

## Exercises in Spectral Theory and Operator Algebras III

In Exercises 1-4,  $A$  is a commutative Banach algebra with unit.

1. Show that if  $A$  is nontrivial in the sense that  $A \neq \{0\}$ , one has  $\text{sp}(A) \neq \emptyset$ .
2. Show that the mapping  $\omega \in \text{sp}(A) \rightarrow \ker \omega$  is a bijection of the Gelfand spectrum onto the set of all maximal ideals in  $A$ .
3. Show that the Gelfand map is an isometry, i.e.  $\|\Gamma(a)\| = \|a\|$  for all  $a \in A$ , iff  $\|a^2\| = \|a\|^2$  for every  $a \in A$ .
4. The radical of  $A$  is defined as the set  $\text{rad}(A)$  of all quasinilpotent elements of  $A$ . Show that  $\text{rad}(A)$  is a closed ideal in  $A$  with the property that  $A/\text{rad}(A)$  has no quasinilpotent elements (such  $A$  is called semisimple).
5. Let  $A$  and  $B$  be commutative unital Banach algebras and let  $\theta : A \rightarrow B$  be a homomorphism of the complex algebra structures such that  $\theta(1_A) = 1_B$ . Do not assume that  $\theta$  is continuous.
  - Show that  $\theta$  induces a continuous map  $\hat{\theta} : \text{sp}(B) \rightarrow \text{sp}(A)$  by  $\hat{\theta}(\omega) = \omega \circ \theta$ .
  - Assuming that  $B$  is semisimple, show that  $\theta$  is necessarily bounded. Hint: Use the closed graph theorem.
  - Deduce that every automorphism of a commutative semisimple unital Banach algebra is a topological automorphism, i.e. continuous.
6. Let  $A = C^1([0, 1])$ .  $A$  is a Banach algebra with respect to the norm  $\|f\| = \sup_{[0,1]} |f(t)| + \sup_{[0,1]} |f'(t)|$ . Consider  $x : [0, 1] \rightarrow \mathbb{C}$ ,  $x(t) = t$ . Show that  $x$  generates  $A$  as a Banach algebra, i.e.  $A$  is the smallest Banach algebra with unit that contains  $x$ . Then show that  $[0, 1] \rightarrow \text{sp}(A)$ ,  $t \rightarrow \omega_t$ , where  $\omega_t(f) = f(t)$  is a homeomorphism and that the spectral radius  $r(f) = \|f\|_\infty$ ,  $f \in C^1([0, 1])$ . Using this prove then that the Gelfand transform is not surjective.
7. Let  $[\cdot, \cdot] : H \times H \rightarrow \mathbb{C}$  be a sesquilinear form defined on a Hilbert space  $H$ . Show that  $[\cdot, \cdot]$  satisfies the polarization formula

$$4[\xi, \eta] = \sum_{k=0}^3 [\xi + i^k \eta, \xi + i^k \eta].$$

8. Let  $A \in B(H)$  be a Hilbert space operator. The quadratic form of  $A$  is the function  $q_A : H \rightarrow \mathbb{C}$  defined by  $q_A(\xi) = \langle A\xi, \xi \rangle$ . The numerical range and numerical radius of  $A$  are defined, respectively, by

$$W(A) = \{q_A(\xi) : \|\xi\| = 1\} \subset \mathbb{C}$$

$$\omega(A) = \sup\{|q_A(\xi)| : \|\xi\| = 1\}.$$

- Show that  $A$  is selfadjoint iff  $q_A$  is real-valued.
- Show that  $\omega(A) \leq \|A\| \leq 2\omega(A)$  and deduce that  $q_A = q_B$  only when  $A = B$ .  
Hint: Polarize.
- Show that if  $A$  is selfadjoint then  $q_{A^2}(\xi) = q_A(\xi)^2$ ,  $\|\xi\| = 1$ , only if  $\xi$  is an eigenvector. Hint: Use Cauchy-Schwartz.

Exercises 1-5, 7,8 are from Arveson " A short course on spectral theory"