

# Shilov boundary for "holomorphic functions" on a quantum matrix ball

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( joint work with Olga Bershtein and Olof Gisselson )

Kristineberg, June 5, 2019

To the memory of Leonid Vaksman

**Maximum modulus principle in complex analysis:**  $f$  is holomorphic on  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  and continuous on  $\overline{\mathbb{D}}$  ( $f \in A(\mathbb{D})$ ) then

$$\|f\|_{C(\overline{\mathbb{D}})} = \max_{z \in \mathbb{T}} |f(z)| \quad (1)$$

where  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ . Moreover,  $\mathbb{T}$  is the minimal closed subset of  $\overline{\mathbb{D}}$  satisfying (1).  $\mathbb{T}$  is called the **Shilov boundary** of  $\overline{\mathbb{D}}$  relative  $A(\mathbb{D})$ .

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Let  $X$  be a compact Hausdorff space,  $\mathcal{A}$  be a uniform subalgebra of  $C(X)$  (i.e.  $\mathcal{A}$  is closed, contains constants and separates points). The **Shilov boundary** of  $X$  relative  $\mathcal{A}$  is the smallest closed subset  $K \subset X$  such that

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$$\|f\|_{C(X)} = \max_{x \in K} |f(x)| \forall f \in \mathcal{A},$$

equivalently, if  $J = \{f \in C(X) : f(x) = 0, x \in K\}$  then

$$j : C(X) \rightarrow C(X)/J, f \rightarrow f + J$$

is an isometry when restricted to  $\mathcal{A}$ .

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## Definition

An ideal  $J$  in  $\mathcal{B}$  is called a boundary ideal of  $\mathcal{B}$  relative  $\mathcal{A}$  if the canonical map  $j : \mathcal{B} \rightarrow \mathcal{B}/J$  is a **complete** isometry when restricted to  $\mathcal{A}$ .

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Shilov boundary ideal exists and unique (Arveson '70, Hamana '79, Ditschel and McCullough, '03).

## Example

Let  $\mathbb{U} = \{z \in \text{Mat}_n : zz^* < 1\}$  and  $\partial\mathbb{U} = \{z \in \text{Mat}_n : zz^* = 1\}$ . Let  $C(\overline{\mathbb{U}})$ ,  $C(\partial\mathbb{U})$  be  $C^*$ -algebras of continuous functions on  $\overline{\mathbb{U}}$  and  $\partial\mathbb{U}$ , and  $\mathcal{A}(\mathbb{U}) \subset C(\overline{\mathbb{U}})$  be the algebra of holomorphic functions in  $\mathbb{U}$ . Let

$$j : C(\overline{\mathbb{U}}) \rightarrow C(\partial\mathbb{U}), f \mapsto f|_{\partial\mathbb{U}}$$

be the restriction map. By the maximum principle  $j|_{\mathcal{A}(\mathbb{U})}$  is an isometry and since  $C(\partial\mathbb{U})$  is commutative, it is a complete isometry. Hence  $J = \ker(j)$  is a boundary ideal.

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We will discuss  $q$ -analogs of the  $C^*$ -algebras  $C(\overline{\mathbb{U}})$  and  $C(\partial\mathbb{U})$  and a  $q$ -analog,  $j_q$ , of the homomorphism  $j$  and show that  $\ker(j_q)$  is the Shilov boundary.

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$\mathbb{C}[\text{Mat}_n]_q$ ,  $0 < q < 1$ , is defined by its generators  $z_i^j$ ,  $i, j = 1, \dots, n$ , and the commutation relations

$$\begin{aligned} z_i^j z_k^l - q z_k^l z_i^j &= 0, i = k \quad \& \quad j < l, \quad \text{or} \quad i < k \quad \& \quad j = l, \\ z_i^j z_k^l - z_k^l z_i^j &= 0, j < l \quad \& \quad i > k, \\ z_i^j z_k^l - z_k^l z_i^j - (q - q^{-1}) z_i^l z_k^j &= 0, j < l \quad \& \quad i < k. \end{aligned} \tag{2}$$

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$\mathbb{C}[\text{Mat}_n]_q$  is a  $q$  analog of the holomorphic polynomials on  $\text{Mat}_n$ .  $\text{Pol}(\text{Mat}_n)_q$  is a  $q$ -analog of the polynomial algebra on  $\text{Mat}_n$ . Its generators are  $z_i^j$ ,  $(z_i^j)^*$ ,  $i, j = 1, \dots, n$ , and the list of relations is formed by (2) and

$$(z_k^l)^* z_i^j = q^2 \cdot \sum_{i', k'=1}^n \sum_{i', k'=1}^n R_{ki}^{k' i'} R_{lj}^{l' j'} \cdot z_{i'}^{j'} (z_{k'}^{l'})^* + (1 - q^2) \delta_{ik} \delta^{jl},$$

with  $\delta_{ik}$ ,  $\delta^{jl}$  being the Kronecker symbols, and certain coeff.  $R_{ij}^{kl}$



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The  $q$ -analog of the Shilov boundary was introduced as follows: let for  $\mathbf{z} = (z_i^j)$

$$\det_q \mathbf{z} = \sum_{s \in S_n} (-q)^{l(s)} z_1^{s(1)} z_2^{s(2)} \dots z_n^{s(n)}$$

with  $l(s)$  being the number of inversion in  $s \in S_n$  (the  $q$ -determinant).

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- $\det_q \mathbf{z}$  is in the center of  $\mathbb{C}[\text{Mat}_n]_q$ ; the localization of  $\mathbb{C}[\text{Mat}_n]_q$  w.r.t.  $(\det_q \mathbf{z})^{\mathbb{N}}$  is the algebra of regular functions on the quantum  $\text{GL}_n$ , denoted by  $\mathbb{C}[\text{GL}_n]_q$ .

There exists a unique involution  $*$  in  $\mathbb{C}[GL_n]_q$  such that

$$(z_a^b)^* = (-q)^{a+b-2n} (\det_q \mathbf{z})^{-1} \det_q \mathbf{z}_a^b$$

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The  $*$ -algebra  $\text{Pol}(\partial\mathbb{U})_q := (\mathbb{C}[GL_n]_q, *) \simeq \mathbb{C}[U_n]_q$  is a  $q$ -analog of the polynomial algebra on the Shilov boundary of  $\mathbb{U}$ .

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### Theorem (Vaksman)

$$j_q : \text{Pol}(\text{Mat}_n)_q \rightarrow \text{Pol}(\partial\mathbb{U})_q, \quad z_a^b \mapsto z_a^b$$

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$j_q$  is a  $q$ -analog of the operator which restricts the polynomials to the Shilov boundary.



# The co-action of $\mathbb{C}[SU_n]_q$ on $\text{Pol}(\text{Mat}_n)_q$

$\mathbb{C}[SU_n]_q$  is the algebra  $\mathbb{C}[U_n]_q / \langle (q^{-n(n-1)/2} - \det_q \mathbf{z}) \rangle$ .

Letting the generators of  $\mathbb{C}[SU_n]_q$  be  $t_{kj} := q^{n-k} z_k^j$  we get a quantum group with co-product  $\Delta$ , co-unit  $\epsilon$  and antipode  $S$

$$\Delta(t_{kj}) = \sum_m t_{km} \otimes t_{mj} \quad \epsilon(t_{kj}) = \delta_{kj} \quad S(t_{kj}) = t_{jk}^*$$

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There is a co-action of  $\mathbb{C}[SU_n]_q$  on  $\text{Pol}(\text{Mat}_n)_q$  given by a  $*$ -homomorphism

$$\mathcal{D} : \text{Pol}(\text{Mat}_n)_q \rightarrow \text{Pol}(\text{Mat}_n)_q \otimes \mathbb{C}[SU_n]_q \otimes \mathbb{C}[SU_n]_q$$

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If  $q = 1$ , the co-action comes from the two different actions of  $SU_n$  on  $\text{Mat}_n$

$$(A, X) \mapsto AX \quad (A, X) \mapsto XA^t.$$

for  $X \in \text{Mat}_n$  and  $A \in SU_n$ .

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**The Fock representation:** Consider a  $\text{Pol}(\text{Mat}_n)_q$ -module  $\mathcal{H}$  determined by a single generator  $v_0$  (a vacuum vector) and the relations

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**Theorem (Sinelschikov, Shklyarov, Vaksman)**

*There exists a unique sesquilinear form  $(\cdot, \cdot)$  on  $\mathcal{H}$  such that*

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*Moreover, the form is positive definite.*

$\mathcal{H}$  becomes a pre-Hilbert space and for  $f \in \text{Pol}(\text{Mat}_n)_q$

$$\pi_F(f) : v \rightarrow fv$$

is bdd and hence can be extended to a bdd operator on  $\overline{\mathcal{H}}$ .

$f \mapsto \pi_F(f) \in B(\overline{\mathcal{H}})$  is called **the Fock representation**.



# Representations of $\text{Pol}(\text{Mat}_n)_q$

For  $m \leq n$  there exists a  $*$ -homomorphism

$$\text{Pol}(\text{Mat}_m)_q \rightarrow \text{Pol}(\text{Mat}_n)_q, z_k^j \mapsto z_{k+n-m}^{j+n-m}$$

in particular,

$$\rho : \text{Pol}(\text{Mat}_n)_q \rightarrow \text{Pol}(\text{Mat}_{2n})_q, z_k^j \mapsto z_{k+n}^{j+n}.$$



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There is a  $*$ -homomorphism

$$\begin{aligned} \psi : \text{Pol}(\text{Mat}_n)_q &\rightarrow \mathbb{C}[SU_n]_q \\ z_k^j &\mapsto (-q)^{k-n} t_{jk}. \end{aligned}$$

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The idea is to construct a  $*$ -representation  $\Pi$  of  $\mathbb{C}[SU_{2n}]_q$  s.t

$$\Pi \circ \psi \circ \rho \cong \pi_{F,n}.$$

# \*-representations of $\mathbb{C}[SU_n]_q$

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Obs!  $C^*(S, C_q, D_q) = C^*(S)$ , the  $C^*$ -algebra generated by the isometry  $S$ . Consider the \*-homomorphisms

$$\phi_i : \mathbb{C}[SU_n]_q \rightarrow \mathbb{C}[SU_2]_q$$

$$\begin{aligned}\phi_i(t_{ii}) &= t_{11}, & \phi_i(t_{i+1i+1}) &= t_{22}, \\ \phi_i(t_{ii+1}) &= t_{12}, & \phi_i(t_{i+1i}) &= t_{21} \\ \phi_i(t_{kj}) &= \delta_{kj} I & & \text{otherwise.}\end{aligned}$$

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Let  $\pi_i : \mathbb{C}[SU_n]_q \rightarrow B(\ell^2(\mathbb{Z}_+))$  be the composition  $\pi \circ \phi_i$ . Let  $s_i$  denote the adjacent transposition  $(i, i + 1)$  in the symmetric group  $S_n$ . Obs!  $\pi_i(\mathbb{C}[SU_n]_q) \subset C^*(S)$ .

## Definition

For an element  $s \in S_n$  consider a minimal decomposition of  $s = s_{j_1} s_{j_2} \dots s_{j_m}$  into a product of adjacent transposition and let  $\pi_s$  be the \*-representation of  $\mathbb{C}[SU_n]_q$  given by  $\pi_{j_1} \otimes \pi_{j_2} \otimes \dots \otimes \pi_{j_m}$ .



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Up to unitary equivalence,  $\pi_s$  is independent of the specific minimal decomposition. Up to action of torus, all irreducible representations of  $\mathbb{C}[SU_n]_q$  arise this way (Soibelman).

# Construction of Fock representation

In the symmetric group  $S_{2n}$  let

$$s = \begin{pmatrix} 1 & 2 & \dots & n & n+1 & n+2 & \dots & 2n \\ n+1 & n+2 & \dots & 2n & 1 & 2 & \dots & n \end{pmatrix}.$$

We have  $s = \sigma_1 \dots \sigma_n$ , where  $\sigma_i = s_{n+i-1} \dots s_i$ .

## Theorem

$\pi_s \circ \psi \circ \rho$  is unitarily equivalent to the Fock representation  $\pi_F$  and  $\overline{\pi_F(\text{Pol}(\text{Mat}_n)_q)} \subset C^*(S)^{\otimes n^2}$ .

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## Theorem (Gisselson)

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Generalizes

$$T \in \text{Mat}_n, \|T\| \leq 1 \Rightarrow \begin{bmatrix} T^* & \sqrt{I - T^*T} \\ -\sqrt{I - TT^*} & T \end{bmatrix} \in U_{2n}.$$

# The Fock representation is faithful

## Theorem (Gisselson)

*The universal enveloping  $C^*$ -algebra of  $\text{Pol}(\text{Mat}_n)_q$  exists and is isomorphic to  $\overline{\pi_F(\text{Pol}(\text{Mat}_n)_q)} (\subset C^*(S)^{\otimes n^2})$ .*

Let  $\mathbf{z} = ((q)^{n-k} z_k^j)_{k,j}$ . Vaksman proved  $\|\pi_F(\mathbf{z})\| \leq 1$ .

# Shilov boundary

- We shall write  $C(\overline{U}_n)_q$  for the universal enveloping algebra of  $\text{Pol}(\text{Mat}_n)_q$  ( $\simeq \overline{\pi_F(\text{Pol}(\text{Mat}_n)_q)}$ ) for all  $n$ .

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- The analog of holomorphic functions on  $\mathbb{U}$  is the unital closed subalgebra  $\mathcal{A}(\mathbb{U}_n)_q$  of  $C(\overline{\mathbb{U}}_n)_q$  generated by "holomorphic coordinates"  $\{z_i^j\}_{i,j=1}^n$ .



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- "Algebraic" Shilov ideal  $J_n := \ker(j_q)$  for  $j_q : \text{Pol}(\text{Mat}_n)_q \rightarrow \text{Pol}(\partial\mathbb{U}_n)_q, z_j^i \mapsto z_j^i$ :
  - $n = 1$ :  $J_n = \langle z_1 z_1^* - 1 \rangle$
  - $n > 1$ :  $J_n = \langle \sum_{j=1}^n q^{2n-\alpha-\beta} z_j^\alpha (z_j^\beta)^* - \delta^{\alpha,\beta}, \alpha, \beta = 1, 2, \dots, n \rangle$

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## Theorem

The closure  $\overline{J}_n$  in  $C(\overline{\mathbb{U}}_n)_q$  is the Shilov ideal in the sense of Arveson, i.e.

$$j_q : C(\overline{\mathbb{U}}_n)_q \rightarrow C(\overline{\mathbb{U}}_n)_q / \overline{J}_n$$

is a complete isometry when restricted to  $\mathcal{A}(\mathbb{U}_n)_q$  and  $\overline{J}_n$  is the maximal one with this property.

Shilov boundary for "holomorphic functions" on a quantum domain

# Idea of the proof

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Let  $\pi_{F,n}$  be the Fock representation of  $\text{Pol}(\text{Mat}_n)_q$  and  $J_n$  its "algebraic" Shilov boundary ideal. To see that  $\overline{J_n}$  is a boundary ideal it is enough to see that  $\pi_{F,n}$  is a **dilation** of a  $*$ -representation  $\psi_n$  that annihilates the ideal  $J_n$ , when restricted to  $\mathcal{A}(\mathbb{U}_n)_q$ , i.e.

$$\pi_{F,n}(a) = P_H \psi_n(a)|_H, \forall a \in \mathcal{A}(\mathbb{U}_n)_q.$$

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In this case we would have

$$\begin{aligned} \|(\pi_{F,n}(a_{ij}))_{i,j}\|_{M_k(C(\overline{\mathbb{U}_n})_q)} &\leq \|(\psi_n(a_{ij}))\|_{M_k(B(H_{\psi_n}))} \\ &\leq \|j_q^{(k)}((\pi_{F,n}(a_{ij}))\|_{M_k(C(\overline{\mathbb{U}_n})_q/\overline{J_n})} \end{aligned}$$

for any  $(a_{ij}) \in M_k(\mathcal{A}(\mathbb{U}_n)_q)$ .

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We will use induction on  $n$ .

# Sz-Nagy's dilation theorem and Shilov boundary for $n = 1$

## Theorem (Sz-Nagy)

*Let  $T \in B(H)$  with  $\|T\| \leq 1$ . Then there exists a Hilbert space  $K$ ,  $K \supset H$  and a unitary operator  $U$  on  $K$  such that*

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Since  $\pi : z_1 \mapsto U$  determines a  $*$ -representation of  $\text{Pol}(\mathbb{C})_q$  that annihilates  $J = \langle z_1 z_1^* - 1 \rangle$ , we get

$$\pi_F(a) = P_H \pi(a)|_H, \quad a \in \mathcal{A}(\mathbb{U})_q$$

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$$\mathcal{D} : \text{Pol}(\text{Mat}_n)_q \rightarrow \text{Pol}(\text{Mat}_n)_q \otimes \mathbb{C}[SU_n]_q \otimes \mathbb{C}[SU_n]_q,$$

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Hence if  $\rho \in \text{Rep}(\text{Pol}(\text{Mat}_{n-1})_q)$ ,  $\tau \in \text{Rep}(\text{Pol}(\text{Mat}_n)_q)$ , and  $\pi_1, \pi_2 \in \text{Rep}(\mathbb{C}[SU_n]_q)$  then  $\rho \circ \Pi_\varphi$  and  $(\tau \otimes \pi_1 \otimes \pi_2) \circ \mathcal{D}$  are \*-representations of  $\text{Pol}(\text{Mat}_n)_q$ .

Assume by induction that  $\pi_{F,n-1}$  is a dilation of a  $*$ -representation  $\psi$  s.t.  $\psi(J_{n-1}) = 0$ , i.e.  $\pi_{F,n-1}(a) = P_H \psi(a)|_H$ ,  $a \in \mathcal{A}(\mathbb{U}_{n-1})_q$ .

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- $((\psi \circ \Pi_\varphi) \otimes \pi_\omega \otimes \pi_\omega) \circ \mathcal{D}$ ,  $\omega \in S_n$  annihilates the ideal  $J_n$  and hence  $(\pi_{F,n-1} \circ \Pi_\varphi) \otimes \pi_\omega \otimes \pi_\omega \circ \mathcal{D}$  is a dilation of a  $*$ -representation that annihilates  $J_n$ ;



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- $\bar{J}_n$  is the largest boundary ideal, i.e. the Shilov boundary ideal.

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THANK YOU!