

## Exercises in Spectral Theory and Operator Algebras II

1. Consider  $L^1(\mathbb{R})$  and define a multiplication on  $L^1(\mathbb{R})$  by convolution

$$f * g(x) = \int_{-\infty}^{+\infty} f(t)g(x-t)dt, f, g \in L^1(\mathbb{R}).$$

$(L^1(\mathbb{R}), *)$  is a commutative Banach algebra.

- Prove that  $(L^1(\mathbb{R}), *)$  does not have an identity.
- For every  $n = 1, 2, \dots$ , let  $\phi_n$  be a nonnegative function in  $L^1(\mathbb{R})$  such that  $\phi_n$  vanishes outside  $[-1/n, 1/n]$  and  $\|\phi_n\|_1 = 1$ . Show that  $\{\phi_n\}_n$  is an approximate identity in the sense that  $\|f * \phi_n - f\|_1 \rightarrow 0$  as  $n \rightarrow \infty$ , for all  $f \in L^1(\mathbb{R})$ .
- Let  $f \in L^1(\mathbb{R})$ . The Fourier transform of  $f$  is defined by

$$\hat{f}(\xi) = \int_{-\infty}^{+\infty} e^{it\xi} f(t)dt, \xi \in \mathbb{R}.$$

Show that  $\mathcal{H}f \in C_0(\mathbb{R})$ , the algebra of all continuous functions vanishing at infinity.

- Show that the Fourier transform is a homomorphism of  $(L^1(\mathbb{R}), *)$  onto a subalgebra of  $C_0(\mathbb{R})$  which is closed under complex conjugation and separates points of  $\mathbb{R}$ .
2. An operator  $A \in B(E)$  is called compact if the norm closure of the image of the unit ball in  $E$  under  $A$ , i.e.  $\{Ax : x \in E, \|x\| = 1\}$  is a compact subset of  $E$ .  $A$  is called finite-dimensional if the dimension of the vector space  $AE$  is finite.
- Let  $A \in B(E)$  be an operator with the property that there is a sequence of finite rank operators  $\{A_n\}_n$  such that  $\|A - A_n\| \rightarrow 0$  as  $n \rightarrow \infty$ . Show that  $A$  is compact.
  - Let  $\{a_n\}_n$  is a bounded sequence of complex numbers and let  $A$  be a diagonal operator on the Hilbert space  $\ell^2$ ,

$$A\xi(n) = a_n\xi(n), \xi \in \ell^2, n \in \mathbb{N}.$$

Show that  $A$  is compact iff  $\lim_{n \rightarrow \infty} a_n \rightarrow 0$ .

3. Let  $k$  be a continuous complex-valued function defined on  $[0, 1] \times [0, 1]$ . Show that the operator  $A$  defined on  $C[0, 1]$  by

$$(Af)(x) = \int_0^1 k(x, y)f(y)dy, x \in [0, 1]$$

is a compact linear operator on  $C[0, 1]$ . Hint: Start to looking at the case  $k(x, y) = u(x)v(y)$  with  $u, v \in C[0, 1]$  and apply Exercise 2.

4. Let  $T$  be the operator defined on  $L^2([0, 1])$  by  $Tf(x) = xf(x)$ ,  $x \in [0, 1]$ . What is the spectrum of  $T$ ? Does  $T$  have point spectrum?
5. Let  $\{a_i\}$  be a sequence of complex numbers such that  $a_n \rightarrow 0$ . Show that the associated weighted shift operator on  $\ell^2$  given by

$$Ae_n = a_n e_{n+1}, n \geq 1,$$

has spectrum  $\{0\}$ .

6. Show that every normed linear space  $E$  has a basis  $B \subset E$  consisting of unit vectors, and deduce that every infinite-dimensional normed linear space has a discontinuous linear functional  $l : E \rightarrow \mathbb{C}$ . Recall that a basis for a vector space  $V$  is a set of vectors  $B$  with the following two properties: every finite subset of  $B$  is linearly independent, and every vector in  $V$  is a finite linear combination of elements of  $B$ .
7. Let  $A$  be a complex algebra and let  $I$  be a proper ideal of  $A$ . Show that  $I$  is a maximal ideal iff the quotient algebra  $A/I$  is simple.
8. Let  $A$  be a unital Banach algebra, let  $n$  be a positive integer, and let  $w : A \rightarrow M_n$  be a homomorphism of complex algebras such that  $w(A) = M_n$ ,  $M_n$  denoting the algebra of all  $n \times n$  matrices over  $\mathbb{C}$ . Show that  $w$  is continuous (where  $M_n$  is topologized in the natural way by  $\mathbb{C}^{n^2}$ ). Deduce that every linear functional  $f : A \rightarrow \mathbb{C}$  satisfying  $f(xy) = f(x)f(y)$ ,  $x, y \in A$ , is continuous.
9. Let  $A$  be the algebra of continuous functions on the complex unit circle  $\mathbb{T}$ , and  $B$  the subalgebra of functions which are restriction to  $\mathbb{T}$  of functions which are analytic on the open complex unit disc  $\mathbb{D}$  and continuous on the closed complex unit disc  $\mathbb{D}$ . Show that for  $f(z) = z$  we have  $\sigma_A(f) = \mathbb{T}$  but  $\sigma_B(f) = \mathbb{D}$ , where  $\sigma_A(f)$  ( $\sigma_B(f)$ ) denotes the spectrum of  $f$  in  $A$  (resp. in  $B$ ).
10. Let  $a \in A$  be an element of the Banach algebra  $A$ ; and let  $\mathcal{O} \subset \mathbb{C}$ ,  $\sigma(a) \subset \mathcal{O}$ . Show that there exists  $\delta > 0$  such that  $\sigma(b) \subset \mathcal{O}$  for any  $b \in A$  such that  $\|b - a\| \leq \delta$ . Hint: use the fact that the set of invertible elements in Banach algebra is open.
11.
  - Show that the Banach spaces  $\ell^\infty$  and  $c = \{\{x_n\} \in \ell^\infty : \exists \lim_n x_n\}$  provided with pointwise multiplication are commutative Banach algebras.
  - Show that  $c_0 = \{\{x_n\} \in \ell^\infty : \lim_n x_n = 0\}$  is a (norm) closed proper ideal in  $\ell^\infty$ .
  - Show that  $c_0$  is a maximal ideal in  $c$  finding a linear multiplicative functional with  $c_0$  as its kernel.
  - Is  $c_0$  a maximal ideal in  $\ell^\infty$ ?
12. Let  $V$  be the Volterra operator on  $L^2[0, 1]$  and let  $A$  be the Banach subalgebra of  $B(L^2[0, 1])$  generated by  $V$  and the identity operator, i.e.  $A$  is the smallest Banach algebra with identity that contains  $V$ . Determine the maximal ideal space of  $A$  and the radical of  $A$ .

Exercises 1-8 are from Arveson "A short course on spectral theory"