#### Euclid alone

Has looked on Beauty bare. Fortunate they Who, though once only and then but far away, Have heard her massive sandal set on stone.

Edna St. Vincent Millay (1923)

The origins of the natural numbers 1, 2, 3, 4, 5, 6, ... are lost in the mists of time. We have no knowledge of who first realized that there is a certain concept of "threeness" that applies equally well to three rocks, three stars, and three people. From the very beginnings of recorded history, numbers have inspired an endless fascination—mystical, aesthetic, and practical as well. It is not just the numbers themselves, of course, that command attention. Far more intriguing are the relationships that numbers exhibit, one with another. It is within these profound and often subtle relationships that one finds the Beauty¹ so strikingly described in Edna St. Vincent Millay's poem. Here is another description by a celebrated twentieth-century philosopher.

Mathematics, rightly viewed, possesses not only truth, but supreme beauty—a beauty cold and austere, like that of sculpture, without appeal to any part of our weaker nature, without the gorgeous trappings of paintings or music, yet sublimely pure, and capable of a stern perfection such as only the greatest art can show. (Bertrand Russell, 1902)

The Theory of Numbers is that area of mathematics whose aim is to uncover the many deep and subtle relationships between different sorts of numbers. To take a simple example, many people through the ages have been intrigued by the square numbers 1, 4, 9, 16, 25, .... If we perform the experiment of adding together pairs

<sup>&</sup>lt;sup>1</sup>Euclid, indeed, has looked on Beauty bare, and not merely the beauty of geometry that most people associate with his name. Number theory is prominently featured in Books VII, VIII, and IX of Euclid's famous *Elements*.

of square numbers, we will find that occasionally we get another square. The most famous example of this phenomenon is

$$3^2 + 4^2 = 5^2$$

but there are many others, such as

$$5^2 + 12^2 = 13^2$$
,  $20^2 + 21^2 = 29^2$ ,  $28^2 + 45^2 = 53^2$ .

Triples like (3, 4, 5), (5, 12, 13), (20, 21, 29), and (28, 45, 53) have been given the name Pythagorean triples.<sup>2</sup> Based on this experiment, anyone with a lively curiosity is bound to pose various questions, such as "Are there infinitely many Pythagorean triples?" and "If so, can we find a formula that describes all of them?" These are the sorts of questions dealt with by number theory.

As another example, consider the problem of finding the remainder when the huge number

$$32478543^{743921429837645}\\$$

is divided by 54817263. Here's one way to solve this problem. Take the number 32478543, multiply it by itself 743921429837645 times, use long division to divide by 54817263, and take the remainder. In principle, this method will work, but in practice it would take far longer than a lifetime, even on the world's fastest computers. Number theory provides a means for solving this problem, too. "Wait a minute," I hear you say, "Pythagorean triples have a certain elegance that is pleasing to the eye, but where is the beauty in long division and remainders?" The answer is not in the remainders themselves, but in the use to which such remainders can be put. In a striking turn of events, mathematicians have shown how the solution of this elementary remainder problem (and its inverse) leads to the creation of simple codes that are so secure that even the National Security Agency<sup>3</sup> is unable to break them. So much for G.H. Hardy's singularly unprophetic remark that "no one has yet discovered any warlike purpose to be served by the theory of numbers or relativity, and it seems very unlikely that anyone will do so for many years."

The land of Number Theory is populated by a variety of exotic flora and fauna. There are square numbers and prime numbers and odd numbers and perfect numbers (but no square-prime numbers and, as far as anyone knows, no odd-perfect

<sup>&</sup>lt;sup>2</sup>In fairness, it should be mentioned that the Babylonians compiled large tables of "Pythagorean" triples many centuries before Pythagoras was born.

<sup>&</sup>lt;sup>3</sup>The National Security Agency (NSA) is the arm of the United States government charged with data collection, code making, and code breaking. The NSA, with a budget larger than that of the CIA, is the single largest employer of mathematicians in the world.

<sup>&</sup>lt;sup>4</sup>A Mathematician's Analogy, 828, G.H. Hardy, Camb. Univ. Press, 1940.

numbers). There are Fermat equations and Pell equations, Pythagorean triples and elliptic curves, Fibonacci's rabbits, unbreakable codes, and much, much more. You will meet all these creatures, and many others, as we journey through the Theory of Numbers.

#### Guide for the Instructor

This book is designed to be used as a text for a one-semester or full-year course in undergraduate number theory or for an independent study or reading course. It contains approximately two semesters' worth of material, so the instructor of a one-semester course will have some flexibility in the choice of topics. The first 11 chapters are basic, and probably most instructors will want to continue through the RSA cryptosystem in Chapter 18, since in my experience this is one of the students' favorite topics.

There are now many ways to proceed. Here are a few possibilities that seem to fit comfortably into one semester, but feel free to slice-and-dice the later chapters to fit your own tastes.

- Chapters 20–32. Primitive roots, quadratic reciprocity, sums of squares, Pell's equation, and Diophantine approximation. (Add Chapters 39 and 40 on continued fractions if time permits.)
- Chapters 28–32 & 43–48. Fermat's equation for exponent 4, Pell's equation, Diophantine approximation, elliptic curves, and Fermat's Last Theorem.
- Chapters 29–37 & 39–40. Pell's equation, Diophantine approximation, Gaussian integers transcendental numbers, binomial coefficients, linear recurrences, and continued fractions.
- Chapters 19–25 & 36–38. Primality testing, primitive roots, quadratic reciprocity, binomial coefficients, linear recurrences, big-Oh notation. (This syllabus is designed in particular for students planning further work in computer science or cryptography.)

In any case, a good final project is to have the students read a few of the omitted chapters and do the exercises.

Most of the nonnumerical nonprogramming exercises in this book are designed to foster discussion and experimentation. They do not necessarily have "correct" or "complete" answers. Many students will find this extremely disconcerting at first, so it must be stressed repeatedly. You can make your students feel more at ease by prefacing such questions with the phrase "Tell me as much as you can about ...." Tell your students that accumulating data and solving special cases are

not merely acceptable, but encouraged. On the other hand, tell them that there is no such thing as a complete solution, since the solution of a good problem always raises additional questions. So if they can fully answer the specific question given in the text, their next task is to look for generalizations and for limitations on the validity of their solution.

Aside from a few clearly marked exercises, calculus is required only in two late chapters (Big-Oh notation in Chapter 38 and Generating Functions in Chapter 41). If the class has not taken calculus, these chapters may be omitted with no harm to the flow of the material.

Number theory is not easy, so there's no point in trying to convince the students that it is. Instead, this book will show your students that they are capable of mastering a difficult subject and experiencing the intense satisfaction of intellectual discovery. Your reward as the instructor is to bask in the glow of their endeavors.

### Computers, Number Theory, and This Book

At this point I would like to say a few words about the use of computers in conjunction with this book. I neither expect nor desire that the reader make use of a high-level computer package such as Maple, Mathematica, PARI, or Derive, and most exercises (except as otherwised indicated) can be done with a simple pocket calculator. To take a concrete example, studying greatest common divisors (Chapter 5) by typing GCD [M, N] into a computer is akin to studying electronics by turning on a television set. Admittedly, computers allow one to do examples with large numbers, and you will find such computer-generated examples scattered through the text, but our ultimate goal is always to understand concepts and relationships. So if I were forced to make a firm ruling, yea or nay, regarding computers, I would undoubtedly forbid their use.

However, just as with any good rule, certain exceptions will be admitted. First, one of the best ways to understand a subject is to explain it to someone else; so if you know a little bit of how to write computer programs, you will find it extremely enlightening to explain to a computer how to perform the algorithms described in this book. In other words, don't rely on a canned computer package; do the programming yourself. Good candidates for such treatment are the Euclidean algorithm (Chapters 5–6) the RSA cryptosystem (Chapters 16–18), quadratic reciprocity (Chapter 25), writing numbers as sums of two squares (Chapters 26–27), primality testing (Chapter 19), and generating rational points on elliptic curves (Chapter 43).

The second exception to the "no computer rule" is generation of data. Discovery in number theory is usually based on experimentation, which may involve examining reams of data to try to distinguish underlying patterns. Computers are

well suited to generating such data and also sometimes to assist in searching for patterns, and I have no objection to their being used for these purposes.

I have included a number of computer exercises and computer projects to encourage you to use computers properly as tools to help understand and investigate the theory of numbers. Some of these exercises can be implemented on a small computer (or even a programmable calculator), while others require more sophisticated machines and/or programming languages. Exercises and projects requiring a computer are marked by the symbol  $\square$ .

For many of the projects I have not given a precise formulation, since part of the project is to decide exactly what the user should input and exactly what form the output should take. Note that a good computer program must include all the following features:

- Clearly written documentation explaining what the program does, how to use it, what quantities it takes as input, and what quantities it returns as output.
- Extensive internal comments explaining how the program works.
- Complete error handling with informative error messages. For example, if a = b = 0, then the gcd(a, b) routine should return the error message "gcd(0, 0) is undefined" instead of going into an infinite loop or returning a "division by zero" error.

As you write your own programs, try to make them user friendly and as versatile as possible, since ultimately you will want to link the pieces together to form your own package of number theoretic routines.

The moral is that computers are useful as a tool for experimentation and that you can learn a lot by teaching a computer how to perform number theoretic calculations, but when you are first learning a subject, prepackaged computer programs merely provide a crutch that prevent you from learning to walk on your own.

### Chapter 1

## What Is Number Theory?

Number theory is the study of the set of positive whole numbers

$$1, 2, 3, 4, 5, 6, 7, \ldots,$$

which are often called the set of *natural numbers*. We will especially want to study the *relationships* between different sorts of numbers. Since ancient times, people have separated the natural numbers into a variety of different types. Here are some familiar and not-so-familiar examples:

```
1, 3, 5, 7, 9, 11, \dots
odd
                  2, 4, 6, 8, 10, \ldots
even
                  1, 4, 9, 16, 25, 36, \dots
square
cube
                  1, 8, 27, 64, 125, \dots
prime
                  2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, \dots
                  4, 6, 8, 9, 10, 12, 14, 15, 16, \dots
composite
1 (modulo 4)
                 1, 5, 9, 13, 17, 21, 25, \dots
3 (modulo 4)
                 3, 7, 11, 15, 19, 23, 27, \dots
triangular
                  1, 3, 6, 10, 15, 21, \dots
perfect
                  6, 28, 496, \dots
Fibonacci
                  1, 1, 2, 3, 5, 8, 13, 21, \dots
```

Many of these types of numbers are undoubtedly already known to you. Others, such as the "modulo 4" numbers, may not be familiar. A number is said to be congruent to 1 (modulo 4) if it leaves a remainder of 1 when divided by 4, and similarly for the 3 (modulo 4) numbers. A number is called triangular if that number of pebbles can be arranged in a triangle, with one pebble at the top, two pebbles in the next row, and so on. The Fibonacci numbers are created by starting with 1 and 1. Then, to get the next number in the list, just add the previous two. Finally, a number is perfect if the sum of all its divisors, other than itself, adds back up to the

original number. Thus, the numbers dividing 6 are 1, 2, and 3, and 1+2+3=6. Similarly, the divisors of 28 are 1, 2, 4, 7, and 14, and

$$1+2+4+7+14=28$$
.

We will encounter all these types of numbers, and many others, in our excursion through the Theory of Numbers.

### **Some Typical Number Theoretic Questions**

The main goal of number theory is to discover interesting and unexpected relationships between different sorts of numbers and to prove that these relationships are true. In this section we will describe a few typical number theoretic problems, some of which we will eventually solve, some of which have known solutions too difficult for us to include, and some of which remain unsolved to this day.

Sums of Squares I. Can the sum of two squares be a square? The answer is clearly "YES"; for example  $3^2 + 4^2 = 5^2$  and  $5^2 + 12^2 = 13^2$ . These are examples of *Pythagorean triples*. We will describe all Pythagorean triples in Chapter 2.

Sums of Higher Powers. Can the sum of two cubes be a cube? Can the sum of two fourth powers be a fourth power? In general, can the sum of two  $n^{\rm th}$  powers be an  $n^{\rm th}$  power? The answer is "NO." This famous problem, called *Fermat's Last Theorem*, was first posed by Pierre de Fermat in the seventeenth century, but was not completely solved until 1994 by Andrew Wiles. Wiles's proof uses sophisticated mathematical techniques that we will not be able to describe in detail, but in Chapter 28 we will prove that no fourth power is a sum of two fourth powers, and in Chapter 48 we will sketch some of the ideas that go into Wiles's proof.

**Infinitude of Primes.** A *prime number* is a number p whose only factors are 1 and p.

- Are there infinitely many prime numbers?
- Are there infinitely many primes that are 1 modulo 4 numbers?
- Are there infinitely many primes that are 3 modulo 4 numbers?

The answer to all these questions is "YES." We will prove these facts in Chapters 12 and 24 and also discuss a much more general result proved by Lejeune Dirichlet in 1837.

Sums of Squares II. Which numbers are sums of two squares? It often turns out that questions of this sort are easier to answer first for primes, so we ask which (odd) prime numbers are a sum of two squares. For example,

Do you see a pattern? Possibly not, since this is only a short list, but a longer list leads to the conjecture that p is a sum of two squares if it is congruent to 1 (modulo 4). In other words, p is a sum of two squares if it leaves a remainder of 1 when divided by 4, and it is not a sum of two squares if it leaves a remainder of 3. We will prove that this is true in Chapter 26.

Number Shapes. The square numbers are the numbers 1, 4, 9, 16, ... that can be arranged in the shape of a square. The triangular numbers are the numbers 1, 3, 6, 10, ... that can be arranged in the shape of a triangle. The first few triangular and square numbers are illustrated in Figure 1.1.

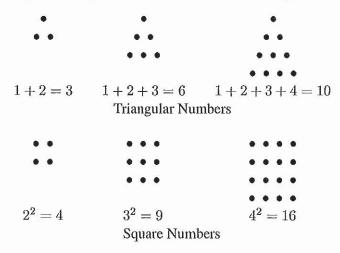


Figure 1.1: Numbers that form interesting shapes

A natural question to ask is whether there are any triangular numbers that are also square numbers (other than 1). The answer is "YES," the smallest example being

$$36 = 6^2 = 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8.$$

So we might ask whether there are more examples and, if so, are there in-

finitely many? To search for examples, the following formula is helpful:

$$1+2+3+\cdots+(n-1)+n=\frac{n(n+1)}{2}.$$

There is an amusing anecdote associated with this formula. One day when the young Karl Friedrich Gauss (1777–1855) was in grade school, his teacher became so incensed with the class that he set them the task of adding up all the numbers from 1 to 100. As Gauss's classmates dutifully began to add, Gauss walked up to the teacher and presented the answer, 5050. The story goes that the teacher was neither impressed nor amused, but there's no record of what the next make-work assignment was!

There is an easy geometric way to verify Gauss's formula, which may be the way he discovered it himself. The idea is to take two triangles consisting of  $1+2+\cdots+n$  pebbles and fit them together with one additional diagonal of n+1 pebbles. Figure 1.2 illustrates this idea for n=6.

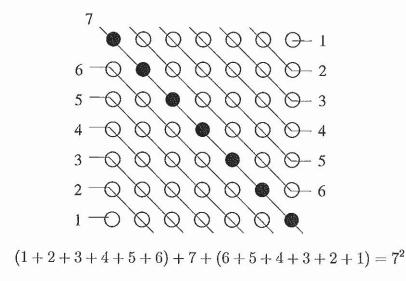


Figure 1.2: The sum of the first n integers

In the figure, we have marked the extra n+1=7 pebbles on the diagonal with black dots. The resulting square has sides consisting of n+1 pebbles, so in mathematical terms we obtain the formula

$$2(1+2+3+\cdots+n)+(n+1)=(n+1)^2,$$

Now we can subtract n+1 from each side and divide by 2 to get Gauss's formula.

**Twin Primes.** In the list of primes it is sometimes true that consecutive odd numbers are both prime. We have boxed these *twin primes* in the following list of primes less than 100:

Are there infinitely many twin primes? That is, are there infinitely many prime numbers p such that p+2 is also a prime? At present, no one knows the answer to this question.



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**Primes of the Form**  $N^2+1$ . If we list the numbers of the form  $N^2+1$  taking  $N=1,2,3,\ldots$ , we find that some of them are prime. Of course, if N is odd, then  $N^2+1$  is even, so it won't be prime unless N=1. So it's really only interesting to take even values of N. We've highlighted the primes in the following list:

$$2^{2} + 1 = 5$$
  $4^{2} + 1 = 17$   $6^{2} + 1 = 37$   $8^{2} + 1 = 65 = 5 \cdot 13$   
 $10^{2} + 1 = 101$   $12^{2} + 1 = 145 = 5 \cdot 29$   $14^{2} + 1 = 197$   
 $16^{2} + 1 = 257$   $18^{2} + 1 = 325 = 5^{2} \cdot 13$   $20^{2} + 1 = 401$ .

It looks like there are quite a few prime values, but if you take larger values of N you will find that they become much rarer. So we ask whether there are infinitely many primes of the form  $N^2 + 1$ . Again, no one presently knows the answer to this question

We have now seen some of the types of questions that are studied in the Theory of Numbers. How does one attempt to answer these questions? The answer is that Number Theory is partly experimental and partly theoretical. The experimental part normally comes first; it leads to questions and suggests ways to answer them. The theoretical part follows; in this part one tries to devise an argument that gives a conclusive answer to the questions. In summary, here are the steps to follow:

- 1. Accumulate data, usually numerical, but sometimes more abstract in nature.
- 2. Examine the data and try to find patterns and relationships.
- 3. Formulate conjectures (that is, guesses) that explain the patterns and relationships. These are frequently given by formulas.
- 4. Test your conjectures by collecting additional data and checking whether the new information fits your conjectures.
- 5. Devise an argument (that is, a proof) that your conjectures are correct.

All five steps are important in number theory and in mathematics. More generally, the scientific method always involves at least the first four steps. Be wary of any purported "scientist" who claims to have "proved" something using only the first three. Given any collection of data, it's generally not too difficult to devise numerous explanations. The true test of a scientific theory is its ability to predict the outcome of experiments that have not yet taken place. In other words, a scientific theory only becomes plausible when it has been tested against new data. This is true of all real science. In mathematics one requires the further step of a proof, that is, a logical sequence of assertions, starting from known facts and ending at the desired statement.

### Exercises

- 1.1. The first two numbers that are both squares and triangles are 1 and 36. Find the next one and, if possible, the one after that. Can you figure out an efficient way to find triangular—square numbers? Do you think that there are infinitely many?
- 1.2. Try adding up the first few odd numbers and see if the numbers you get satisfy some sort of pattern. Once you find the pattern, express it as a formula. Give a geometric verification that your formula is correct.
- **1.3.** The consecutive odd numbers 3, 5, and 7 are all primes. Are there infinitely many such "prime triplets"? That is, are there infinitely many prime numbers p so that p+2 and p+4 are also primes?
- 1.4. It is generally believed that infinitely many primes have the form  $N^2 + 1$ , although no one knows for sure.

- (a) Do you think that there are infinitely many primes of the form  $N^2 1$ ?
- (b) Do you think that there are infinitely many primes of the form  $N^2-2$ ?
- (c) How about of the form  $N^2 3$ ? How about  $N^2 4$ ?
- (d) Which values of a do you think give infinitely many primes of the form  $N^2 a$ ?
- 1.5. The following two lines indicate another way to derive the formula for the sum of the first n integers by rearranging the terms in the sum. Fill in the details.

$$1+2+3+\cdots+n=(1+n)+(2+(n-1))+(3+(n-2))+\cdots$$
$$=(1+n)+(1+n)+(1+n)+\cdots.$$

In particular, how many copies of n+1 are in there in the second line? (You may need to consider the cases of odd n and even n separately. If that's not clear, try first writing it out explicitly for n=6 and n=7.)

## Chapter 2

## **Pythagorean Triples**

The Pythagorean Theorem, that "beloved" formula of all high school geometry students, says that the sum of the squares of the sides of a right triangle equals the square of the hypotenuse. In symbols,

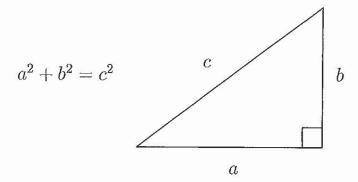


Figure 2.1: A Pythagorean Triangle

Since we're interested in number theory, that is, the theory of the natural numbers, we will ask whether there are any Pythagorean triangles all of whose sides are natural numbers. There are many such triangles. The most famous has sides 3, 4, and 5. Here are the first few examples:

$$3^2 + 4^2 = 5^2$$
,  $5^2 + 12^2 = 13^2$ ,  $8^2 + 15^2 = 17^2$ ,  $28^2 + 45^2 = 53^2$ .

The study of these *Pythagorean triples* began long before the time of Pythagoras. There are Babylonian tablets that contain lists of such triples, including quite large ones, indicating that the Babylonians probably had a systematic method for

producing them. Pythagorean triples were also used in ancient Egypt. For example, a rough-and-ready way to produce a right angle is to take a piece of string, mark it into 12 equal segments, tie it into a loop, and hold it taut in the form of a 3-4-5 triangle, as illustrated in Figure 2.2. This provides an inexpensive right angle tool for use on small construction projects (such as marking property boundaries or building pyramids). Even more amazing is the fact that the Babylonians created tables of quite large Pythagorean triples, which they may have used as primitive trigonometric tables.

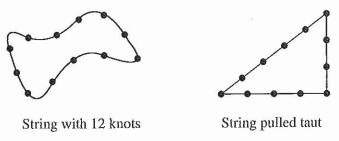


Figure 2.2: Using a knotted string to create a right triangle

The Babylonians and Egyptians had practical reasons for studying Pythagorean triples. Do such practical reasons still exist? For this particular problem, the answer is "probably not." However, there is at least one good reason to study Pythagorean triples, and it's the same reason why it is worthwhile studying the art of Rembrandt and the music of Beethoven. There is a beauty to the ways in which numbers interact with one another, just as there is a beauty in the composition of a painting or a symphony. To appreciate this beauty, one has to be willing to expend a certain amount of mental energy. But the end result is well worth the effort. Our goal in this book is to understand and appreciate some truly beautiful mathematics, to learn how this mathematics was discovered and proved, and maybe even to make some original contributions of our own.

Enough blathering, you are undoubtedly thinking. Let's get to the real stuff. Our first naive question is whether there are infinitely many *Pythagorean triples*, that is triples of natural numbers (a, b, c) satisfying the equation  $a^2 + b^2 = c^2$ . The answer is "YES" for a very silly reason. If we take a Pythagorean triple (a, b, c) and multiply it by some other number d, then we obtain a new Pythagorean triple (da, db, dc). This is true because

$$(da)^2 + (db)^2 = d^2(a^2 + b^2) = d^2c^2 = (dc)^2.$$

Clearly these new Pythagorean triples are not very interesting. So we will concentrate our attention on triples with no common factors. We will even give them a

name:

A primitive Pythagorean triple (or PPT for short) is a triple of numbers (a, b, c) so that a, b, and c have no common factors<sup>1</sup> and satisfy

$$a^2 + b^2 = c^2$$

Recall our checklist from Chapter 1. The first step is to accumulate some data. I used a computer to substitute in values for a and b and checked if  $a^2 + b^2$  is a square. Here are some primitive Pythagorean triples that I found:

$$(3,4,5),$$
  $(5,12,13),$   $(8,15,17),$   $(7,24,25),$   $(20,21,29),$   $(9,40,41),$   $(12,35,37),$   $(11,60,61),$   $(28,45,53),$   $(33,56,65),$   $(16,63,65).$ 

A few conclusions can easily be drawn even from such a short list. For example, it certainly looks like one of a and b is odd and the other even. It also seems that c is always odd.

It's not hard to prove that these conjectures are correct. First, if a and b are both even, then c would also be even. This means that a, b, and c would have a common factor of 2, so the triple would not be primitive. Next, suppose that a and b are both odd, which means that c would have to be even. This means that there are numbers x, y, and z so that

$$a=2x+1,$$
  $b=2y+1,$  and  $c=2z.$ 

We can substitute these into the equation  $a^2 + b^2 = c^2$  to get

$$(2x+1)^2 + (2y+1)^2 = (2z)^2,$$
  
$$4x^2 + 4x + 4y^2 + 4y + 2 = 4z^2.$$

Now divide by 2,

$$2x^2 + 2x + 2y^2 + 2y + 1 = 2z^2.$$

This last equation says that an odd number is equal to an even number, which is impossible, so a and b cannot both be odd. Since we've just checked that they cannot both be even and cannot both be odd, it must be true that one is even and

<sup>&</sup>lt;sup>1</sup>A common factor of a, b, and c is a number d so that each of a, b and c is a multiple of d. For example, 3 is a common factor of 30, 42, and 105, since  $30 = 3 \cdot 10$ ,  $42 = 3 \cdot 14$ , and  $105 = 3 \cdot 35$ , and indeed it is their largest common factor. On the other hand, the numbers 10, 12, and 15 have no common factor (other than 1). Since our goal in this chapter is to explore some interesting and beautiful number theory without getting bogged down in formalities, we will use common factors and divisibility informally and trust our intuition. In Chapter 5 we will return to these questions and develop the theory of divisibility more carefully

the other is odd. It's then obvious from the equation  $a^2 + b^2 = c^2$  that c is also odd.

We can always switch a and b, so our problem now is to find all solutions in natural numbers to the equation

$$a^2+b^2=c^2$$
 with 
$$\begin{cases} a \text{ odd,} \\ b \text{ even,} \\ a,b,c \text{ having no common factors.} \end{cases}$$

The tools we will use are factorization and divisibility.

Our first observation is that if (a, b, c) is a primitive Pythagorean triple, then we can factor

$$a^2 = c^2 - b^2 = (c - b)(c + b).$$

Here are a few examples from the list given earlier, where note that we always take a to be odd and b to be even:

$$3^{2} = 5^{2} - 4^{2} = (5 - 4)(5 + 4) = 1 \cdot 9,$$

$$15^{2} = 17^{2} - 8^{2} = (17 - 8)(17 + 8) = 9 \cdot 25,$$

$$35^{2} = 37^{2} - 12^{2} = (37 - 12)(37 + 12) = 25 \cdot 49,$$

$$33^{2} = 65^{2} - 56^{2} = (65 - 56)(65 + 56) = 9 \cdot 121.$$

It looks like c-b and c+b are themselves always squares. We check this observation with a couple more examples:

$$21^2 = 29^2 - 20^2 = (29 - 20)(29 + 20) = 9 \cdot 49,$$
  
$$63^2 = 65^2 - 16^2 = (65 - 16)(65 + 16) = 49 \cdot 81.$$

How can we prove that c-b and c+b are squares? Another observation apparent from our list of examples is that c-b and c+b seem to have no common factors. We can prove this last assertion as follows. Suppose that d is a common factor of c-b and c+b; that is, d divides both c-b and c+b. Then d also divides

$$(c+b) + (c-b) = 2c$$
 and  $(c+b) - (c-b) = 2b$ .

Thus, d divides 2b and 2c. But b and c have no common factor because we are assuming that (a,b,c) is a primitive Pythagorean triple. So d must equal 1 or 2. But d also divides  $(c-b)(c+b)=a^2$ , and a is odd, so d must be 1. In other words, the only number dividing both c-b and c+b is 1, so c-b and c+b have no common factor.

We now know that c-b and c+b have no common factor, and that their product is a square since  $(c-b)(c+b)=a^2$ . The only way that this can happen is if c-b and c+b are themselves squares.<sup>2</sup> So we can write

$$c+b=s^2$$
 and  $c-b=t^2$ ,

where  $s>t\geq 1$  are odd integers with no common factors. Solving these two equations for b and c yields

$$c = \frac{s^2 + t^2}{2}$$
 and  $b = \frac{s^2 - t^2}{2}$ ,

and then

$$a = \sqrt{(c-b)(c+b)} = st.$$

We have finished our first proof! The following theorem records our accomplishment.

**Theorem 2.1 (Pythagorean Triples Theorem).** You will get every primitive Pythagorean triple (a, b, c) with a odd and b even by using the formulas

$$a = st, \qquad b = \frac{s^2 - t^2}{2}, \qquad c = \frac{s^2 + t^2}{2},$$

where  $s > t \ge 1$  are chosen to be any odd integers with no common factors.

For example, if we take t=1, then we get a triple  $\left(s,\frac{s^2-1}{2},\frac{s^2+1}{2}\right)$  whose b and c entries differ by 1. This explains many of the examples we listed above. The following table gives all possible triples with  $s\leq 9$ .

S	t  a = st		$b = \frac{s^2 - t^2}{2}$	$c = \frac{s^2 + t^2}{2}$		
3	1	3	4	5		
5	1	5	12	13		
7	1	7	24	25		
9	1	9	40	41		
5	3	15	8	17		
7	3	21	20	29		
7	5	35	12	37		
9	5	45	28	53		
9	7	63	16	65		

<sup>&</sup>lt;sup>2</sup>This is intuitively clear if you consider the factorization of c-b and c+b into primes, since the primes in the factorization of c-b will be distinct from the primes in the factorization of c+b. However, the existence and uniqueness of the factorization into primes is by no means as obvious as it appears. We will discuss this further in Chapter 7.

### A Notational Interlude

Mathematicians have created certain standard notations as a shorthand for various quantities. We will keep our use of such notation to a minimum, but there are a few symbols that are so commonly used and are so useful that it is worthwhile to introduce them here. They are

 $\mathbb{N} = \text{the set of natural numbers} = 1, 2, 3, 4, \dots$ 

 $\mathbb{Z} = \text{the set of integers} = \ldots -3, -2, -1, 0, 1, 2, 3, \ldots,$ 

 $\mathbb{O}$  = the set of rational numbers (i.e., fractions).

In addition, mathematicians often use  $\mathbb R$  to denote the real numbers and  $\mathbb C$  for the complex numbers, but we will not need these. Why were these letters chosen? The choice of  $\mathbb N$ ,  $\mathbb R$ , and  $\mathbb C$  needs no explanation. The letter  $\mathbb Z$  for the set of integers comes from the German word "Zahlen," which means numbers. Similarly,  $\mathbb Q$  comes from the German "Quotient" (which is the same as the English word). We will also use the standard mathematical symbol  $\in$  to mean "is an element of the set." So, for example,  $a \in \mathbb N$  means that a is a natural number, and  $x \in \mathbb Q$  means that x is a rational number.

### **Exercises**

- **2.1.** (a) We showed that in any primitive Pythagorean triple (a, b, c), either a or b is even. Use the same sort of argument to show that either a or b must be a multiple of a.
- (b) By examining the above list of primitive Pythagorean triples, make a guess about when a, b, or c is a multiple of 5. Try to show that your guess is correct.
- **2.2.** A nonzero integer d is said to *divide* an integer m if m = dk for some number k. Show that if d divides both m and n, then d also divides m n and m + n.
- 2.3. For each of the following questions, begin by compiling some data; next examine the data and formulate a conjecture; and finally try to prove that your conjecture is correct. (But don't worry if you can't solve every part of this problem; some parts are quite difficult.)
  - (a) Which odd numbers a can appear in a primitive Pythagorean triple (a, b, c)?
- (b) Which even numbers b can appear in a primitive Pythagorean triple (a, b, c)?
- (c) Which numbers c can appear in a primitive Pythagorean triple (a, b, c)?
- 2.4. In our list of examples are the two primitive Pythagorean triples

$$33^2 + 56^2 = 65^2$$
 and  $16^2 + 63^2 = 65^2$ .

Find at least one more example of two primitive Pythagorean triples with the same value of c. Can you find three primitive Pythagorean triples with the same c? Can you find more than three?

**2.5.** In Chapter 1 we saw that the  $n^{th}$  triangular number  $T_n$  is given by the formula

$$T_n = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}.$$

The first few triangular numbers are 1, 3, 6, and 10. In the list of the first few Pythagorean triples (a, b, c), we find (3, 4, 5), (5, 12, 13), (7, 24, 25), and (9, 40, 41). Notice that in each case, the value of b is four times a triangular number.

- (a) Find a primitive Pythagorean triples (a, b, c) with  $b = T_5$ . Do the same for  $b = T_6$  and with  $b = T_7$ .
- (b) Do you think that for every triangular number  $T_n$ , there is a primitive Pythagorean triple (a,b,c) with  $b=4T_n$ ? If you believe that this is true, then prove it. Otherwise, find some triangular number for which it is not true.
- **2.6.** If you look at the table of primitive Pythagorean triples in this chapter, you will see many triples in which c is 2 greater than a. For example, the triples (3,4,5), (15,8,17), (35,12,37), and (63,16,65) all have this property.
- (a) Find two more primitive Pythagorean triples (a, b, c) having c = a + 2.
- (b) Find a primitive Pythagorean triple (a, b, c) having c = a + 2 and c > 1000.
- (c) Try to find a formula that describes all primitive Pythagorean triples (a, b, c) having c = a + 2.
- **2.7.** For each primitive Pythagorean triple (a, b, c) in the table in this chapter, compute the quantity 2c 2a. Do these values seem to have some special form? Try to prove that your observation is true for all primitive Pythagorean triples.
- **2.8.** (a) Read about the Babylonian number system and write a short description, including the symbols for the numbers 1 to 10 and the multiples of 10 from 20 to 50.
- (b) Read about the Babylonian tablet called Plimpton 322 and write a brief description, including its approximate date of origin and some of the large Pythagorean triples that it contains.

## Chapter 3

# **Pythagorean Triples** and the Unit Circle

In the previous chapter we described all solutions to

$$a^2 + b^2 = c^2$$

in whole numbers a, b, c. If we divide this equation by  $c^2$ , we obtain

$$\left(\frac{a}{c}\right)^2 + \left(\frac{b}{c}\right)^2 = 1.$$

So the pair of rational numbers (a/c,b/c) is a solution to the equation

$$x^2 + y^2 = 1.$$

Everyone knows what the equation  $x^2 + y^2 = 1$  looks like: It is a circle C of radius 1 with center at (0,0). We are going to use the geometry of the circle C to find all the points on C whose xy-coordinates are rational numbers. Notice that the circle has four obvious points with rational coordinates,  $(\pm 1,0)$  and  $(0,\pm 1)$ . Suppose that we take any (rational) number m and look at the line L going through the point (-1,0) and having slope m. (See Figure 3.1.) The line L is given by the equation

$$L: y = m(x+1)$$
 (point-slope formula).

It is clear from the picture that the intersection  $C \cap L$  consists of exactly two points, and one of those points is (-1,0). We want to find the other one.

To find the intersection of C and L, we need to solve the equations

$$m^2 + m^2 - 1$$
 and  $n = m(x+1)$ 

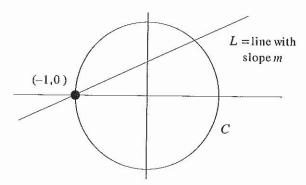


Figure 3.1: The Intersection of a Circle and a Line

for x and y. Substituting the second equation into the first and simplifying, we need to solve

$$x^{2} + (m(x+1))^{2} = 1$$
$$x^{2} + m^{2}(x^{2} + 2x + 1) = 1$$
$$(m^{2} + 1)x^{2} + 2m^{2}x + (m^{2} - 1) = 0.$$

This is just a quadratic equation, so we could use the quadratic formula to solve for x. But there is a much easier way to find the solution. We know that x=-1 must be a solution, since the point (-1,0) is on both C and L. This means that we can divide the quadratic polynomial by x+1 to find the other root:

$$\frac{(m^2+1)x+(m^2-1)}{(m^2+1)x^2+2m^2x+(m^2-1)}.$$

So the other root is the solution of  $(m^2 + 1)x + (m^2 - 1) = 0$ , which means that

$$x = \frac{1 - m^2}{1 + m^2}.$$

Then we substitute this value of x into the equation y = m(x+1) of the line L to find the y-coordinate,

$$y = m(x+1) = m\left(\frac{1-m^2}{1+m^2} + 1\right) = \frac{2m}{1+m^2}.$$

Thus, for every rational number m we get a solution in rational numbers

$$\left(\frac{1-m^2}{1+m^2}, \frac{2m}{1+m^2}\right)$$
 to the equation  $x^2+y^2=1$ .

On the other hand, if we have a solution  $(x_1, y_1)$  in rational numbers, then the slope of the line through  $(x_1, y_1)$  and (-1, 0) will be a rational number. So by taking all possible values for m, the process we have described will yield every solution to  $x^2 + y^2 = 1$  in rational numbers [except for (-1, 0), which corresponds to a vertical line having slope " $m = \infty$ "]. We summarize our results in the following theorem.

Theorem 3.1. Every point on the circle

$$x^2 + y^2 = 1$$

whose coordinates are rational numbers can be obtained from the formula

$$(x,y) = \left(\frac{1-m^2}{1+m^2}, \frac{2m}{1+m^2}\right)$$

by substituting in rational numbers for m. [Except for the point (-1,0), which is the limiting value as  $m \to \infty$ .]

How is this formula for rational points on a circle related to our formula for Pythagorean triples? If we write the rational number m as a fraction v/u, then our formula becomes

$$(x,y) = \left(\frac{u^2 - v^2}{u^2 + v^2}, \frac{2uv}{u^2 + v^2}\right),$$

and clearing denominators gives the Pythagorean triple

$$(a, b, c) = (u^2 - v^2, 2uv, u^2 + v^2).$$

This is another way of describing all Pythagorean triples, although to describe only the primitive ones would require some restrictions on u and v. You can relate this description to the formula in Chapter 2 by setting

$$u = \frac{s+t}{2}$$
 and  $v = \frac{s-t}{2}$ .

### **Exercises**

3.1. As we have just seen, we get every Pythagorean triple (a, b, c) with b even from the formula

$$(a,b,c) = (u^2 - v^2, 2uv, u^2 + v^2)$$

by substituting in different integers for u and v. For example, (u, v) = (2, 1) gives the smallest triple (3, 4, 5).

- (a) If u and v have a common factor, explain why (a, b, c) will not be a primitive Pythagorean triple.
- (b) Find an example of integers u > v > 0 that do not have a common factor, yet the Pythagorean triple  $(u^2 v^2, 2uv, u^2 + v^2)$  is not primitive.
- (c) Make a table of the Pythagorean triples that arise when you substitute in all values of u and v with  $1 \le v < u \le 10$ .
- (d) Using your table from (c), find some simple conditions on u and v that ensure that the Pythagorean triple  $(u^2 v^2, 2uv, u^2 + v^2)$  is primitive.
- (e) Prove that your conditions in (d) really work.
- 3.2. (a) Use the lines through the point (1,1) to describe all the points on the circle

$$x^2 + y^2 = 2$$

whose coordinates are rational numbers.

- (b) What goes wrong if you try to apply the same procedure to find all the points on the circle  $x^2+y^2=3$  with rational coordinates?
- 3.3. Find a formula for all the points on the hyperbola

$$x^2 - y^2 = 1$$

whose coordinates are rational numbers. [Hint. Take the line through the point (-1,0) having rational slope m and find a formula in terms of m for the second point where the line intersects the hyperbola.]

### 3.4. The curve

$$y^2 = x^3 + 8$$

contains the points (1, -3) and (-7/4, 13/8). The line through these two points intersects the curve in exactly one other point. Find this third point. Can you explain why the coordinates of this third point are rational numbers?

## Chapter 4

# **Sums of Higher Powers and Fermat's Last Theorem**

In the previous two chapters we discovered that the equation

$$a^2 + b^2 = c^2$$

has lots of solutions in whole numbers a,b,c. It is natural to ask whether there are solutions when the exponent 2 is replaced by a higher power. For example, do the equations

$$a^3 + b^3 = c^3$$
 and  $a^4 + b^4 = c^4$  and  $a^5 + b^5 = c^5$ 

have solutions in nonzero integers a, b, c? The answer is "NO." Sometime around 1637, Pierre de Fermat showed that there is no solution for exponent 4. During the eighteenth and nineteenth centuries, Karl Friedrich Gauss and Leonhard Euler showed that there is no solution for exponent 3 and Lejeune Dirichlet and Adrien Legendre dealt with the exponent 5. The general problem of showing that the equation

$$a^n + b^n = c^n$$

has no solutions in positive integers if  $n \geq 3$  is known as "Fermat's Last Theorem." It has attained almost cult status in the 350 years since Fermat scribbled the following assertion in the margin of one of his books:

It is impossible to separate a cube into two cubes, or a fourth power into two fourth powers, or in general any power higher than the second into powers of

like degree. I have discovered a truly remarkable proof which this margin is too small to contain.<sup>1</sup>

Few mathematicians today believe that Fermat had a valid proof of his "Theorem," which is called his Last Theorem because it was the last of his assertions that remained unproved. The history of Fermat's Last Theorem is fascinating, with literally hundreds of mathematicians making important contributions. Even a brief summary could easily fill a book. This is not our intent in this volume, so we will be content with a few brief remarks.

One of the first general results on Fermat's Last Theorem, as opposed to verification for specific exponents n, was given by Sophie Germain in 1823. She proved that if both p and 2p+1 are primes then the equation  $a^p+b^p=c^p$  has no solutions in integers a,b,c with p not dividing the product abc. A later result of a similar nature, due to A. Wieferich in 1909, is that the same conclusion is true if the quantity  $2^p-2$  is not divisible by  $p^2$ . Meanwhile, during the latter part of the nineteenth century a number of mathematicians, including Richard Dedekind, Leopold Kronecker, and especially Ernst Kummer, developed a new field of mathematics called algebraic number theory and used their theory to prove Fermat's Last Theorem for many exponents, although still only a finite list. Then, in 1985, L.M. Adleman, D.R. Heath-Brown, and E. Fouvry used a refinement of Germain's criterion together with difficult analytic estimates to prove that there are infinitely many primes p such that  $a^p + b^p = c^p$  has no solutions with p not dividing abc.

Sophie Germain (1776–1831) Sophie Germain was a French mathematician who did important work in number theory and differential equations. She is best known for her work on Fermat's Last Theorem, where she gave a simple criterion that suffices to show that the equation  $a^p + b^p = c^p$  has no solutions with abc not divisible by p. She also did work on acoustics and elasticity, especially the theory of vibrating plates. As a mathematics student, she was forced to take correspondence courses from the École Polytechnique in Paris, since they did not accept women as students. For a similar reason, she began her extensive correspondence with Gauss using the pseudonym Monsieur Le Blanc; but when she eventually revealed her identity, Gauss was delighted and sufficiently impressed with her work to recommend her for an honorary degree at the University of Göttingen.

In 1986 Gerhard Frey suggested a new line of attack on Fermat's problem using a notion called modularity. Frey's idea was refined by Jean-Pierre Serre, and Ken

<sup>&</sup>lt;sup>1</sup>Translated from the Latin: "Cubum autem in duos cubos, aut quadrato quadratum in duos quadrato quadratos, & generaliter nullam in infinitum ultra quadratum potestatem in duos ejusdem nominis fas est dividere; cujus rei demonstrationem mirabilem sane detexi. Hanc marginis exiguitas non caneret."

Ribet subsequently proved that if the Modularity Conjecture is true, then Fermat's Last Theorem is true. Precisely, Ribet proved that if every semistable elliptic curve<sup>2</sup> is modular<sup>3</sup> then Fermat's Last Theorem is true. The Modularity Conjecture, which asserts that every rational elliptic curve is modular, was at that time a conjecture originally formulated by Goro Shimura and Yutaka Taniyama. Finally, in 1994, Andrew Wiles announced a proof that every semistable rational elliptic curve is modular, thereby completing the proof of Fermat's 350-year-old claim. Wiles's proof, which is a tour de force using the vast machinery of modern number theory and algebraic geometry, is far too complicated for us to describe in detail, but we will try to convey the flavor of his proof in Chapter 48.

Few mathematical or scientific discoveries arise in a vacuum. Even Sir Isaac Newton, the transcendent genius not noted for his modesty, wrote that "If I have seen further, it is by standing on the shoulders of giants." Here is a list of some of the giants, all contemporary mathematicians, whose work either directly or indirectly contributed to Wiles's brilliant proof. The diversified nationalities highlight the international character of modern mathematics. In alphabetical order: Spencer Bloch (USA), Henri Carayol (France), John Coates (Australia), Pierre Deligne (Belgium), Ehud de Shalit (Israel), Fred Diamond (USA), Gerd Faltings (Germany), Matthias Flach (Germany), Gerhard Frey (Germany), Alexander Grothendieck (Belgium), Yves Hellegouarch (France), Haruzo Hida (Japan), Kenkichi Iwasawa (Japan), Kazuya Kato (Japan), Nick Katz (USA), V.A. Kolyvagin (Russia), Ernst Kunz (Germany), Robert Langlands (Canada), Hendrik Lenstra (The Netherlands), Wen-Ch'ing Winnie Li (USA), Barry Mazur (USA), André Néron (France), Ravi Ramakrishna (USA), Michel Raynaud (France), Ken Ribet (USA), Karl Rubin (USA), Jean-Pierre Serre (France), Goro Shimura (Japan), Yutaka Taniyama (Japan), John Tate (USA), Richard Taylor (England), Jacques Tilouine (France), Jerry Tunnell (USA), André Weil (France), Andrew Wiles (England).

### **Exercises**

**4.1.** Write a one- to two-page biography on one (or more) of the following mathematicians. Be sure to describe their mathematical achievements, especially in number theory, and some details of their lives. Also include a paragraph putting them into a historical context

<sup>&</sup>lt;sup>2</sup>An elliptic curve is a certain sort of curve, not an ellipse, given by an equation of the form  $y^2 = x^3 + ax^2 + bx + c$ , where a, b, c are integers. The elliptic curve is semistable if the quantities  $3b - a^2$  and  $27c - 9ab + 2a^3$  have no common factors other than 2 and satisfy a few other technical conditions. We study elliptic curves in Chapters 43–48

<sup>&</sup>lt;sup>3</sup>An elliptic curve is called modular if there is a map to it from another special sort of curve called a modular curve.

by describing the times (scientifically, politically, socially, etc.) during which they lived and worked: (a) Niels Abel, (b) Claude Gaspar Bachet de Meziriac, (c) Richard Dedekind, (d) Diophantus of Alexandria, (e) Lejeune Dirichlet, (f) Eratosthenes, (g) Euclid of Alexandria, (h) Leonhard Euler, (i) Pierre de Fermat, (j) Leonardo Fibonacci, (k) Karl Friedrich Gauss, (l) Sophie Germain, (m) David Hilbert, (n) Karl Jacobi, (o) Leopold Kronecker, (p) Ernst Kummer, (q) Joseph-Louis Lagrange, (r) Adrien-Marie Legendre, (s) Joseph Liouville, (t) Marin Mersenne, (u) Hermann Minkowski, (v) Sir Isaac Newton, (w) Pythagoras, (x) Srinivasa Ramanujan, (y) Bernhard Riemann, (z) P.L. Tchebychef (also spelled Chebychev).

**4.2.** The equation  $a^2 + b^2 = c^2$  has lots of solutions in positive integers, while the equation  $a^3 + b^3 = c^3$  has no solutions in positive integers. This exercise asks you to look for solutions to the equation

$$a^3 + b^3 = c^2 (*)$$

in integers  $c \ge b \ge a \ge 1$ .

- (a) The equation (\*) has the solution (a, b, c) = (2, 2, 4). Find three more solutions in positive integers. [Hint. Look for solutions of the form  $(a, b, c) = (xz, yz, z^2)$ . Not every choice of x, y, z will work, of course, so you'll need to figure out which ones do work,]
- (b) If (A, B, C) is a solution to (\*) and n is any integer, show that  $(n^2A, n^2B, n^3C)$  is also a solution to (\*). We will say that a solution (a, b, c) to (\*) is *primitive* if it does not look like  $(n^2A, n^2B, n^3C)$  for any  $n \ge 2$ .
- (c) Write down four different primitive solutions to (\*). [That is, redo (a) using only primitive solutions.]
- (d) The solution (2,2,4) has a=b. Find all primitive solutions that have a=b.
- (e) Find a primitive solution to (\*) that has a > 10000.

## Chapter 5

# Divisibility and the Greatest Common Divisor

As we have already seen in our study of Pythagorean triples, the notions of divisibility and factorizations are important tools in number theory. In this chapter we will look at these ideas more closely.

Suppose that m and n are integers with  $m \neq 0$ . We say that m divides n if n is a multiple of m, that is, if there is an integer k so that n = mk. If m divides n, we write m|n. Similarly, if m does not divide n, then we write  $m \nmid n$ . For example,

```
3|6 and 12|132, since 6 = 3 \cdot 2 and 132 = 12 \cdot 11.
```

The divisors of 6 are 1, 2, 3, and 6. On the other hand,  $5 \nmid 7$ , since no integer multiple of 5 is equal to 7. A number that divides n is called a *divisor of* n.

If we are given two numbers, we can look for common divisors, that is, numbers that divide both of them. For example, 4 is a common divisor of 12 and 20, since 4|12 and 4|20. Notice that 4 is the largest common divisor of 12 and 20. Similarly, 3 is a common divisor of 18 and 30, but it is not the largest, since 6 is also a common divisor. The largest common divisor of two numbers is an extremely important quantity that will frequently appear during our number theoretic excursions.

The greatest common divisor of two numbers a and b (not both zero) is the largest number that divides both of them. It is denoted gcd(a, b). If gcd(a, b) = 1, we say that a and b are relatively prime.

Two examples that we mentioned above are

```
gcd(12, 20) = 4 and gcd(18, 30) = 6.
```

Another example is

$$gcd(225, 120) = 15.$$

We can check that this answer is correct by factoring  $225 = 3^2 \cdot 5^2$  and  $120 = 2^3 \cdot 3 \cdot 5$ , but, in general, factoring a and b is not an efficient way to compute their greatest common divisor.<sup>1</sup>

The most efficient method known for finding the greatest common divisors of two numbers is called the *Euclidean algorithm*. It consists of doing a sequence of divisions with remainder until the remainder is zero. We will illustrate with two examples before describing the general method.

As our first example, we will compute gcd(36, 132). The first step is to divide 132 by 36, which gives a quotient of 3 and a remainder of 24. We write this as

$$132 = 3 \times 36 + 24$$
.

The next step is to take 36 and divide it by the remainder 24 from the previous step. This gives

$$36 = 1 \times 24 + 12$$
.

Next we divide 24 by 12, and we find a remainder of 0,

$$24 = 2 \times 12 + 0$$
.

The Euclidean algorithm says that when you get a remainder of 0 then the remainder from the previous step is the greatest common divisor of the original two numbers. So in this case we find that gcd(132, 36) = 12.

Let's do a larger example. We will compute

Our reason for doing a large example like this is to help convince you that the Euclidean algorithm gives a far more efficient way to compute gcd's than factorization. We begin by dividing 1160718174 by 316258250, which gives 3 with a remainder of 211943424. Next we take 316258250 and divide it by 211943424. This process continues until we get a remainder of 0. The calculations are given in

<sup>&</sup>lt;sup>1</sup>An even less efficient way to compute the greatest common divisor of a and b is the method taught to my daughter by her fourth grade teacher, who recommended that the students make complete lists of all the divisors of a and b and then pick out the largest number that appears on both lists!

the following table:

```
1160718174 = 3 \times 316258250 + 211943424
 316258250 = 1 \times 211943424 + 104314826
 211943424 = 2 \times 104314826 +
                                     3313772
 104314826 = 31 \times 3313772 +
                                     1587894
                 2 \times 1587894 +
                                      137984
   3313772 =
   1587894 =
                  11 \times 137984 +
                                       70070
                    1 \times 70070 +
                                       67914
    137984 =
      70070 =
                    1 \times 67914 +
                                         2156
                                       1078 \leftarrow \gcd
      67914 =
                    31 \times 2156 +
                      2 \times 1078 +
       2156 =
```

Notice how at each step we divide a number A by a number B to get a quotient Q and a remainder B. In other words,

$$A = Q \times B + R$$
.

Then at the next step we replace our old A and B with the numbers B and R and continue the process until we get a remainder of 0. At that point, the remainder R from the previous step is the greatest common divisor of our original two numbers. So the above calculation shows that

$$gcd(1160718174, 316258250) = 1078.$$

We can partly check our calculation (always a good idea) by verifying that 1078 is indeed a common divisor. Thus

```
1160718174 = 1078 \times 1076733 and 316258250 = 1078 \times 293375.
```

There is one more practical matter to be mentioned before we undertake a theoretical analysis of the Euclidean algorithm. If we are given A and B, how can we find the quotient Q and the remainder R? Of course, you can always use long division, but that can be time consuming and subject to arithmetic errors if A and B are large. A pleasant alternative is to find a calculator or computer program that will automatically compute Q and R for you. However, even if you are only equipped with an inexpensive calculator, there is an easy three-step method to find Q and R.

*Method to Compute Q and R on a Calculator So That A* =  $B \times Q + R$ 

- 1. Use the calculator to divide A by B. You get a number with decimals.
- 2. Discard all the digits to the right of the decimal point. This gives Q.
- 3. To find R, use the formula  $R = A B \times Q$ .

For example, suppose that A = 12345 and B = 417. Then A/B = 29.6043..., so Q = 29 and  $R = 12345 - 417 \cdot 29 = 252$ .

We're now ready to analyze the Euclidean algorithm. The general method looks like

$$\begin{array}{rclcrcl} a &=& q_1 \times b & + & r_1 \\ b &=& q_2 \times r_1 & + & r_2 \\ r_1 &=& q_3 \times r_2 & + & r_3 \\ r_2 &=& q_4 \times r_3 & + & r_4 \\ && \vdots && \\ \vdots && & \vdots && \\ r_{n-3} &=& q_{n-1} \times r_{n-2} + r_{n-1} \\ r_{n-2} &=& q_n \times r_{n-1} & + & \boxed{r_n} \leftarrow \gcd \\ r_{n-1} &=& q_{n+1}r_n & + & 0 \end{array}$$

If we let  $r_0 = b$  and  $r_{-1} = a$ , then every line looks like

$$r_{i-1} = q_{i+1} \times r_i + r_{i+1}$$
.

Why is the last nonzero remainder  $r_n$  a common divisor of a and b? We start from the bottom and work our way up. The last line  $r_{n-1} = q_{n+1}r_n$  shows that  $r_n$  divides  $r_{n-1}$ . Then the previous line

$$r_{n-2} = q_n \times r_{n-1} + r_n$$

shows that  $r_n$  divides  $r_{n-2}$ , since it divides both  $r_{n-1}$  and  $r_n$ . Now looking at the line above that, we already know that  $r_n$  divides both  $r_{n-1}$  and  $r_{n-2}$ , so we find that  $r_n$  also divides  $r_{n-3}$ . Moving up line by line, when we reach the second line we will already know that  $r_n$  divides  $r_2$  and  $r_1$ . Then the second line  $b=q_2\times r_1+r_2$  tells us that  $r_n$  divides b. Finally, we move up to the top line and use the fact that  $r_n$  divides both  $r_1$  and  $r_n$  divides both  $r_n$  also divides  $r_n$  divides our verification that the last nonzero remainder  $r_n$  is a common divisor of  $r_n$  and  $r_n$ .

But why is  $r_n$  the *greatest* common divisor of a and b? Suppose that d is any common divisor of a and b. We will work our way back down the list of equations. So from the first equation  $a=q_1\times b+r_1$  and the fact that d divides both a and b, we see that d also divides  $r_1$ . Then the second equation  $b=q_2r_1+r_2$  shows us that d must divide  $r_2$ . Continuing down line by line, at each stage we will know that d divides the previous two remainders  $r_{i-1}$  and  $r_i$ , and then the current line  $r_{i-1}=q_{i+1}\times r_i+r_{i+1}$  will tell us that d also divides the next remainder  $r_{i+1}$ . Eventually, we reach the penultimate line  $r_{n-2}=q_n\times r_{n-1}+r_n$ , at which point we conclude that d divides  $r_n$ . So we have shown that if d is any common divisor of a and b then d will divide  $r_n$ . Therefore,  $r_n$  must be the greatest common divisor of a and b.

This completes our verification that the Euclidean algorithm actually computes the greatest common divisor, a fact of sufficient importance to be officially recorded.

**Theorem 5.1 (Euclidean Algorithm).** To compute the greatest common divisor of two numbers a and b, let  $r_{-1} = a$ , let  $r_0 = b$ , and compute successive quotients and remainders

$$r_{i-1} = q_{i+1} \times r_i + r_{i+1}$$

for i = 0, 1, 2, ... until some remainder  $r_{n+1}$  is 0. The last nonzero remainder  $r_n$  is then the greatest common divisor of a and b.

There remains the question of why the Euclidean algorithm always finishes. In other words, we know that the last nonzero remainder will be the desired gcd, but how do we know that we ever get a remainder that does equal 0? This is not a silly question, since it is easy to give algorithms that do not terminate; and there are even very simple algorithms for which it is not known whether or not they always terminate. Fortunately, it is easy to see that the Euclidean algorithm always terminates. The reason is simple. Each time we compute a quotient with remainder,

$$A = Q \times B + R$$

the remainder will be between 0 and B-1. This is clear, since if  $R \ge B$ , then we can add one more onto the quotient Q and subtract B from R. So the successive remainders in the Euclidean algorithm continually decrease:

$$b = r_0 > r_1 > r_2 > r_3 > \cdots$$

But all the remainders are greater than or equal to 0, so we have a strictly decreasing sequence of nonnegative integers. Eventually, we must reach a remainder that equals 0; in fact, it is clear that we will reach a remainder of 0 in at most b steps. Fortunately, the Euclidean algorithm is far more efficient than this. You will show in the exercises that the number of steps in the Euclidean algorithm is at most seven times the *number of digits* in b. So, on a computer, it is quite feasible to compute gcd(a, b) when a and b have hundreds or even thousands of digits!

### **Exercises**

- 5.1. Use the Euclidean algorithm to compute each of the following gcd's.
- (a) gcd(12345, 67890) (b) gcd(54321, 9876)
- **5.2.** Write a program to compute the greatest common divisor gcd(a, b) of two integers a and b. Your program should work even if one of a or b is zero. Make sure that you don't go into an infinite loop if a and b are both zero!

**5.3.** Let  $b = r_0, r_1, r_2, \ldots$  be the successive remainders in the Euclidean algorithm applied to a and b. Show that every two steps reduces the remainder by at least one half. In other words, verify that

$$r_{i+2} < \frac{1}{2}r_i$$
 for every  $i = 0, 1, 2, \dots$ 

Conclude that the Euclidean algorithm terminates in at most  $2\log_2(b)$  steps, where  $\log_2$  is the logarithm to the base 2. In particular, show that the number of steps is at most seven times the number of digits in b. [Hint. What is the value of  $\log_2(10)$ ?]

- **5.4.** A number L is called a common multiple of m and n if both m and n divide L. The smallest such L is called the *least common multiple of* m and n and is denoted by LCM(m,n). For example, LCM(3,7) = 21 and LCM(12,66) = 132.
  - (a) Find the following least common multiples.
    - (i) LCM(8, 12) (ii) LCM(20, 30) (iii) LCM(51, 68) (iv) LCM(23, 18).
  - (b) For each of the LCMs that you computed in (a), compare the value of LCM(m, n) to the values of m, n, and gcd(m, n). Try to find a relationship.
  - (c) Give an argument proving that the relationship you found is correct for all m and n.
- (d) Use your result in (b) to compute LCM(301337, 307829).
- (e) Suppose that gcd(m, n) = 18 and LCM(m, n) = 720. Find m and n. Is there more than one possibility? If so, find all of them.
- **5.5.** The "3n + 1 algorithm" works as follows. Start with any number n. If n is even, divide it by 2. If n is odd, replace it with 3n + 1. Repeat. So, for example, if we start with 5, we get the list of numbers

$$5, 16, 8, 4, 2, 1, 4, 2, 1, 4, 2, 1, \ldots$$

and if we start with 7, we get

$$7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1, 4, 2, 1, \dots$$

Notice that if we ever get to 1 the list just continues to repeat with 4, 2, 1's. In general, one of the following two possibilities will occur:<sup>2</sup>

- (i) We may end up repeating some number a that appeared earlier in our list, in which case the block of numbers between the two a's will repeat indefinitely. In this case we say that the algorithm terminates at the last nonrepeated value, and the number of distinct entries in the list is called the *length of the algorithm*. For example, the algorithm terminates at 1 for both 5 and 7. The length of the algorithm for 5 is 6, and the length of the algorithm for 7 is 17.
- (ii) We may never repeat the same number, in which case we say that the algorithm does not terminate.

<sup>&</sup>lt;sup>2</sup>There is, of course, a third possibility. We may get tired of computing and just stop working, in which case one might say that the algorithm terminates due to exhaustion of the computer!

(a) Find the length and terminating value of the 3n+1 algorithm for each of the following starting values of n:

(i) 
$$n = 21$$
 (ii)  $n = 13$  (iii)  $n = 31$ 

- (b) Do some further experimentation and try to decide whether the 3n + 1 algorithm always terminates and, if so, what value(s) it terminates at.
- (c) Let L(n) be the length of the algorithm for starting value n (assuming that it terminates, of course). For example, L(5)=6 and L(7)=17. Show that if n=8k+4 then L(n)=L(n+1). (Hint. What does the algorithm do to the starting values 8k+4 and 8k+5?)
- (d) Show that if n = 128k + 28 then L(n) = L(n+1) = L(n+2).
- (e) Find some other conditions, similar to those in (c) and (d), for which consecutive values of n have the same length. (It might be helpful to begin by using the next exercise to accumulate some data.)
- **5.6.**  $\square$  Write a program to implement the 3n+1 algorithm described in the previous exercise. The user will input n and your program should return the length L(n) and the terminating value T(n) of the 3n+1 algorithm. Use your program to create a table giving the length and terminating value for all starting values  $1 \le n \le 100$ .

## Chapter 6

# **Linear Equations and the Greatest Common Divisor**

Given two whole numbers a and b, we are going to look at all the possible numbers we can get by adding a multiple of a to a multiple of b. In other words, we will consider all numbers obtained from the formula

$$ax + by$$

when we substitute all possible integers for x and y. Note that we are going to allow both positive and negative values for x and y. For example, we could take a=42 and b=30. Some of the values of ax+by for this a and b are given in the following table:

	x = -3	x = -2	x = -1	x = 0	x = 1	x = 2	x = 3
y = -3	-216	-174	-132	-90	-48	6	36
y = -2	-186	-144	-102	-60	-18	24	66
y = -1	-156	-114	-72	-30	12	54	96
y = 0	-126	-84	-42	0	42	84	126
y = 1	-96	-54	-12	30	72	114	156
y = 2	-66	-24	18	60	102	144	186
y = 3	-36	6	48	90	132	174	216

Table of Values of 42x + 30y

Our first observation is that every entry in the table is divisible by 6. This is not surprising, since both 42 and 30 are divisible by 6, so every number of the form 42x + 30y = 6(7x + 5y) is a multiple of 6. More generally, it is clear that every number of the form ax + by is divisible by gcd(a, b), since both a and b are divisible by gcd(a, b).

A second observation, which is somewhat more surprising, is that the greatest common divisor of 42 and 30, that is 6, actually appears in our table. Thus from the table we see that

$$42 \cdot (-2) + 30 \cdot 3 = 6 = \gcd(42, 30).$$

Further examples suggest the following conclusion:

The smallest positive value of 
$$ax + by$$
 is equal to  $gcd(a, b)$ .

There are many ways to prove that this is true. We will take a constructive approach, via the Euclidean algorithm, which has the advantage of giving a procedure for finding the appropriate values of x and y. In other words, we are going to describe a method of finding integers x and y that are solutions to the equation

$$ax + by = \gcd(a, b).$$

Since, as we have already observed, every number ax+by is divisible by gcd(a, b), it will follow that the smallest positive value of ax + by is precisely gcd(a, b).

How might we solve the equation  $ax + by = \gcd(a, b)$ ? If a and b are small, we might be able to guess a solution. For example, the equation

$$10x + 35y = 5$$

has the solution x = -3 and y = 1, and the equation

$$7x + 11y = 1$$

has the solution x = -3 and y = 2. We also notice that there can be more than one solution, since x = 8 and y = -5 is also a solution to 7x + 11y = 1.

However, if a and b are large, guesswork or trial and error are not going to be helpful. We are going to start by illustrating the Euclidean algorithm method for solving  $ax + by = \gcd(a, b)$  with a particular example. So we are going to try to solve

$$22x + 60y = \gcd(22, 60).$$

The first step is to perform the Euclidean algorithm to compute the gcd. We find

$$60 = 2 \times 22 + 16$$

$$22 = 1 \times 16 + 6$$

$$16 = 2 \times 6 + 4$$

$$6 = 1 \times 4 + 2$$

This shows that gcd(22,60) = 2, a fact that is clear without recourse to the Euclidean algorithm. However, the Euclidean algorithm computation is important because we're going to use the intermediate quotients and remainders to solve the equation 22x + 60y = 2. The first step is to rewrite the first equation as

$$16 = a - 2b$$
, where we let  $a = 60$  and  $b = 22$ .

We next substitute this value into the 16 appearing in the second equation. This gives (remember that b=22)

$$b = 1 \times 16 + 6 = 1 \times (a - 2b) + 6.$$

Rearranging this equation to isolate the remainder 6 yields

$$6 = b - (a - 2b) = -a + 3b.$$

Now substitute the values 16 and 6 into the next equation,  $16 = 2 \times 6 + 4$ :

$$a-2b=16=2\times 6+4=2(-a+3b)+4.$$

Again we isolate the remainder 4, yielding

$$4 = (a - 2b) - 2(-a + 3b) = 3a - 8b.$$

Finally, we use the equation  $6 = 1 \times 4 + 2$  to get

$$-a + 3b = 6 = 1 \times 4 + 2 = 1 \times (3a - 8b) + 2.$$

Rearranging this equation gives the desired solution

$$-4a + 11b = 2$$
.

(We should check our solution:  $-4 \times 60 + 11 \times 22 = -240 + 242 = 2$ .)

We can summarize the above computation in the following efficient tabular form. Note that the left-hand equations are the Euclidean algorithm, and the right-hand equations compute the solution to  $ax + by = \gcd(a, b)$ .

Why does this method work? As the following table makes clear, we start with the first two lines of the Euclidean algorithm, which involve the quantities a and b, and work our way down.

As we move from line to line, we will continually be forming equations that look like

latest remainder = some multiple of a plus some multiple of b.

Eventually, we get down to the last nonzero remainder, which we know is equal to gcd(a, b), and this gives the desired solution to the equation gcd(a, b) = ax + by.

A larger example with a=12453 and b=2347 is given in tabular form on top of the next page. As before, the left-hand side is the Euclidean algorithm and the right-hand side solves  $ax+by=\gcd(a,b)$ . We see that  $\gcd(12453,2347)=1$  and that the equation 12453x+2347y=1 has the solution (x,y)=(304,-1613).

We now know that the equation

$$ax + by = \gcd(a, b)$$

always has a solution in integers x and y. The final topic we discuss in this section is the question of how many solutions it has, and how to describe all the solutions. Let's start with the case that a and b are relatively prime, that is, gcd(a, b) = 1, and suppose that  $(x_1, y_1)$  is a solution to the equation

$$ax + by = 1$$
.

We can create additional solutions by subtracting a multiple of b from  $x_1$  and adding the same multiple of a onto  $y_1$ . In other words, for any integer k we obtain a new solution  $(x_1 + kb, y_1 - ka)$ . We can check that this is indeed a solution by computing

$$a(x_1 + kb) + b(y_1 - ka) = ax_1 + akb + by_1 - bka = ax_1 + by_1 = 1.$$

<sup>&</sup>lt;sup>1</sup>Geometrically, we are starting from the known point  $(x_1, y_1)$  on the line ax + by = 1 and using the fact that the line has slope -a/b to find new points  $(x_1 + t, y_1 - (a/b)t)$ . To get new points with integer coordinates, we need to let t be a multiple of b. Substituting t = kb gives the new integer solution  $(x_1 + kb, y_1 - ka)$ .

So, for example, if we start with the solution (-1, 2) to 5x + 3y = 1, we obtain new solutions (-1 + 3k, 2 - 5k). Note that the integer k is allowed to be positive, negative, or zero. Putting in particular values of k gives the solutions

$$\dots$$
 (-13, 22), (-10, 17), (-7, 12), (-4, 7), (-1, 2), (2, -3), (5, -8), (8, -13), (11, -18)...

Still looking at the case that gcd(a, b) = 1, we can show that this procedure gives all possible solutions. Suppose that we are given two solutions  $(x_1, y_1)$  and  $(x_2, y_2)$  to the equation ax + by = 1. In other words,

$$ax_1 + by_1 = 1$$
 and  $ax_2 + by_2 = 1$ .

We are going to multiply the first equation by  $y_2$ , multiply the second equation by  $y_1$ , and subtract. This will eliminate b and, after a little bit of algebra, we are

left with

$$ax_1y_2 - ax_2y_1 = y_2 - y_1$$
.

Similarly, if we multiply the first equation by  $x_2$ , multiply the second equation by  $x_1$ , and subtract, we find that

$$bx_2y_1 - bx_1y_2 = x_2 - x_1.$$

So if we let  $k = x_2y_1 - x_1y_2$ , then we find that

$$x_2 = x_1 + kb$$
 and  $y_2 = y_1 - ka$ .

This means that the second solution  $(x_2, y_2)$  is obtained from the first solution  $(x_1, y_1)$  by adding a multiple of b onto  $x_1$  and subtracting the same multiple of a from  $y_1$ . So every solution to ax + by = 1 can be obtained from the initial solution  $(x_1, y_1)$  by substituting different values of k into  $(x_1 + kb, y_1 - ka)$ .

What happens if gcd(a, b) > 1? To make the formulas look a little bit simpler, we will let g = gcd(a, b). We know from the Euclidean algorithm method that there is at least one solution  $(x_1, y_1)$  to the equation

$$ax + by = g$$
.

But g divides both a and b, so  $(x_1, y_1)$  is a solution to the simpler equation

$$\frac{a}{a}x + \frac{b}{a}y = 1.$$

Now our earlier work applies, so we know that every other solution can be obtained by substituting values for k in the formula

$$\left(x_1+k\cdot\frac{b}{g},\ y_1-k\cdot\frac{a}{g}\right).$$

This completes our description of the solutions to the equation ax + by = g, as summarized in the following theorem.

**Theorem 6.1 (Linear Equation Theorem).** Let a and b be nonzero integers, and let  $g = \gcd(a, b)$ . The equation

$$ax + by = q$$

always has a solution  $(x_1, y_1)$  in integers, and this solution can be found by the Euclidean algorithm method described earlier. Then every solution to the equation can be obtained by substituting integers k into the formula

$$\left(x_1+k\cdot\frac{b}{q},\ y_1-k\cdot\frac{a}{q}\right).$$

For example, we saw that the equation

$$60x + 22y = \gcd(60, 22) = 2$$

has the solution x = -4, y = 11. Then our Linear Equation Theorem says that every solution is obtained from the formula

$$(-4+11k, 11-30k)$$
 with k any integer.

In particular, if we want a solution with x positive, then we can take k = 1, which gives the smallest such solution (x, y) = (7, -19).

In this chapter we have shown that the equation

$$ax + by = \gcd(a, b)$$

always has a solution. This fact is extremely important for both theoretical and practical reasons, and we will be using it repeatedly in our number theoretic investigations. For example, we will need to solve the equation ax + by = 1 when we study cryptography in Chapter 18. And in the next chapter we will use this equation for our theoretical study of factorization of numbers into primes.

#### **Exercises**

6.1. (a) Find a solution in integers to the equation

$$12345x + 67890y = \gcd(12345, 67890).$$

(b) Find a solution in integers to the equation

$$54321x + 9876y = \gcd(54321, 9876).$$

- **6.2.** Describe all integer solutions to each of the following equations.
- (a) 105x + 121y = 1
- (b)  $12345x + 67890y = \gcd(12345, 67890)$
- (c)  $54321x + 9876y = \gcd(54321, 9876)$
- **6.3.** If the method for solving  $ax + by = \gcd(a, b)$  described in this chapter involves a considerable amount of manipulation and back substitution. This exercise describes an alternative way to compute x and y that is especially easy to implement on a computer.
- (a) Show that the algorithm described in Figure 6.1 computes the greatest common divisor g of the positive integers a and b, together with a solution (x, y) in integers to the equation  $ax + by = \gcd(a, b)$ .
- (b) Implement the algorithm on a computer using the computer language of your choice.

(c) Use your program to compute  $g = \gcd(a, b)$  and integer solutions to ax + by = g for the following pairs (a, b).

(i) (19789, 23548) (ii) (31

(ii) (31875, 8387)

(iii) (22241739, 19848039)

- (d) What happens to your program if b = 0? Fix the program so that it deals with this case correctly.
- (e) For later applications it is useful to have a solution with x > 0. Modify your program so that it always returns a solution with x > 0. [Hint. If (x, y) is a solution, then so is (x + b, y a).]
  - (1) Set x = 1, g = a, v = 0, and w = b.
  - (2) If w = 0 then set y = (g ax)/b and return the values (g, x, y).
  - (3) Divide g by w with remainder, g = qw + t, with  $0 \le t < w$ .
  - (4) Set s = x qv.
  - (5) Set (x, g) = (v, w).
  - (6) Set (v, w) = (s, t).
  - (7) Go to Step (2).

Figure 6.1: Efficient algorithm to solve  $ax + by = \gcd(a, b)$ 

**6.4.** (a) Find integers x, y, and z that satisfy the equation

$$6x + 15y + 20z = 1.$$

(b) Under what conditions on a, b, c is it true that the equation

$$ax + by + cz = 1$$

has a solution? Describe a general method of finding a solution when one exists.

(c) Use your method from (b) to find a solution in integers to the equation

$$155x + 341y + 385z = 1.$$

- **6.5.** Suppose that gcd(a,b) = 1. Prove that for every integer c, the equation ax + by = c has a solution in integers x and y (*Hint*. Find a solution to au + by = 1 and multiply by c.) Find a solution to 37x + 47y = 103. Try to make x and y as small as possible.
- **6.6.** Sometimes we are only interested in solutions to ax + by = c using nonnegative values for x and y.
  - (a) Explain why the equation 3x + 5y = 4 has no solutions with  $x \ge 0$  and  $y \ge 0$ .
- (b) Make a list of some of the numbers of the form 3x + 5y with  $x \ge 0$  and  $y \ge 0$ . Make a conjecture as to which values are not possible. Then prove that your conjecture is correct.

- (c) For each of the following values of (a, b), find the largest number that is not of the form ax + by with  $x \ge 0$  and  $y \ge 0$ .
- (i) (a,b) = (3,7) (ii) (a,b) = (5,7) (iii) (a,b) = (4,11).
- (d) Let gcd(a, b) = 1. Using your results from (c), find a conjectural formula in terms of a and b for the largest number that is not of the form ax + by with  $x \ge 0$  and  $y \ge 0$ ? Check your conjecture for at least two more values of (a, b).
- (e) Prove that your conjectural formula in (d) is correct.
- (f) Try to generalize this problem to sums of three terms ax + by + cz with x > 0,  $y \ge 0$  and  $z \ge 0$ . For example, what is the largest number that is not of the form 6x + 10y + 15z with nonnegative x, y, z?

## Chapter 7

# Factorization and the Fundamental Theorem of Arithmetic

A prime number is a number  $p \ge 2$  whose only (positive) divisors are 1 and p. Numbers  $m \ge 2$  that are not primes are called *composite numbers*. For example,

```
prime numbers 2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, ... composite numbers 4, 6, 8, 9, 10, 12, 14, 15, 16, 18, 20, ...
```

Prime numbers are characterized by the numbers by which they are divisible, that is, they are defined by the property that they are only divisible by 1 and by themselves. So it is not immediately clear that primes numbers should have special properties that involve the numbers that they divide. Thus the following fact concerning prime numbers is both nonobvious and important.

**Claim 7.1.** Let p be a prime number, and suppose that p divides the product ab. Then either p divides a or p divides b (or p divides both a and b).

*Verification.* We are given that p divides the product ab. If p divides a, we are done, so we may as well assume that p does not divide a. Now consider what gcd(p,a) can be. It divides p, so it is either 1 or p. It also divides a, so it isn't p, since we have assumed that p does not divide a. Thus, gcd(p,a) must equal 1.

Now we use the Linear Equation Theorem (Chapter 6) with the numbers p and a. The Linear Equation Theorem says that we can find integers x and y that

<sup>&</sup>lt;sup>1</sup>You may say that this claim is obvious if we just factor a and b into a product of primes. However, the fact that a number can be factored into a product of primes in exactly one way is itself a nonobvious fact. We will discuss this further later in this chapter.

П

solve the equation

$$px + ay = 1$$
.

[Note that we are using the fact that gcd(p, a) = 1.] Now multiply both sides of the equation by b. This gives

$$pbx + aby = b$$
.

Certainly pbx is divisible by p, and also aby is divisible by p, since we know that p divides ab. It follows that p divides the sum

$$pbx + aby$$
,

so p divides b. This completes the verification of the claim.<sup>2</sup>

The claim says that if a prime divides a product ab, it must divide one of the factors. Notice that this is a special property of prime numbers; it is not true for composite numbers. For example, 6 divides the product  $15 \cdot 14$ , but 6 divides neither 15 nor 14. It is not hard to extend the claim to products with more than two factors.

**Theorem 7.2 (Prime Divisibility Property).** Let p be a prime number, and suppose that p divides the product  $a_1a_2 \cdots a_r$ . Then p divides at least one of the factors  $a_1, a_2, \ldots, a_r$ .

Verification. If p divides  $a_1$ , we're done. If not, we apply the claim to the product

$$a_1(a_2a_3\cdots a_r)$$

to conclude that p must divide  $a_2a_3\cdots a_r$ . In other words, we are applying the claim with  $a=a_1$  and  $b=a_2a_3\cdots a_r$ . We know that p|ab, so if  $p\nmid a$ , the claim says that p must divide b.

So now we know that p divides  $a_2a_3\cdots a_r$ . If p divides  $a_2$ , we're done. If not, we apply the claim to the product  $a_2(a_3\cdots a_r)$  to conclude that p must divide  $a_3\cdots a_r$ . Continuing in this fashion, we must eventually find some  $a_i$  that is divisible by p.

Later in this chapter we are going to use the Prime Divisibility Property to *prove* that every positive integer can be factored as a product of prime numbers in essentially one way. Unfortunately, this important fact is so familiar to most readers that they will question why it requires a proof. So before giving the proof,

<sup>&</sup>lt;sup>2</sup>When we are verifying a claim or proving a statement, we use a little box  $\square$  to indicate that we have completed the verification.

I want to try to convince you that unique factorization into primes is far from being obvious. For this purpose, I invite you to leave the familiar behind and enter the<sup>3</sup>

#### **Even Number World**

(popularly known as the "E-Zone")

Imagine yourself in a world where the only numbers that are known are the even numbers. So, in this world, the only numbers that exist are

$$\mathbb{E} = \{\dots, -8, -6, -4, -2, 0, 2, 4, 6, 8, 10, \dots\}.$$

Notice that in the  $\mathbb{E}$ -Zonewe can add, subtract, and multiply numbers just as usual, since the sum, difference, and product of even numbers is again an even number. We can also talk about divisibility. We say that a number m  $\mathbb{E}$ -divides a number n if there is a number k with n=mk. But remember that we're now in the  $\mathbb{E}$ -Zone, so the word "number" means an even number. For example, 6  $\mathbb{E}$ -divides 12, since  $12=6\cdot 2$ ; but 6 does not  $\mathbb{E}$ -divide 18, since there is no (even) number k satisfying 18=6k.

We can also talk about primes. We say that an (even) number p is an  $\mathbb{E}$ -prime if it is not divisible by any (even) numbers. (In the  $\mathbb{E}$ -Zone, a number is not divisible by itself!) For example, here are some  $\mathbb{E}$ -primes:

Recall the claim we proved above for ordinary numbers. We showed that if a prime p divides a product ab then either p divides a or p divides b. Now move to the  $\mathbb{E}$ -Zone and consider the  $\mathbb{E}$ -prime 6 and the numbers a=10 and b=18. The number 6  $\mathbb{E}$ -divides ab=180, since  $180=6\cdot 30$ ; but 6  $\mathbb{E}$ -divides neither 10 nor 18. So our "obvious" claim is not true here in the  $\mathbb{E}$ -Zone!

There are other "self-evident facts" that are untrue in the E-Zone. For example, consider the fact that every number can be factored as a product of primes in exactly one way. (Of course, rearranging the order of the factors is not considered a different factorization.) It's not hard to show, even in the E-Zone, that every (even) number can be written as a product of E-primes. But consider the following factorizations:

$$180 = 6 \cdot 30 = 10 \cdot 18$$
.

Notice that all of the numbers 6, 30, 10, and 18 are  $\mathbb{E}$ -primes. This means that 180 can be written as a product of  $\mathbb{E}$ -primes in two fundamentally different ways! In fact, there is even a third way to write it as a product of  $\mathbb{E}$ -primes,

$$180 = 2 \cdot 90.$$

<sup>&</sup>lt;sup>3</sup>Since this book is not a multimedia product, you'll have to use your imagination to supply the appropriate Twilight Zone music

We are going to leave the  $\mathbb{E}$ -Zone now and return to the familiar world where odd and even numbers live together in peace and harmony. But we hope that our excursion into the  $\mathbb{E}$ -Zone has convinced you that facts that seem obvious require a healthy dose of skepticism. Especially, any "fact" that "must be true" because it is very familiar or because it is frequently proclaimed to be true is a fact that needs the most careful scrutiny.<sup>4</sup>

### **E-Zone Border Crossing** — Welcome Back Home

Everyone "knows" that a positive integer can be factored into a product of primes in exactly one way. But our visit to the E-Zone provides convincing evidence that this obvious assertion requires a careful proof.

Theorem 7.3 (The Fundamental Theorem of Arithmetic). Every integer  $n \ge 2$  can be factored into a product of primes

$$n=p_1p_2\cdots p_r$$

in exactly one way.

Before we commence the proof of the Fundamental Theorem of Arithmetic, a few comments are in order. First, if n itself is prime, then we just write n=n and consider this to be a product consisting of a single number. Second, when we write  $n=p_1p_2\cdots p_r$ , we do not mean that  $p_1,p_2,\ldots,p_r$  have to be different primes. For example, we would write  $300=2\cdot 2\cdot 3\cdot 5\cdot 5$ . Third, when we say that n can be written as a product in exactly one way, we do not consider rearrangement of the factors to be a new factorization. For example,  $12=2\cdot 2\cdot 3$  and  $12=2\cdot 3\cdot 2$  and  $12=3\cdot 2\cdot 2$ , but all these are treated as the same factorization.

Verification. The Fundamental Theorem of Arithmetic really contains two assertions,

**Assertion 1.** The number n can be factored into a product of primes in some way.

**Assertion 2.** There is only one such factorization (aside from rearranging the factors).

We begin with Assertion 1. We are going to give a proof by induction. Don't let this scare you, it just means that first we'll verify the assertion for n = 2, and

<sup>&</sup>lt;sup>4</sup>The principle that well-known and frequently asserted "facts" should be carefully scrutinized also applies to endeavors far removed from mathematics. Politics and journalism come to mind, and the reader will undoubtedly be able to add many others to the list.

then for n=3, and then for n=4, and so on. We begin by observing that 2=2 and 3=3 and  $4=2^2$ , so each of these numbers can be written as a product of primes. This verifies Assertion 1 for n=2,3,4. Now suppose that we've verified Assertion 1 for every n up to some number, call it N. This means we know that every number  $n \leq N$  can be factored into a product of primes. Now we'll check that the same is true of N+1.

There are two possibilities. First, N+1 may already be prime, in which case it is its own factorization into primes. Second, N+1 may be composite, which means that it can be factored as  $N+1=n_1n_2$  with  $2 \le n_1, n_2 \le N$ . But we know Assertion 1 is true for  $n_1$  and  $n_2$ , since they are both less than or equal to N. This means that both  $n_1$  and  $n_2$  can be written as a product of primes, say

$$n_1 = p_1 p_2 \cdots p_r$$
 and  $n_2 = q_1 q_2 \cdots q_s$ .

Multiplying these two products together gives

$$N+1 = n_1 n_2 = p_1 p_2 \cdots p_r q_1 q_2 \cdots q_s,$$

so N+1 can be factored into a product of primes. This means that Assertion 1 is true for N+1.

To recapitulate, we have shown that, if Assertion 1 is true for all numbers less than or equal to N, then it is also true for N+1. But we have checked it is true for 2, 3, and 4, so taking N=4, we see that it is also true for 5. But then we can take N=5 to conclude that it is true for 6. Taking N=6, we see that it is true for N=7, and so on. Since we can continue this process indefinitely, it follows that Assertion 1 is true for every integer.

Next we tackle Assertion 2. It is possible to give an induction proof for this assertion, too, but we will proceed more directly. Suppose that we are able to factor n as a product of primes in two ways, say

$$n = p_1 p_2 p_3 p_4 \cdots p_r = q_1 q_2 q_3 q_4 \cdots q_s.$$

We need to check that the factorizations are the same, possibly after rearranging the order of the factors. We first observe that  $p_1|n$ , so  $p_1|q_1q_2\cdots q_s$ . The Prime Divisibility Property proved earlier in this chapter tells us that  $p_1$  must divide (at least) one of the  $q_i$ 's, so if we rearrange the  $q_i$ 's, we can arrange matters so that  $p_1|q_1$ . But  $q_1$  is also a prime number, so its only divisors are 1 and  $q_1$ . Therefore, we must have  $p_1=q_1$ .

Now we cancel  $p_1$  (which is the same as  $q_1$ ) from both sides of the equation. This gives the equation

Briefly repeating the same argument, we note that  $p_2$  divides the left-hand side of this equation, so  $p_2$  divides the right-hand side, and hence by the Prime Divisibility Property,  $p_2$  divides one of the  $q_i$ 's. After rearranging the factors, we get  $p_2|q_2$ , and then the fact that  $q_2$  is prime means that  $p_2=q_2$ . This allows us to cancel  $p_2$  (which equals  $q_2$ ) to obtain the new equation

$$p_3p_4\cdots p_r=q_3q_4\cdots q_s$$
.

We can continue in this fashion until either all the  $p_i$ 's or all the  $q_i$ 's are gone. But if all the  $p_i$ 's are gone, then the left-hand side of the equation equals 1, so there cannot be any  $q_i$ 's left, either. Similarly, if the  $q_i$ 's are all gone, then the  $p_i$ 's must all be gone. In other words, the number of  $p_i$ 's must be the same as the number of  $q_i$ 's. To recapitulate, we have shown that if

$$n = p_1 p_2 p_3 p_4 \cdots p_r = q_1 q_2 q_3 q_4 \cdots q_s,$$

where all the  $p_i$ 's and  $q_i$ 's are primes, then r=s, and we can rearrange the  $q_i$ 's so that

$$p_1=q_1$$
 and  $p_2=q_2$  and  $p_3=q_3$  and ... and  $p_r=q_s$ .

This completes the verification that there is only one way to write n as a product of primes.

The Fundamental Theorem of Arithmetic says that every integer  $n \geq 2$  can be written as a product of prime numbers. Suppose we are given a particular integer n. As a practical matter, how can we write it as a product of primes? If n is fairly small (for example, n = 180) we can factor it by inspection,

$$180 = 2 \cdot 90 = 2 \cdot 2 \cdot 45 = 2 \cdot 2 \cdot 3 \cdot 15 = 2 \cdot 2 \cdot 3 \cdot 3 \cdot 5.$$

If n is larger (for example, n=9105293) it may be more difficult to find a factorization. One method is to try dividing n by primes  $2, 3, 5, 7, 11, \ldots$  until we find a divisor. For n=9105293, we find after some work that the smallest prime dividing n is 37. We factor out the 37,

$$9105293 = 37 \cdot 246089$$

and continue checking  $37, 41, 43, \ldots$  to find a prime that divides 246089. We find that 43|246089, since 246089 = 43.5723. And so on until we factor 5723 = 59.97, where we recognize that 59 and 97 are both primes. This gives the complete prime factorization

$$9105293 = 37 \cdot 43 \cdot 59 \cdot 97$$

If n is not itself prime, then there must be a prime  $p \leq \sqrt{n}$  that divides n. To see why this is true, we observe that, if p is the smallest prime that divides n, then n=pm with  $m\geq p$ , and hence  $n=pm\geq p^2$ . Taking the square root of both sides yields  $\sqrt{n}\geq p$ . This gives the following foolproof method for writing any number n as a product of primes:

To write n as a product of primes, try dividing it by every number (or just every prime number)  $2, 3, \ldots$  that is less than or equal to  $\sqrt{n}$ . If you find no numbers that divide n, then n itself is prime. Otherwise, the first divisor that you find will be a prime p. Factor n = pm and repeat the process with m.

This procedure, although fairly inefficient, works fine on a computer for numbers that are moderately large, say up to 10 digits. But how about a number like  $n=10^{128}+1?$  If n turns out to be prime, we won't find out until we've checked  $\sqrt{n}\approx 10^{64}$  possible divisors. This is completely infeasible. If we could check 1,000,000,000 (that's one billion) possible divisors each second, it would still take approximately  $3\cdot 10^{48}$  years! This leads to the following two closely related questions:

Question 1. How can we tell if a given number n is prime or composite?

Question 2. If n is composite, how can we factor it into primes?

Although it might seem that these questions are the same, it turns out that Question 1 is much easier to answer than Question 2. We will later see how to write down large numbers that we know are composite, even though we will be unable to write down any of their factors. In a similar fashion, we will be able to find very large prime numbers p and q so that, if we were to send someone the value of the product n=pq, they would be unable to factor n to retrieve the numbers p and q. This curious fact, that it is very easy to multiply two numbers but very difficult to factor the product, lies at the heart of a remarkable application of number theory to the creation of very secure codes. We will describe these codes in Chapter 18.

#### **Exercises**

**7.1.** Suppose that gcd(a, b) = 1, and suppose further that a divides the product bc. Show that a must divide c.

7.2. Suppose that gcd(a, b) = 1, and suppose further that a divides c and that b divides c.

7.3. Give a proof by induction of each of the following formulas. [Notice that (a) is the formula that we proved in Chapter 1 using a geometric argument and that (c) is the first nterms of the geometric series.]

(a) 
$$1+2+3+\cdot+n=\frac{n(n+1)}{2}$$

(b) 
$$1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{n}$$

(c) 
$$1 + a + a^2 + a^3 + \dots + a^n = \frac{1 - a^{n+1}}{1 - a^n}$$
  $(a \neq 1)$ 

terms of the geometric series.]

(a) 
$$1+2+3+\cdot +n=\frac{n(n+1)}{2}$$

(b)  $1^2+2^2+3^2+\cdots +n^2=\frac{n(n+1)(2n+1)}{6}$ 

(c)  $1+a+a^2+a^3+\cdots +a^n=\frac{1-a^{n+1}}{1-a} \qquad (a\neq 1)$ 

(d)  $\frac{1}{1\cdot 2}+\frac{1}{2\cdot 3}+\frac{1}{3\cdot 4}+\cdots +\frac{1}{(n-1)n}=\frac{n-1}{n}$ 

- 7.4. This exercise asks you to continue the investigation of the E-Zone. Remember as you work that for the purposes of this exercise, odd numbers do not exist!
- (a) Describe all E-primes.
- (b) Show that every even number can be factored as a product of E-primes. (Hint. Mimic our proof of this fact for ordinary numbers.)
- (c) We saw that 180 has three different factorizations as a product of E-primes. Find the smallest number that has two different factorizations as a product of E-primes. Is 180 the smallest number with three factorizations? Find the smallest number with four factorizations.
- (d) The number 12 has only one factorization as a product of  $\mathbb{E}$ -primes:  $12 = 2 \cdot 6$ . (As usual, we consider  $2 \cdot 6$  and  $6 \cdot 2$  to be the same factorization.) Describe all even numbers that have only one factorization as a product of E-primes.
- 7.5. Welcome to M-World, where the only numbers that exist are positive integers that leave a remainder of 1 when divided by 4. In other words, the only M-numbers that exist are

$$\{1, 5, 9, 13, 17, 21, \ldots\}.$$

(Another description is that these are the numbers of the form 4t+1 for  $t=0,1,2,\ldots$ ) In the M-World, we cannot add numbers, but we can multiply them, since if a and b both leave a remainder of 1 when divided by 4 then so does their product. (Do you see why this is true?)

We say that m M-divides n if n = mk for some M-number k. And we say that n is an Mi-prime if its only Mi-divisors are 1 and itself. (Of course, we don't consider 1 itself to be an M-prime.)

- (a) Find the first six M-primes.
- (b) Find an M-number n that has two different factorizations as a product of M-primes.
- **7.6.**  $\square$  In this exercise you are asked to write programs to factor a (positive) integer ninto a product of primes. (If n = 0, be sure to return an error message instead of going into an infinite loop!) A convenient way to represent the factorization of n is as a  $2 \times r$  matrix. Thus, if

$$n = p_1^{k_1} p_2^{k_2} \cdots p_r^{k_r},$$

then store the factorization of n as the matrix

$$\begin{pmatrix} p_1 & p_2 & \cdots & p_r \\ k_1 & k_2 & \cdots & k_r \end{pmatrix}.$$

(If your computer doesn't allow dynamic storage allocation, you'll have to decide ahead of time how many factors to allow.)

- (a) Write a program to factor n by trying each possible factor  $d=2,3,4,5,6,\ldots$  (This is an extremely inefficient method but will serve as a warm-up exercise.)
- (b) Modify your program by storing the values of the first 100 (or more) primes and first removing these primes from n before looking for larger prime factors. You can speed up your program when trying larger d's as potential factors if you don't bother checking d's that are even, or divisible by 3, or by 5. You can also increase efficiency by using the fact that a number m is prime if it is not divisible by any number between 2 and  $\sqrt{m}$ . Use your program to find the complete factorization of all numbers between 1,000,000 and 1,000,030.
- (c) Write a subroutine that prints the factorization of n in a nice format. Optimally, the exponents should appear as exponents; but if this is not possible, then print the factorization of (say)  $n=75460=2^2\cdot 5\cdot 7^3\cdot 11$  as

(To make the output easier to read, don't print exponents that equal 1.)