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# Anisotropy analysis of the system of air pores in polar ice

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Workshop

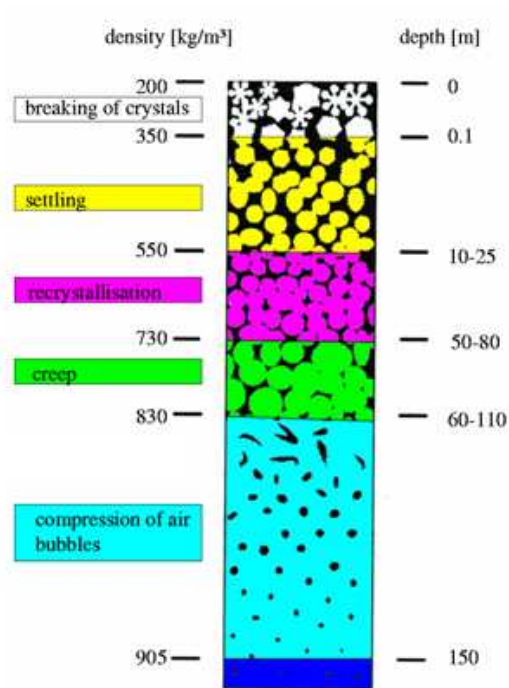
Spatial and spatio-temporal modelling in biology, ecology and  
geosciences

Smögen, August 21-25, 2006



**Fraunhofer** Institut  
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Wirtschaftsmathematik

## Motivation



Polar ice is compacted (sintered) snow. During the compression air pores are isolated in the ice.

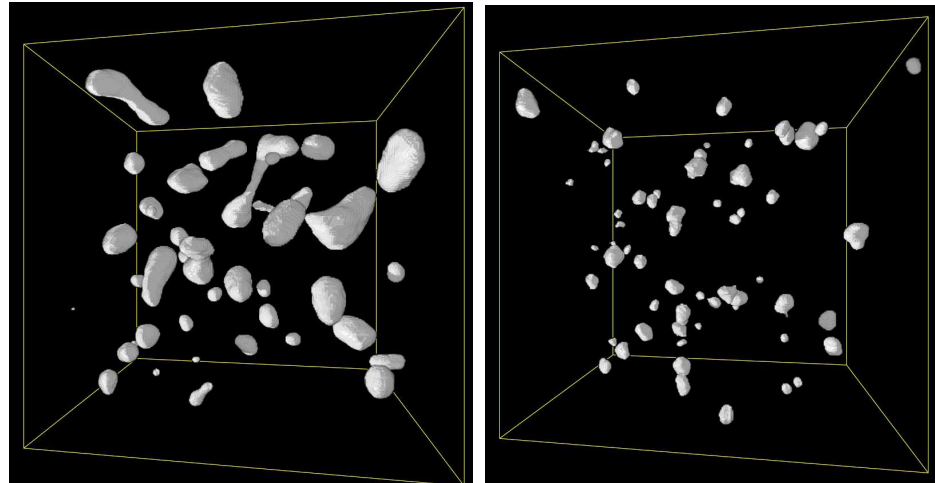
Image: Freitag 1), Kipfstuhl 1), Stauffer 2)

1) Alfred-Wegener-Institute for polar and marine research, Bremerhaven

2) University Bern

## Motivation

**Aim** investigation of the spatial arrangement of air pores in the ice



**Question** Does the location of the pores tell anything about the movements of the ice?

## Data

### Original data

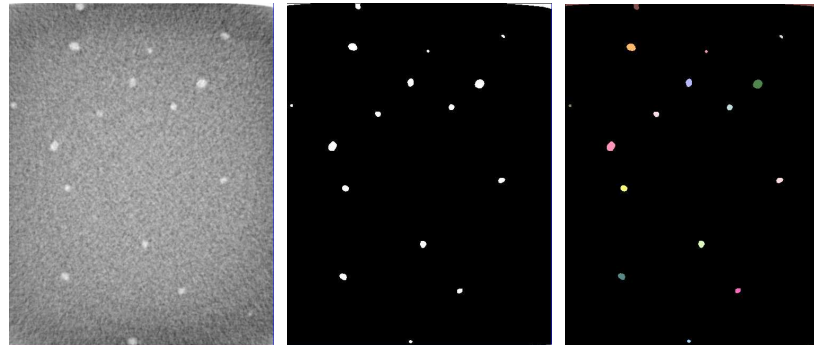
tomographic images of ice cores

4 images per depth

number	250	610	910	1209
depth	137.5	335.5	500.5	664.95

### Image processing

smoothing -> binarization -> labelling -> postprocessing



## Methods in 2d

- point-pair rose density (Stoyan, Benes, 1991) and directional K-function (Stoyan, Kendall, Mecke, 1995)
- directional pair correlation function (Stoyan, Stoyan, 1992)
- Fry-method (Fry, 1979)
- 0-contour of the density  $\frac{d}{d\varphi}K(r, \varphi)$  (SKM, 1995)



## Ideas for 3d

### Requirements

- measurement of characteristics easy and robust
- methods for visualisation of results

### Consider

- nearest neighbour directions (Fisher et. al., 1987)  
-> nearest neighbours and directions only
- directional G-function  
-> only nearest neighbours, directions and distances
- directional K-function / Fry-method  
-> all points, directions and distances



## Nearest neighbour directions

Investigate set of directions from a point to its nearest neighbour.

**Cartesian coordinates**

$$(x_1, y_1, z_1), \dots, (x_n, y_n, z_n)$$

**Cylindrical coordinates**

$$(\varphi_1, z_1), \dots, (\varphi_n, z_n)$$

**Uniform distribution on unit sphere**

$$U = \begin{pmatrix} \cos(\Phi) \sqrt{1 - Z^2} \\ \sin(\Phi) \sqrt{1 - Z^2} \\ Z \end{pmatrix},$$

with  $Z \sim U(0, 1)$  and  $\Phi \sim U(0, 2\pi)$ .

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### p-values of a $\chi^2$ -test (10 groups)

	250 <sub>2</sub>	250 <sub>3</sub>	250 <sub>4</sub>	250 <sub>5</sub>
$\Phi$	0.8075	0.0243	0.5812	0.6446
$Z$	0.3224	0.5547	0.2757	0.1079
	610 <sub>1</sub>	610 <sub>2</sub>	610 <sub>3</sub>	610 <sub>4</sub>
$\Phi$	0.4830	0.5712	0.4388	0.4894
$Z$	$1.6e - 5$	0.0001	0.0365	0.0038
	910 <sub>1</sub>	910 <sub>2</sub>	910 <sub>3</sub>	910 <sub>4</sub>
$\Phi$	0.8847	0.5924	0.5341	0.22835
$Z$	0.0052	0.1160	0.6090	0.0005
	1209 <sub>1</sub>	1209 <sub>3</sub>	1209 <sub>4</sub>	
$\Phi$	0.7359	0.6934	0.0005	
$Z$	$1.9e - 5$	0.1794	0.0215	





## Gine's statistic

### Gine's statistic

Tests uniform distribution against models which are symmetric to center of the sphere.

$\psi_{ij}$  angle between  $u_i$  and  $u_j$ .

$$G_n = \frac{n}{2} - \frac{4}{n\pi} \sum_{i=1}^{n-1} \sum_{j=i+1}^n \sin(\psi_{ij})$$

1.413(20%), 1.816(10%), 2.207(5%), 3.090(1%)

250 <sub>2</sub>	0.41	610 <sub>1</sub>	1.45	910 <sub>1</sub>	1.84	1209 <sub>1</sub>	1.68
250 <sub>3</sub>	0.88	610 <sub>2</sub>	2.16	910 <sub>2</sub>	0.97	1209 <sub>3</sub>	1.27
250 <sub>4</sub>	1.01	610 <sub>3</sub>	0.89	910 <sub>3</sub>	0.74	1209 <sub>4</sub>	2.29
250 <sub>5</sub>	0.60	610 <sub>4</sub>	1.83	910 <sub>4</sub>	2.11		



## Orientation matrix

**Given**

$n$  unit vectors  $(x_1, y_1, z_1), \dots, (x_n, y_n, z_n)$ .

**Orientation matrix**

$$T = \begin{pmatrix} \sum x_i^2 & \sum x_i y_i & \sum x_i z_i \\ \sum x_i y_i & \sum y_i^2 & \sum y_i z_i \\ \sum x_i z_i & \sum y_i z_i & \sum z_i^2 \end{pmatrix}.$$

**Normalized eigenvalues**

$$\tau_1 \leq \tau_2 \leq \tau_3$$

**Shape parameter**

$$\gamma = \frac{\log\left(\frac{\tau_3}{\tau_2}\right)}{\log\left(\frac{\tau_2}{\tau_1}\right)}$$

**Strength parameter**

$$\zeta = \log\left(\frac{\tau_3}{\tau_1}\right)$$

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<b>Results</b>		$\tau_1$	$\tau_2$	$\tau_3$	per	$\gamma$	$\zeta$
250 <sub>2</sub>	87	0.2312	0.3673	0.4016	0.4178 (10%)	0.19	0.55
250 <sub>3</sub>	140	0.2707	0.3327	0.3965	0.4000 (10%)	0.85	0.38
250 <sub>4</sub>	104	0.2421	0.3365	0.4215	0.4106 (10%)	0.68	0.55
250 <sub>5</sub>	112	0.2900	0.3348	0.3752	0.4078 (10%)	0.79	0.26
610 <sub>1</sub>	179	0.2635	0.2873	0.4492	0.4109 (1%)	5.16	0.53
610 <sub>2</sub>	173	0.2379	0.2970	0.4652	0.4123 (1%)	2.02	0.67
610 <sub>3</sub>	119	0.2471	0.3154	0.4375	0.4285 (1%)	1.34	0.57
610 <sub>4</sub>	250	0.2484	0.3437	0.4079	0.3990 (1%)	0.53	0.50
910 <sub>1</sub>	284	0.2717	0.3095	0.4188	0.3949 (1%)	2.32	0.43
910 <sub>2</sub>	237	0.2765	0.3104	0.4132	0.4008 (1%)	2.47	0.40
910 <sub>3</sub>	193	0.2811	0.3077	0.4112	0.4081 (1%)	3.21	0.38
910 <sub>4</sub>	218	0.2779	0.2889	0.4332	0.4036 (1%)	10.42	0.44
1209 <sub>1</sub>	205	0.2617	0.2888	0.4495	0.4058 (1%)	4.48	0.54
1209 <sub>3</sub>	236	0.2847	0.3037	0.4117	0.4009 (1%)	4.71	0.37
1209 <sub>4</sub>	211	0.2524	0.2840	0.4636	0.4048 (1%)	4.16	0.61

Folie 10



## Principal axis

Axis about which the moment of inertia is least

### Eigenvector with respect to $\tau_3$

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	610 <sub>1</sub>	610 <sub>2</sub>	610 <sub>3</sub>	610 <sub>4</sub>	910 <sub>1</sub>	910 <sub>2</sub>	910 <sub>3</sub>	910 <sub>4</sub>	1209 <sub>1</sub>	1209 <sub>3</sub>	1209 <sub>4</sub>
<i>x</i>	0.03	0.08	0.16	-0.08	0.09	-0.12	0.51	0.01	-0.01	-0.25	0.99
<i>y</i>	-0.25	0.06	-0.08	-0.02	-0.12	-0.24	0.05	-0.03	-0.20	-0.47	0.1
<i>z</i>	0.97	1.00	-0.98	1.00	0.99	0.96	-0.86	1.00	0.98	0.84	0.12

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## Pressed point processes

### Transformation

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} \mapsto \begin{pmatrix} x \\ y \\ cz \end{pmatrix}, 0 < c < 1$$

### Aim

- detection of the distortion
- estimation of the parameter  $c$

## Simulations

Test of the methods using simulated data

### **Matern hard-core process, $R=0.05$**

#### **Dataset I**

$$\lambda_I = 1000, W_I = [0, 1]^3, c = 1$$

#### **Dataset II**

$$\lambda_{II} = 500, W_{II} = [0, 1]^2 \times [0, 2], c = 1/2$$

#### **Dataset III**

$$\lambda_{III} = 333, W_{III} = [0, 1]^2 \times [0, 3], c = 1/3$$

-> three point processes of intensity 1000 within the unit cube



## Directional G-function

Distribution function of the distance to the nearest neighbour conditioned on directions

Let  $(R, \Phi, \Theta)$  be the spherical coordinates of the vector from the typical point to its nearest neighbour and  $\vartheta_M \in [0, \pi]$ .

$$G_g(l) := \mathbb{P}(R \leq l \mid \Theta \in [0, \vartheta_M] \cup [\pi - \vartheta_M, \pi]).$$

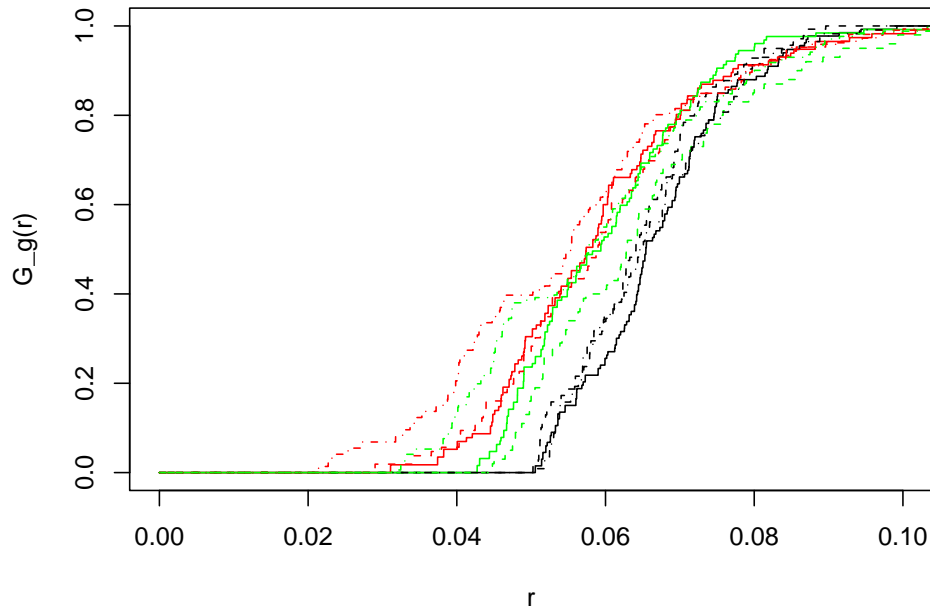
Might be inaccurate for low intensities.

Let  $(\tilde{R}, \tilde{\Phi}, \tilde{\Theta})$  be the spherical coordinates of the vector from the typical point to its nearest neighbour within a double cone defined by  $\vartheta_M$ .

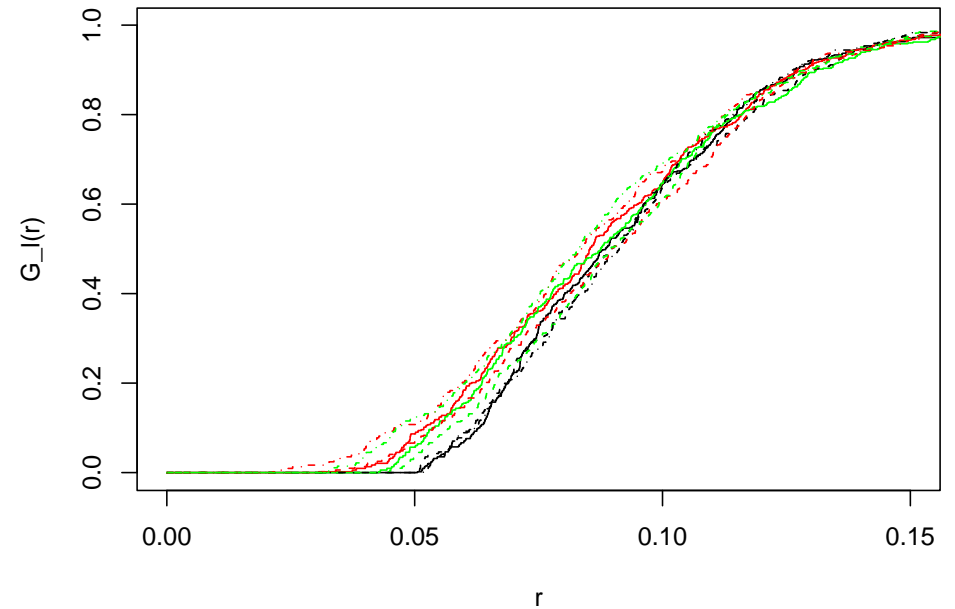
$$G_l(l) := \mathbb{P}(\tilde{R} \leq l)$$

## Result simulations

global



local



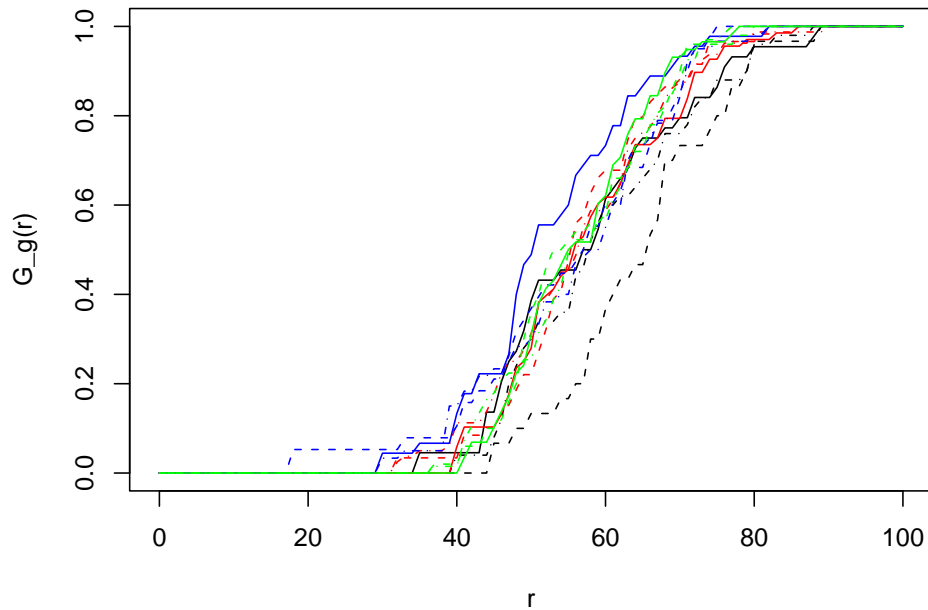
black: I, green: II, red: III,  $\vartheta_M = \frac{\pi}{3}$



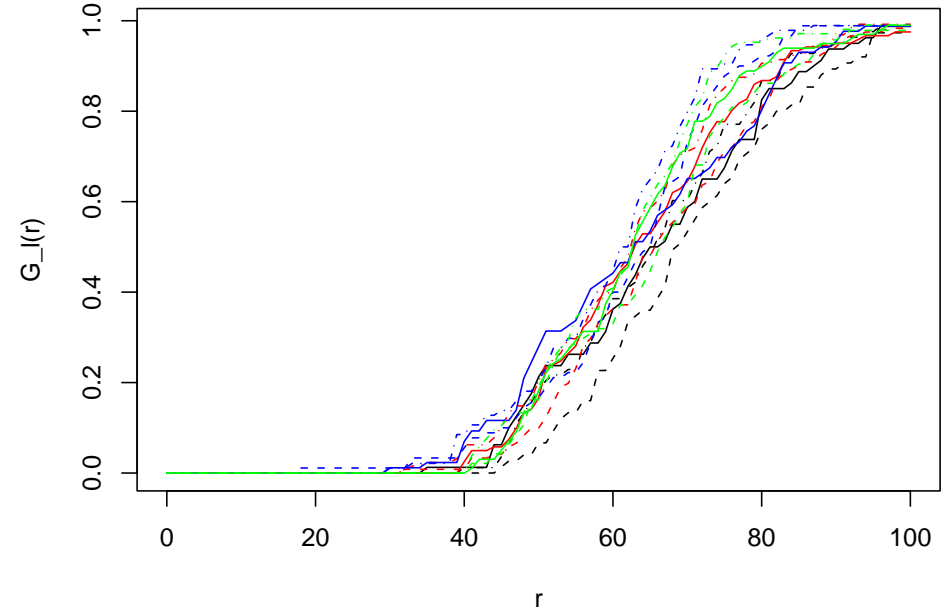


## Result ice 250

DirG\_global\_250

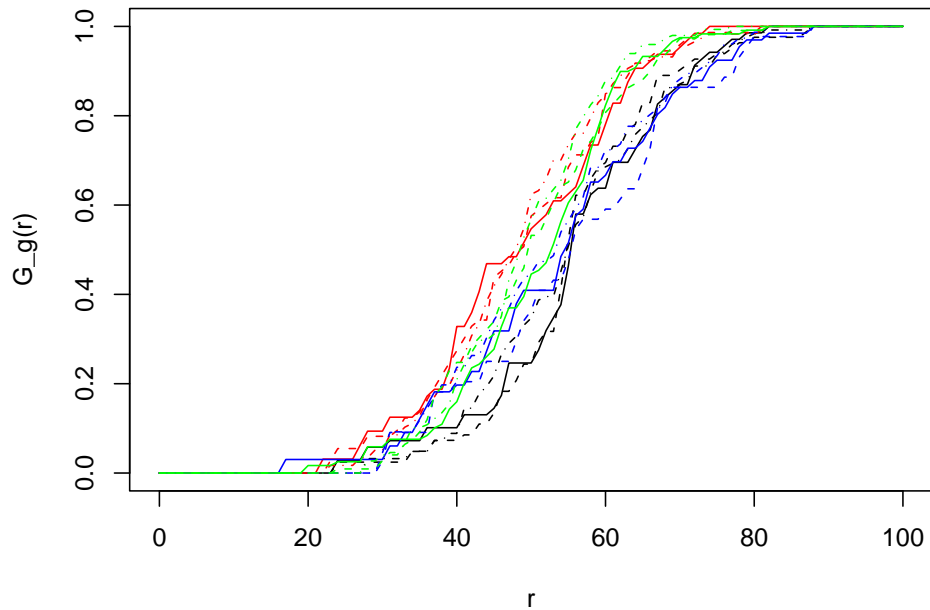


DirG\_local\_250

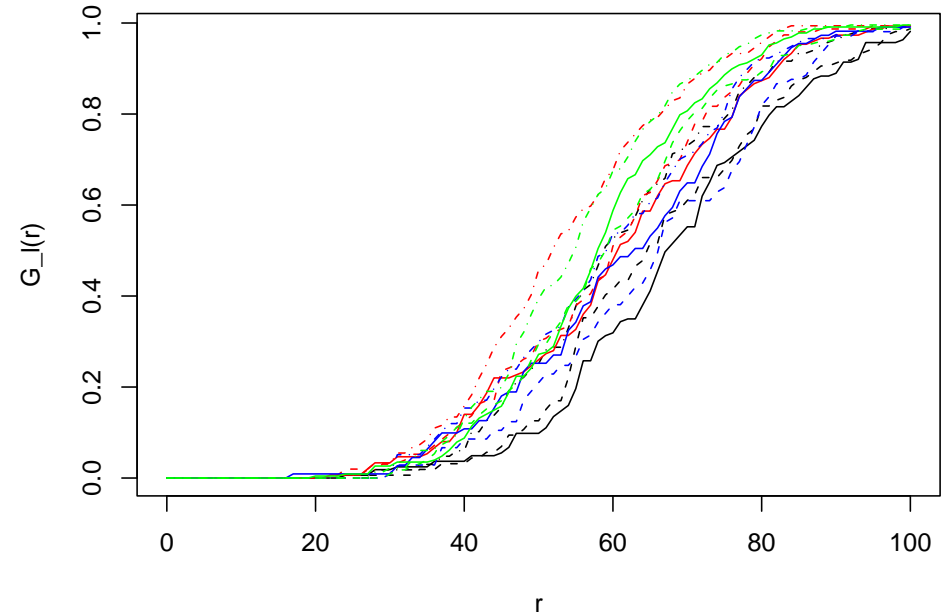


## Result ice 610

DirG\_global\_610

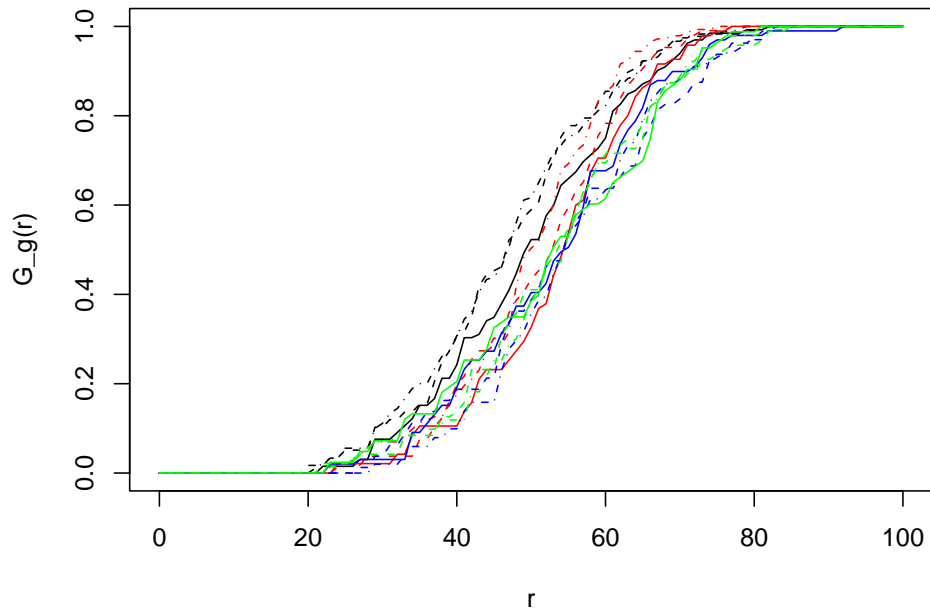


DirG\_local\_610

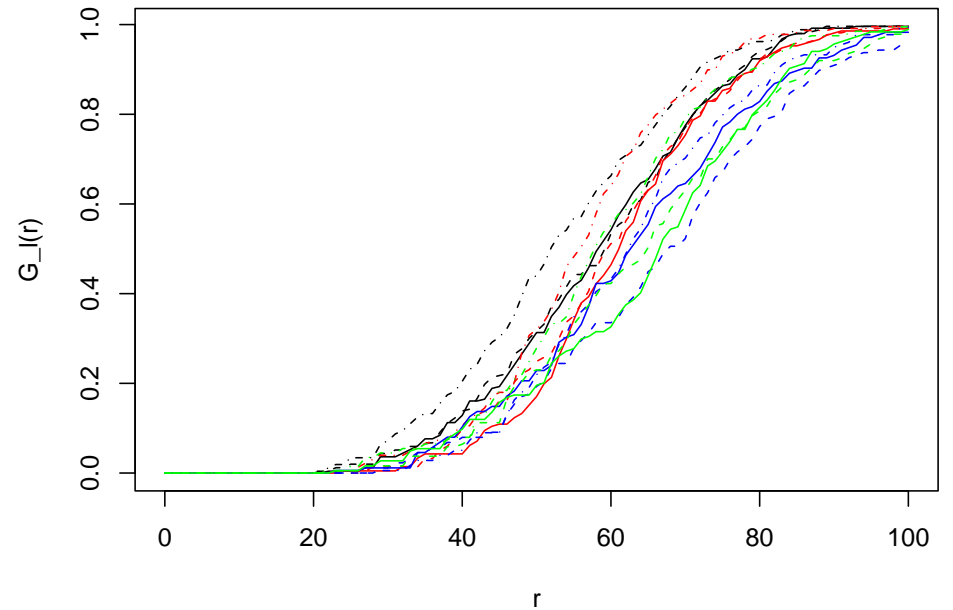


## Result ice 910

DirG\_global\_910

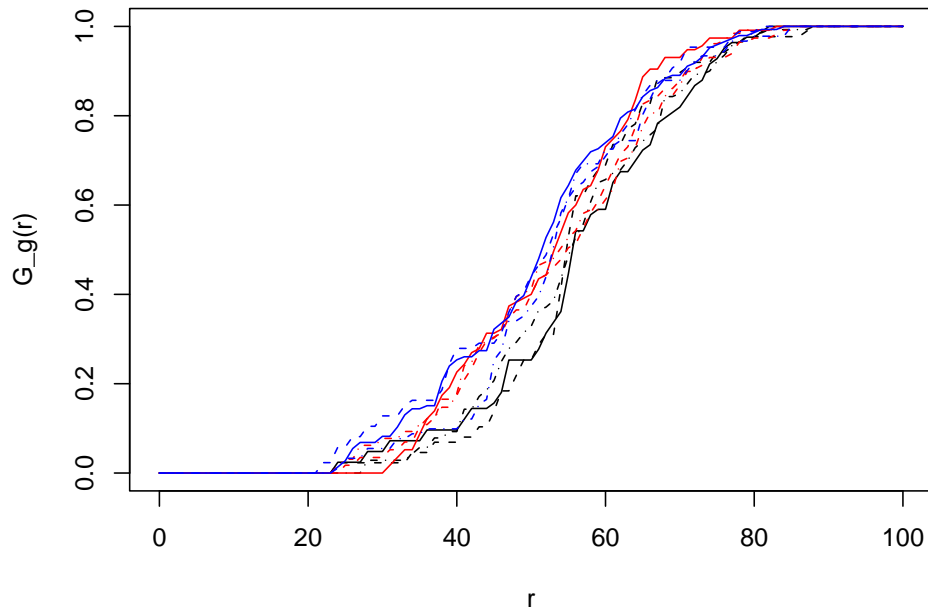


DirG\_local\_910

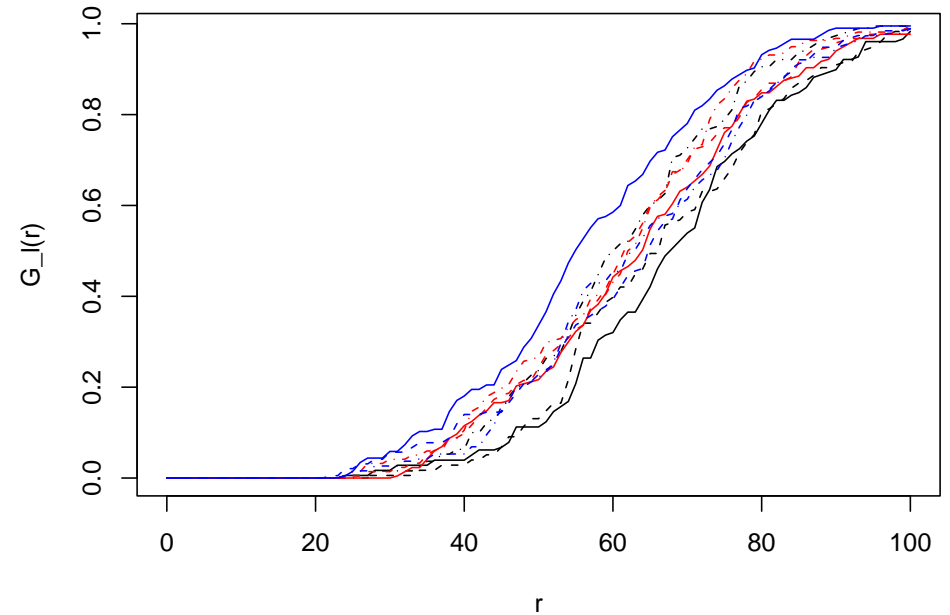


## Result ice 1209

DirG\_global\_1209



DirG\_local\_1209



## Fry-method

method used in 2d by geologists (Fry,1979)

### Assumption

Originally centers of objects are isotropic and obey some hard core condition.

### Idea

plot all center-to-center-vectors

isotropic -> circular void in the center

strain -> elliptical void in the center

-> fit ellipse to central void

### Fry vs. SKM

partition of the unit sphere, handling of outliers

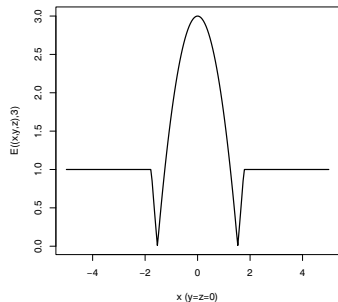


## Fitting of the ellipsoid

### Requirements

- ellipsoid should model void in the Fry plot
- ellipsoid should contain little or no points
- ellipsoid's shape should not be influenced by points far away

### Cost function



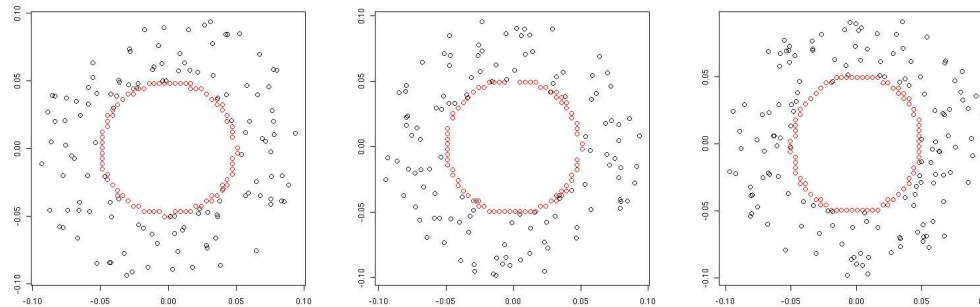
Let  $S$  be a  $3 \times 3$  diagonal shape matrix and  $R$  a rotation of  $\mathbb{R}^3$ .

$$E(X, \lambda) = \sum_{x \in X} |\min(1, \lambda(\|SRx\|^2 - 1))|$$

-> Nelder-Mead nonlinear optimization algorithm

## Results simulations

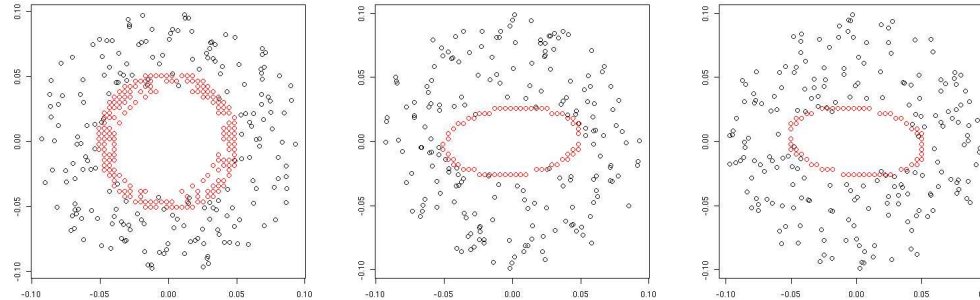
	$r_x$	$\varphi_x$	$\vartheta_x$	$r_y$	$\varphi_y$	$\vartheta_y$	$r_z$	$\varphi_z$	$\vartheta_z$
I	<b>0.050</b>	179.568	-1.32	<b>0.050</b>	-90.85	18.67	<b>0.053</b>	-86.50	-71.26
II	<b>0.051</b>	13.01	4.49	<b>0.054</b>	102.35	-7.78	<b>0.027</b>	132.75	81.00
III	<b>0.052</b>	32.39	-7.09	<b>0.059</b>	-56.26	10.76	<b>0.022</b>	89.61	77.06



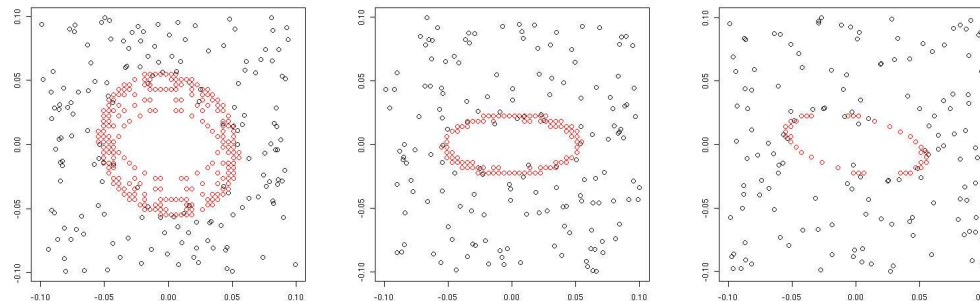
I, xy, xz, yz

## Results simulations

II,  $xy, xz, yz$



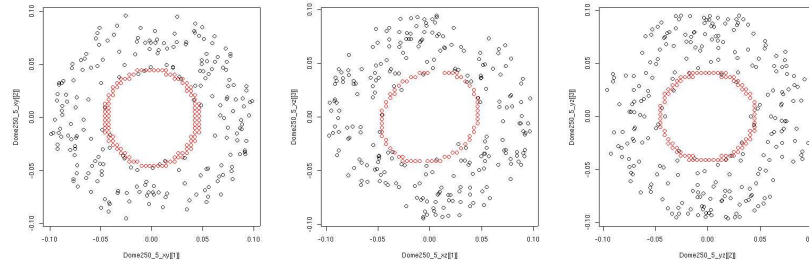
III,  $xy, xz, yz$



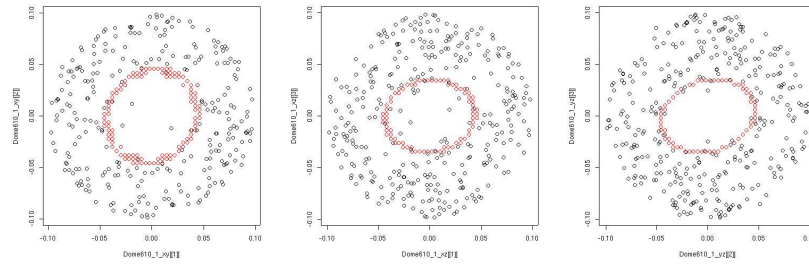


## Results ice

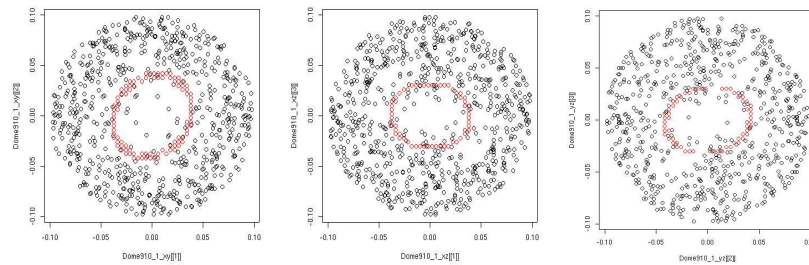
250<sub>5</sub>, xy, xz, yz



610<sub>1</sub>, xy, xz, yz

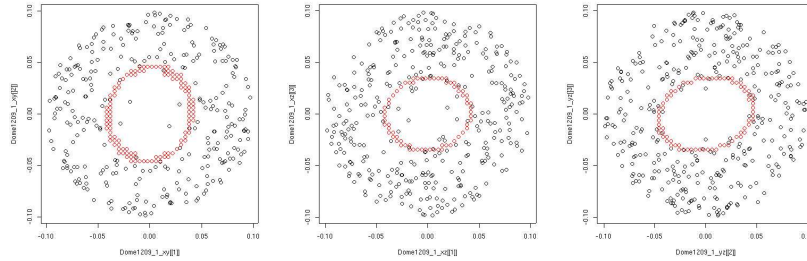


910<sub>1</sub>, xy, xz, yz

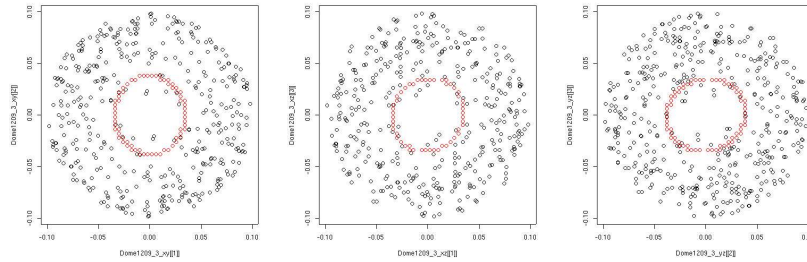


## Results ice

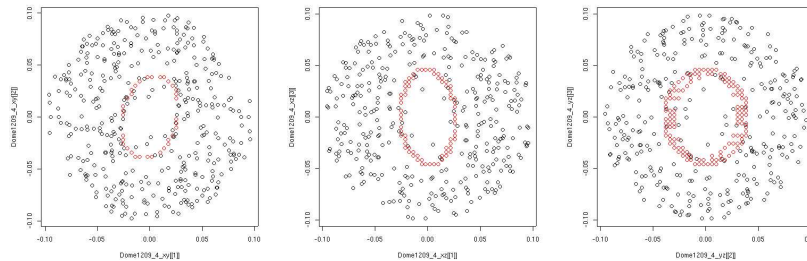
1209<sub>1</sub>, xy, xz, yz



1209<sub>3</sub>, xy, xz, yz



1209<sub>4</sub>, xy, xz, yz



## Conclusion

### Result

- isotropy in upper layers
- anisotropy in z-direction in deeper layers
- have a closer look at behaviour far down

### In general

further methods for directional analysis of 3d data should be developed



## References

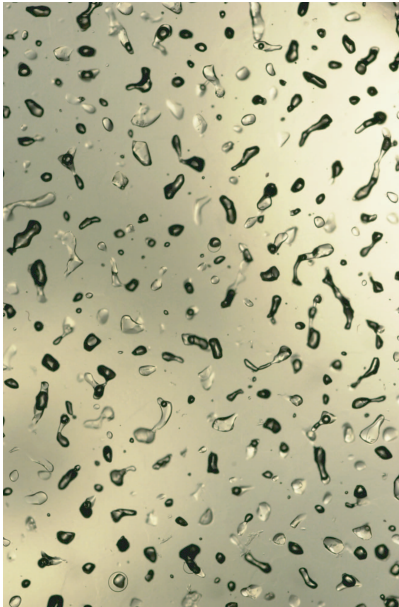


Image: Freitag 1), Kipfstuhl 1), Stauffer 2)

1) Alfred-Wegener-Institute for polar and marine research,  
Bremerhaven

2) University Bern

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