, BEJ, & G. A. Willis, 1994)

Let $p, q \in (1, \infty) \setminus \{2\}$ such that $p \neq q$. Then $\mathcal{K}(\ell^p \oplus \ell^q)$ is **not** amenable. Hence, $\mathcal{B}(\ell^p \oplus \ell^q)$ is not Connes-amenable.

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Notation

For a dual Banach algebra \mathfrak{A} , let $\mathcal{B}_\sigma^2(\mathfrak{A}, \mathbb{C})$ denote the separately weak* continuous bilinear functionals on \mathfrak{A} .

Observations

- 1 $\mathcal{B}_\sigma^2(\mathfrak{A}, \mathbb{C})$ is a closed submodule of $(\mathfrak{A} \otimes^\gamma \mathfrak{A})^*$.
- 2 $\Delta^* \mathfrak{A}_* \subset \mathcal{B}_\sigma^2(\mathfrak{A}, \mathbb{C})$, so that $\Delta^{**} : (\mathfrak{A} \otimes^\gamma \mathfrak{A})^{**} \rightarrow \mathfrak{A}^{**}$ drops to a bimodule homomorphism $\Delta_\sigma : \mathcal{B}_\sigma^2(\mathfrak{A}, \mathbb{C})^* \rightarrow \mathfrak{A}$.

Normal, virtual diagonals, II

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Definition (E. G. Effros, 1988 (for von Neumann algebras))

Let \mathfrak{A} be a dual Banach algebra. Then $\mathbf{D} \in \mathcal{B}_\sigma^2(\mathfrak{A}, \mathbb{C})^*$ is called a **normal, virtual diagonal** for \mathfrak{A} if

$$a \cdot \mathbf{D} = \mathbf{D} \cdot a \quad (a \in \mathfrak{A})$$

and

$$a \Delta_\sigma \mathbf{D} = a \quad (a \in \mathfrak{A}).$$

Proposition

Suppose that \mathfrak{A} has a normal, virtual diagonal. Then \mathfrak{A} is Connes-amenable.

Normal, virtual diagonals and Connes-amenability

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Question

Is the converse true?

Theorem (E. G. Effros, 1988)

A von Neumann algebra \mathfrak{M} is Connes-amenable if and only if \mathfrak{M} has a normal virtual diagonal.

Theorem (VR, 2003)

The following are equivalent for a locally compact group G :

- 1** G is amenable;
- 2** $M(G)$ is Connes-amenable;
- 3** $M(G)$ has a normal virtual diagonal.

Weakly almost periodic functions

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Definition

A bounded continuous function $f : G \rightarrow \mathbb{C}$ is called **weakly almost periodic** if $\{L_x f : x \in G\}$ is relatively weakly compact in $\mathcal{C}_b(G)$. We set

$$\mathcal{WAP}(G) := \{f \in \mathcal{C}_b(G) : f \text{ is weakly almost periodic}\}.$$

Remark

$\mathcal{WAP}(G)$ is a commutative C^* -algebra. Its character space $G_{\mathcal{WAP}}$ is a compact, semitopological semigroup containing G as a dense subsemigroup. This turns $\mathcal{WAP}(G)^* \cong M(G_{\mathcal{WAP}})$ into a dual Banach algebra.

Connes-amenability without a normal, virtual diagonal

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Proposition

The following are equivalent:

- 1 G is amenable;
- 2 $WAP(G)^*$ is Connes-amenable.

Theorem (VR, 2006 & 2013)

Suppose that G has small invariant neighborhoods, e.g. is compact, discrete, or abelian. Then the following are equivalent:

- 1 $WAP(G)^*$ has a normal virtual diagonal;
- 2 G is compact.

Minimally weakly almost periodic groups, I

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Definition

A bounded continuous function $f : G \rightarrow \mathbb{C}$ is called **almost periodic** if $\{L_x f : x \in G\}$ is relatively compact in $\mathcal{C}_b(G)$. We set

$$\mathcal{AP}(G) := \{f \in \mathcal{C}_b(G) : f \text{ is almost periodic}\}.$$

We call G **minimally weakly almost periodic (m.w.a.p.)** if

$$\mathcal{WAP}(G) = \mathcal{AP}(G) + \mathcal{C}_0(G).$$

Minimally weakly almost periodic groups, II

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Proposition

Suppose that G is amenable and m.w.a.p. Then $\mathcal{WAP}(G)$ has a normal virtual diagonal.

Examples

- 1 All compact groups are m.w.a.p.
- 2 $\mathrm{SL}(2, \mathbb{R})$ is m.w.a.p., but **not amenable**.
- 3 The **motion group** $\mathbb{R}^N \rtimes \mathrm{SO}(N)$ is m.w.a.p. for $N \geq 2$ and amenable.

Question

Does $\mathcal{WAP}(G)^*$ have a normal virtual diagonal if and only if G is amenable and m.w.a.p.?

Quasi-expectations

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Theorem (J. Tomiyama, 1970)

Let \mathfrak{A} be a C^* -algebra, let \mathfrak{B} be a C^* -subalgebra of \mathfrak{A} , and let $\mathcal{E} : \mathfrak{A} \rightarrow \mathfrak{B}$ be a norm one projection, an **expectation**. Then

$$\mathcal{E}(abc) = a(\mathcal{E}b)c \quad (a, c \in \mathfrak{B}, b \in \mathfrak{A}).$$

Definition

Let \mathfrak{A} be a Banach algebra, and let \mathfrak{B} be a closed subalgebra. A bounded projection $Q : \mathfrak{A} \rightarrow \mathfrak{B}$ is called a **quasi-expectation** if

$$Q(abc) = a(Qb)c \quad (a, c \in \mathfrak{B}, b \in \mathfrak{A}).$$

Quasi-expectations and injectivity

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Theorem (J. W. Bunce & W. L. Paschke, 1978)

The following are equivalent for a von Neumann algebra $\mathfrak{M} \subset \mathcal{B}(\mathfrak{H})$:

- 1 \mathfrak{M} is injective;
- 2 there is a quasi-expectation $Q: \mathcal{B}(\mathfrak{H}) \rightarrow \mathfrak{M}'$.

“Definition”

We call a dual Banach algebra \mathfrak{A} “injective” if there are a reflexive Banach space E , an isometric, weak*-weak* continuous algebra homomorphism $\pi: \mathfrak{A} \rightarrow \mathcal{B}(E)$, and a quasi-expectation $Q: \mathcal{B}(E) \rightarrow \pi(\mathfrak{A})'$.

Connes-amenability and injectivity, I

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Easy...

Connes-amenability implies “injectivity”, but...

Example

For $p, q \in (1, \infty) \setminus \{2\}$ with $p \neq q$, $\mathcal{B}(\ell^p \oplus \ell^q)$ is not Connes-amenable, but trivially “injective”.

Definition (M. Daws, 2007)

A dual Banach algebra \mathfrak{A} is called **injective** if, **for each** reflexive Banach space E and **for each** weak*-weak* continuous algebra homomorphism $\pi : \mathfrak{A} \rightarrow \mathcal{B}(E)$, there is a quasi-expectation $Q : \mathcal{B}(E) \rightarrow \pi(\mathfrak{A})'$.

Connes-amenability and injectivity, II

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Theorem (M. Daws, 2007)

The following are equivalent for a dual Banach algebra \mathfrak{A} :

- 1 \mathfrak{A} is injective;
- 2 \mathfrak{A} is Connes-amenable.

Question

Let \mathfrak{A} be a Connes-amenable, dual Banach algebra, let E be a reflexive Banach space, and let $\pi : \mathfrak{A} \rightarrow \mathcal{B}(E)$ be an isometric, weak*-weak* continuous algebra homomorphism. Is there a quasi-expectation $\mathcal{Q} : \mathcal{B}(E) \rightarrow \pi(\mathfrak{A})$?

And now something completely (not quite)
different. . .

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ABSTRACT HARMONIC ANALYSIS, **BANACH**, AND OPERATOR **ALGEBRAS**

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