

# Local Fields Example Sheet 2

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1.  $v_{\mathfrak{p}}(x) = \infty \Leftrightarrow x = 0$  by definition

$$x, y \in \mathcal{O}_{\mathfrak{K}} \begin{matrix} x \neq 0 \neq y \\ \Rightarrow \end{matrix} e_{\mathfrak{p}}(xy \mathcal{O}_{\mathfrak{K}}) =$$
$$= e_{\mathfrak{p}}(x \mathcal{O}_{\mathfrak{K}}) + e_{\mathfrak{p}}(y \mathcal{O}_{\mathfrak{K}}),$$

so  $v_{\mathfrak{p}}(xy) = v_{\mathfrak{p}}(x) + v_{\mathfrak{p}}(y)$

If one of  $x$  and  $y$  is zero,

~~then~~ we have  $v_{\mathfrak{p}}(xy) = v_{\mathfrak{p}}(x) +$   
 $+ v_{\mathfrak{p}}(y)$

since  $\infty = n + \infty \quad \forall n \in \mathbb{Z} \cup \{\infty\}$ .

(by definition).

$x, y \in \mathcal{O}_{\mathfrak{K}}$ . then  $\mathfrak{p}^e \mid x \mathcal{O}_{\mathfrak{K}}, y \mathcal{O}_{\mathfrak{K}}$   
 $\Rightarrow \mathfrak{p}^e \mid (x+y) \mathcal{O}_{\mathfrak{K}}$

(recall that  $I|J \Leftrightarrow I \supseteq J$ ).

$$\text{Hence } e_{\mathfrak{p}}((x+y)\mathfrak{o}_{\mathfrak{K}}) \geq \min(e_{\mathfrak{p}}(x\mathfrak{o}_{\mathfrak{K}}), e_{\mathfrak{p}}(y\mathfrak{o}_{\mathfrak{K}}))$$

$$\text{so } v_{\mathfrak{p}}(x+y) \geq \min(v_{\mathfrak{p}}(x), v_{\mathfrak{p}}(y))$$

(you can go through and check that everything works if one of  $x, y$  ~~is~~ or  $x+y \in \mathfrak{o}$ ).

$v_{\mathfrak{p}}$  is discrete by construction,

$$\text{and } x \in \mathfrak{p} \Rightarrow v_{\mathfrak{p}}(x) > 0.$$

Therefore  $v_{\mathfrak{p}}|_{\mathbb{Z}_{\mathfrak{p}}}$  is a non-archimedean valuation on  $\mathbb{Z}_{\mathfrak{p}}$  such that  $v_{\mathfrak{p}}(\mathfrak{p}) > 0$ .

It follows that  $v_{\mathfrak{p}}|_{\mathbb{Z}_{\mathfrak{p}}}$  is equivalent to

$v_{\mathfrak{p}}$  by Q14, Example Sheet 1.

2. We set  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(i)$ .

We have  $5\mathcal{O}_L = (2+i)\mathcal{O}_L \cdot (2-i)\mathcal{O}_L$   
and the latter are distinct prime ideals.

Set  $\mathfrak{p}_1 = (2+i)\mathcal{O}_L$ ,  $\mathfrak{p}_2 = (2-i)\mathcal{O}_L$ .

Then  $v_{\mathfrak{p}_1}(2-i) = 0 = v_{\mathfrak{p}_2}(2+i)$  and

so  $v_{\mathfrak{p}_1}(2+i) = 1 = v_{\mathfrak{p}_2}(2-i)$ ,

so  $v_{\mathfrak{p}_1}$  and  $v_{\mathfrak{p}_2}$  are inequivalent

(they violate condition (ii) of  
Q10, Ex Sheet 1)

but both restrictions  $\uparrow$  to  $\mathbb{Z}$   
are equivalent  
to  $v_5$ , by Q1 on this sheet.

In fact, both restrict to  $v_5$  on the  
nose, as  $v_{\mathfrak{p}_1}(5) = 1 = v_{\mathfrak{p}_2}(5)$ .

(of course there are infinitely many  
more examples along these lines!)

3. Let  $I = (x_1, \dots, x_n) \subseteq \mathcal{O}_K$

~~Let~~ let  $v$  be a valuation on  $K$  in the given equivalence class.

WLOG  $v(x_1) \leq v(x_2), \dots, v(x_n)$

Then  $v(x_1^{-1}x_i) = v(x_i) - v(x_1) \geq 0$

for  $i=2, \dots, n$ , so

$$x_1^{-1}x_i \in \mathcal{O}_K \Rightarrow x_i \in x_1\mathcal{O}_K.$$

$\therefore I = (x_1)$ .

~~First~~ Second part:

By above,  $\mathcal{O}_K$  Noetherian  $\Rightarrow \mathcal{O}_K$  PID

Moreover,  $\mathcal{O}_K$  has a unique maximal ideal (any valuation ring does),

so  $\mathcal{O}_K$  is a DVR and  $K$  is discretely valued.

The converse was proved in lectures.

4. Let  $f(x) = g_1(x) \cdots g_m(x)$   
be a factorization of  $f(x)$  into  
irreducible polynomials in  $K[x]$ .

In lectures, we showed that all roots  
~~each~~ of  $g_i$  have ~~the~~ the same  
valuation.

It follows that

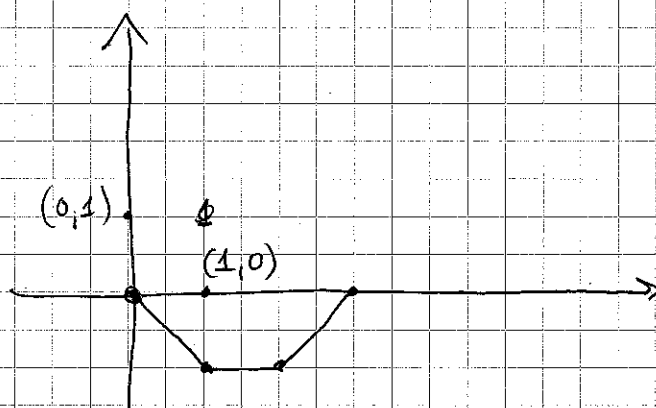
$$f_r(x) = \prod_{\substack{g_i \text{ has} \\ \text{all roots of} \\ \text{valuation } r}} g_i(x)$$

and hence  $f_r(x) \in K[x]$ .

The last part is <sup>then</sup> immediate since  
the line segment of the Newton

polygon correspond to the possible valuations of the roots.

5. (i)



By Q4 on this sheet, the cubic  $f(x)$  has three linear factors over  $\mathbb{Q}_2$ , hence three roots (of valuations  $-1, 0, 1$ ).

Second part:

Multiply by 8:  $8x^3 - 4x^2 - 4x + 8$

Set  $y = 2x$ :  $y^3 - y^2 - 2y + 8$

## Quick way ("cheating")

Note that  $y = -2$  is a root & factor it out. Then apply Hensel's Lemma to the other factor  $y^2 - 3y + 4$ .

## Long way

$$g(y) = y^3 - y^2 - 2y + 8 \equiv y^3 - y^2 \equiv y^2(y-1) \pmod{2}$$

Hensel  $\Rightarrow$   $g$  has a root  $\alpha \in \mathbb{Z}_2$  of valuation 0.

Let  $\beta, \gamma$  be the other roots. Then

$$\begin{cases} v_2(\alpha\beta\gamma) = v_2(\beta\gamma) = v_2(8) = 3, \\ v_2(\alpha\beta + \alpha\gamma + \beta\gamma) \\ v_2(\beta), v_2(\gamma) \geq 0 \end{cases}$$

$$\Rightarrow v_2(\alpha\beta) = 1 \text{ and } v_2(\gamma) = 2 \text{ (or the other way around)}$$

$$\Rightarrow v_2(\beta + \gamma) = 1, v_2(\beta\gamma) = 3$$

$$\text{So } (y-\alpha)(y-\beta) = y^2 - 2uy + 8v$$

for some  $u, v \in \mathbb{Z}_2^*$ .

Set  $2z = y$  and divide by 4 to get

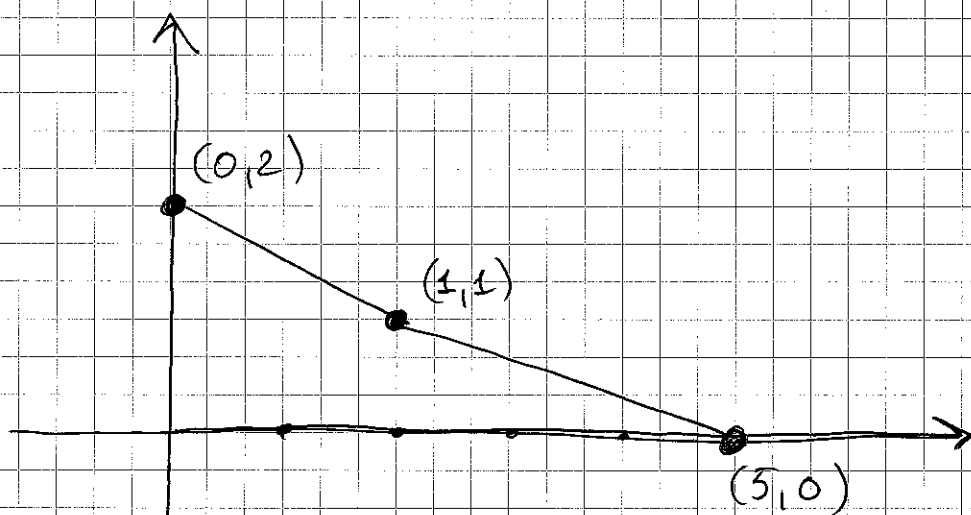
$$h(z) = z^2 - uz + 2v \equiv z(z-1) \pmod{2}$$

Thus  $\alpha, \beta \in \mathbb{Z}_2$  by Hensel, and

one can ~~follow~~ back track to show

that  $f$  has three roots, of the desired valuations.

(ii)



Two slopes  $-1/2$  with multiplicity 2

$-1/3$  with multiplicity 3



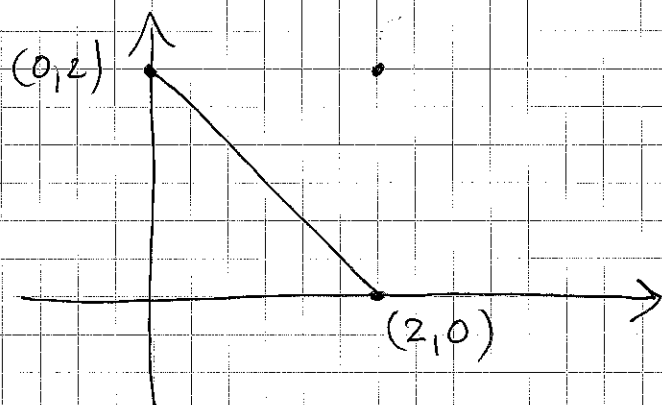
By Q4 on this sheet

$$g(x) = g_{1/2}(x) g_{1/3}(x)$$

where  $g_{1/2}(x) \in \mathbb{Q}_2[x]$  is quadratic with roots of valuation  $1/2$ , and  $g_{1/3}(x) \in \mathbb{Q}_2[x]$  is cubic with roots of valuation  $1/3$ .

Neither  $g_{1/2}(x)$  nor  $g_{1/3}(x)$  have roots in  $\mathbb{Q}_2$  (since  $1/2, 1/3 \notin v_2(\mathbb{Q}_p)$ ) so they have to be irreducible.

iii)  $h(x) = x^2 - 4 = (x+2)(x-2)$   
has Newton polygon



6. The top coefficient of  $f_n$  is  
 the top coefficient of  $(x+1)^{p^{n-1}(p-1)}$ ,  
 i.e. 1.

The constant coefficient is

$$f_n(0) = \overline{\Phi}_{p^n}(1) = p.$$

The roots of  $f_n$  are  $\zeta_{p^n}^i - 1$ ,  
 $i \in (\mathbb{Z}/p^n)^{\times}$ , note that

$$\#(\mathbb{Z}/p^n)^{\times} = p^{n-1}(p-1)$$

Since  $\overline{\Phi}_{p^n}$  is irreducible, so is  $f_n$  and

$$\text{hence } w(\zeta_{p^n}^i - 1) = w(\zeta_{p^n}^j - 1)$$

for all  $i, j \in (\mathbb{Z}/p^n)^{\times}$ .

It follows that

$$\begin{aligned} w(\zeta_{p^n}^i - 1) &= \left( \sum_{j \in (\mathbb{Z}/p^n)^{\times}} w(\zeta_{p^n}^j - 1) \right) / p^{\frac{n-1}{2}}(p-1) = \\ &= \frac{w\left(\prod_{j \in (\mathbb{Z}/p^n)^{\times}} \zeta_{p^n}^{-1} - 1\right)}{p^n(p-1)} = \frac{w(p)}{p^n(p-1)} = \frac{1}{p^n(p-1)}. \end{aligned}$$

$$7. \quad f(x) = x^3 + 4x + 7$$

$$\Delta f(x) = f(x+1) - f(x) = 3x^2 + 3x + 5$$

$$\Delta^2 f(x) = 6x + 6$$

$$\Delta^3 f(x) = 6, \quad \Delta^n f(x) = 0 \quad \forall n \geq 4.$$

$$\text{so } a_0(f) = f(0) = 7,$$

$$a_1(f) = \Delta f(0) = 5$$

$$a_2(f) = \Delta^2 f(0) = 6$$

$$a_3(f) = \Delta^3 f(0) = 6$$

$$a_n(f) = 0 \quad \forall n \geq 4.$$

so

$$f(x) = 6 \binom{x}{3} + 6 \binom{x}{2} + 5 \binom{x}{1} + 7 \binom{x}{0}$$

$$g(x) = x^4 + 8x^3 + 6x^2 + 5$$

$$\Delta g(x) = 4x^3 + 30x^2 + 40x + 15$$

$$\Delta^2 g(x) = 12x^2 + 72x + 74$$

$$\Delta^3 g(x) = 24x + 84$$

$$\Delta^4 g(x) = 24$$

$$\Delta^k g(x) = 0 \quad \forall k \geq 5, \text{ so}$$

$$g(x) = 24 \binom{x}{4} + 84 \binom{x}{3} + 74 \binom{x}{2} + 15 \binom{x}{1} + 5 \binom{x}{0}$$

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8. ) The first part is just the binomial theorem.

Then we have

$$(1+a)^m (1+a)^n = (1+a)^{m+n}$$

for all  $m, n \in \mathbb{Z}_{\geq 0}$

By density,

$$(1+a)^x (1+a)^y = (1+a)^{x+y}$$

$\forall x, y \in \mathbb{Z}_p$  since both sides are

continuous functions in  $x$  and  $y$ .

Note that  $(1+a)^x - 1 = \sum_{n=1}^{\infty} \binom{x}{n} a^n \in \mathfrak{m}_k$

for all  $a$  and  $x$ ,

so  $((1+a)^x)^y = (1 + ((1+a)^x - 1))^y$   
makes sense.

We have  $(1+a)^{mn} = ((1+a)^m)^n$

for all  $m, n \in \mathbb{Z}_{\geq 0}$ , so we obtain

$(1+a)^{xy} = ((1+a)^x)^y \quad \forall x, y \in \mathbb{Z}_p$  by  
density and continuity as before.

ii) By part i), we have, for  $x, y \in \mathbb{Z}_p$ ,

$$(1+x_i)^{x+p^n y} = (1+x_i)^x \left( (1+x_i)^{p^n} \right)^y$$

Since  $(1+x_i)^{p^n} = \sum_{j=0}^{p^n} \binom{p^n}{j} x_i^j = 1$ , we see

that  $x \mapsto (1+x_i)^{x+p^n y}$  is

constant on cosets of  $p^n \mathbb{Z}_p$ .

It follows that

$$x \mapsto \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} (1+\zeta_i)^x$$

is constant on cosets of  $p^n \mathbb{Z}_p$ .

Now let  $x = j \in \{0, 1, \dots, p^n-1\}$ .

Then

$$\frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} (1+\zeta_i)^j = \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi+ji} =$$

$$= \begin{cases} 1 & \text{if } j=m \\ 0 & \text{if } j \neq m. \end{cases}$$

(ii) If  $S \subseteq \mathbb{Z}_p$  is a subset, write

$$\mathbb{1}_S(x) = \begin{cases} 1, & x \in S \\ 0, & x \notin S \end{cases}$$

for the indicator function of  $S$ .

By (i)

$$\mathbb{1}_{m+p^n \mathbb{Z}_p}(x) = \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} (1+\zeta_i)^x =$$

$$= \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} \sum_{j=0}^{\infty} \binom{X}{j} \zeta_i^j =$$

$$= \sum_{j=0}^{\infty} \left( \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} \zeta_i^j \right) \binom{X}{j}$$

$$\text{Set } a_j(m, n) = \frac{1}{p^n} \sum_{i=0}^{p^n-1} \zeta_{p^n}^{-mi} \zeta_i^j$$

Then  $a_j(m, n) \in \mathbb{Z}_p$ . One way to see

this is that the extension  $\mathbb{Q}_p(\zeta_{p^n}) | \mathbb{Q}_p$

is Galois and that  $\sigma(a_j(m, n)) = a_j(m, n)$

for all  $\sigma \in \text{Gal}(\mathbb{Q}_p(\zeta_{p^n}) | \mathbb{Q}_p)$ .

$$\text{Thus } \mathbb{1}_{m+p^n\mathbb{Z}_p}(x) = \sum_{j=0}^{\infty} a_j(m, n) \binom{X}{j}$$

is the Mahler expansion of  $\mathbb{1}_{m+p^n\mathbb{Z}_p}$ .

Now let  $f: \mathbb{Z}_p \rightarrow \mathbb{Q}_p$  be <sup>any</sup> arbitrary continuous function.

Fix  $\varepsilon > 0$ . By uniform continuity of  $f$ , we may find  $n \geq 0$  and

$c_0, \dots, c_{p^n-1} \in \mathbb{C}$  s.t. if

$$g = \sum_{m=0}^{p^n-1} c_m \mathbb{1}_{m+p^n} z_p, \quad \text{then}$$

$$\|f - g\|_\infty \leq \varepsilon \quad (\text{note that } g \text{ is continuous}).$$

Note that  $a_j(m, n) \rightarrow 0$  as  $j \rightarrow \infty$  for all  $m, n$ . It follows that  $\exists N$  s.t.

$$j \geq N \Rightarrow |a_j(g)|_p \leq \varepsilon$$

then, for  $j \geq N$ ,

$$\|\Delta^j f - \Delta^j g\|_\infty \leq \|f - g\|_\infty \leq \varepsilon,$$

and hence

$$\begin{aligned} |a_j(f)|_p &= |\Delta^j f(0)|_p \leq |\Delta^j g(0)|_p + \\ &\quad + |\Delta^j f(0) - \Delta^j g(0)|_p \leq 2\varepsilon. \end{aligned}$$

Here  $a_j(f) \rightarrow 0$  as  $j \rightarrow \infty$ .



9. We have

$$\begin{aligned} \prod_i |\alpha - \beta_i| &= |g(\alpha)| = |g(\alpha) - f(\alpha)| = \\ &= \left| \sum_{j=0}^{n-1} (b_j - a_j) \alpha^j \right| \leq \\ &\leq \max_{j=0, \dots, n-1} (|b_j - a_j| |\alpha|^j). \end{aligned}$$

$$\text{If } |\alpha - \beta_i| > \max_{j=0, \dots, n-1} (|b_j - a_j|^{1/n} |\alpha|^{j/n})$$

for all  $i$ , this would be a contradiction.

10. Let  $L$  be the Galois closure of  $K(\alpha, \beta)$  over  $K(\beta)$ , and let  $\sigma \in \text{Gal}(L/K(\beta))$ .

(note that  $\alpha$  separable  $\Rightarrow L/K(\beta)$  Galois)

then

$$\begin{aligned}
 |\alpha - \sigma(\alpha)| &= |\alpha - \beta + \beta - \sigma(\alpha)| = \overset{\text{since } \beta = \sigma(\beta)}{=} \\
 &= |\alpha - \beta + \sigma(\beta - \alpha)| \leq \\
 &\leq \max(|\alpha - \beta|, |\sigma(\beta - \alpha)|) = \\
 &= |\alpha - \beta|
 \end{aligned}$$

since  $\sigma$  is an isometry.

It follows that  $|\alpha - \sigma(\alpha)| < |\alpha - \alpha_i|$

$\forall i = 2, \dots, n$ , by assumption.

But  $\sigma(\alpha)$  is a  $K(\beta)$ -conjugate of  $\alpha$ , hence a  $K$ -conjugate of  $\alpha$ , so  $\sigma(\alpha) \in \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ .

It follows that we must have  $\sigma(\alpha) = \alpha$ .

Since this holds for all  $\sigma \in \text{Gal}(L/K(\beta))$ ,  $\alpha \in K(\beta)$  and hence  $K(\alpha) \subseteq K(\beta)$ .

11. Let  $\alpha$  be a primitive element of  $L/\mathbb{Q}_p$ . Fix an algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$  containing  $L$ , ~~and~~.

Put  $n = [L:\mathbb{Q}_p]$  and let

$\alpha_2, \dots, \alpha_n$  be the  $\mathbb{Q}_p$ -conjugates in  $\overline{\mathbb{Q}_p}$  of  $\alpha$ .

Let  $f(x) \in \mathbb{Q}_p[x]$  be the minimal polynomial of  $\alpha/\mathbb{Q}_p$ .

Since  $\mathbb{Q}$  is dense in  $\mathbb{Q}_p$ , we may find a monic degree  $n$  polynomial  $g(x) \in \mathbb{Q}[x]$  with a root  $\beta \in \overline{\mathbb{Q}_p}$

s.t.  $|\alpha - \beta| < |\alpha - \alpha_i|$  for  $i=2, \dots, n$ ,

by Q9 on this sheet.

By Krasner's Lemma (Q10),

$$L = \mathbb{Q}_p(\alpha) \subseteq \mathbb{Q}_p(\beta).$$

Since  $\deg g = n$ , we have

$$[\mathbb{Q}_p(\beta) : \mathbb{Q}_p] \leq n = [L : \mathbb{Q}_p]$$

and hence  $L = \mathbb{Q}_p(\beta)$ ,

moreover  $g$  is irreducible over  $\mathbb{Q}_p$  and hence over  $\mathbb{Q}$ .

Put  $K = \mathbb{Q}(\beta)$ ; this is an extension of  $\mathbb{Q}$  of degree  $n$ .

Since

$$K = \bigoplus_{i=0}^{n-1} \mathbb{Q}\beta^i \subseteq \bigoplus_{i=0}^{n-1} \mathbb{Q}_p\beta^i = L$$

we ~~can~~ see that  $K$  is dense in  $L$ .

$K$  is a valued field by restricting the absolute value <sup>1.1</sup> on  $L$  to  $K$ , and  $L$  is the completion of  $K$ .

To finish, we need to show that 1.1 is equivalent to 1.1 <sub>$\eta$</sub>  for some maximal ideal  $\eta$  of  $\mathbb{O}_K$  containing  $\mathfrak{p}$ .

(here  $\mathcal{O}_K$  is the ring of integers of  $K$   
and not the valuation ring for  $|\cdot|$ ).

CLAIM: Let  $|\cdot|$  be any non-archimedean  
absolute value on  $K$  s.t.  $|p| < 1$ .

Then  $|\cdot|$  is equivalent to  $|\cdot|_p$  for  
some  $p$  containing  $p$ .

Proof: Let  $\mathcal{O}_K$  be the ring of integers  
of  $K$ . Every  $x \in \mathcal{O}_K$  is integral over  $\mathbb{Z}$   
(by definition), so we must have  $|x| \leq 1$ .  
(since  $|\mathbb{Z}| \leq 1$ ).

Let  $\mathfrak{p} = \{x \in \mathcal{O}_K \mid |x| < 1\}$ .

We have  $p \in \mathfrak{p}$ , and one checks that  
 $\mathfrak{p}$  is a <sup>non-zero</sup> prime ideal, hence maximal.

We wish to show that  $|\cdot|$  is equivalent  
to  $|\cdot|_p$ .

Let  $S = \mathcal{O}_K \setminus \mathfrak{p}$ . Note that, by construction of  $\mathfrak{p}$  and  $|\cdot|_{\mathfrak{p}}$ , we have  $x \in S \Leftrightarrow |x| = 1 \Leftrightarrow |x|_{\mathfrak{p}} = 1$ , for  $x \in \mathcal{O}_K$ .  $S$  is multiplicatively closed since ~~consider the subring~~  $\mathfrak{p}$  is a prime ideal.

Consider the subring

$$\mathcal{O}_{K,\mathfrak{p}} = \left\{ \frac{a}{b} \in K \mid a \in \mathcal{O}_K, b \in S \right\} \subseteq K.$$

We claim that  $\mathcal{O}_{K,\mathfrak{p}}$  is a discrete valuation ring, with maximal ideal  $\mathfrak{p}\mathcal{O}_{K,\mathfrak{p}}$ .

Before proving this, note that this would imply that  $|\cdot|$  and  $|\cdot|_{\mathfrak{p}}$  are equivalent. Indeed, both are  $\leq 1$  on

$$\begin{aligned} \mathcal{O}_{K,\mathfrak{p}} \text{ and } \mathfrak{p}\mathcal{O}_{K,\mathfrak{p}} &= \left\{ x \in \mathcal{O}_{K,\mathfrak{p}} \mid |x| < 1 \right\} = \\ &= \left\{ x \in \mathcal{O}_{K,\mathfrak{p}} \mid |x|_{\mathfrak{p}} < 1 \right\} \end{aligned}$$

so if  $\pi \mathcal{O}_{K,\mathfrak{p}} = \mathfrak{p} \mathcal{O}_{K,\mathfrak{p}}$ , then

$|\cdot| = |\cdot|_{\mathfrak{p}}^s$ , where  $s$  is such that

$$|\pi| = |\pi|_{\mathfrak{p}}^s.$$

$\mathcal{O}_{K,\mathfrak{p}}$  is a DVR

Step 1: If  $I \subseteq \mathcal{O}_{K,\mathfrak{p}}$  is an ideal, then  $(I \cap \mathcal{O}_K) \cdot \mathcal{O}_{K,\mathfrak{p}} = I$ .

Pf:  $(I \cap \mathcal{O}_K) \cdot \mathcal{O}_{K,\mathfrak{p}} \subseteq I$  is clear.

If  $\frac{a}{s} \in I$ , then  $a \in I \cap \mathcal{O}_K$  and hence

$\frac{a}{s} = \frac{1}{s} a \in (I \cap \mathcal{O}_K) \cdot \mathcal{O}_{K,\mathfrak{p}}$ , proving the reverse inclusion.

Step 2: If  $I \subseteq \mathcal{O}_{K,\mathfrak{p}}$  is a nonzero ideal, then  $I = \mathfrak{p}^n \mathcal{O}_{K,\mathfrak{p}} = (\mathfrak{p} \mathcal{O}_{K,\mathfrak{p}})^n$  for some  $n \geq 0$ .

Pf: We use some basic facts about  $\mathcal{O}_K$ .

First,  $\mathcal{O}_K$  is Noetherian  $\Rightarrow \mathfrak{r}^n \mathcal{O}_{K,\mathfrak{r}} = (\mathfrak{r} \mathcal{O}_{K,\mathfrak{r}})^n \quad \forall n \geq 0$  (both ideals have the same generators).

Next, write  $I \cap \mathcal{O}_K = \mathfrak{r}^{e_1} \mathfrak{r}_1^{e_1} \dots \mathfrak{r}_r^{e_r}$

where  $n, e_1, \dots, e_r \geq 0$ , and  $\mathfrak{r}_1, \dots, \mathfrak{r}_r$  are ~~non-zero~~ prime ideals of  $\mathcal{O}_K$  distinct from  $\mathfrak{r}$ .

By Step 1, we have

$$\begin{aligned} I &= (I \cap \mathcal{O}_K) \cdot \mathcal{O}_{K,\mathfrak{r}} = \mathfrak{r}^{e_1} \mathfrak{r}_1^{e_1} \dots \mathfrak{r}_r^{e_r} \mathcal{O}_{K,\mathfrak{r}} = \\ &= (\mathfrak{r} \mathcal{O}_{K,\mathfrak{r}})^{e_1} (\mathfrak{r}_1 \mathcal{O}_{K,\mathfrak{r}})^{e_1} \dots (\mathfrak{r}_r \mathcal{O}_{K,\mathfrak{r}})^{e_r}. \end{aligned}$$

It remains to show that if  $\mathfrak{a} \neq \mathfrak{r}$  is a maximal ideal, then  $\mathfrak{a} \mathcal{O}_{K,\mathfrak{r}} = \mathcal{O}_{K,\mathfrak{r}}$ .

But  $\mathfrak{a} \neq \mathfrak{r} \Rightarrow \mathfrak{a} \cap \mathcal{S} \neq \emptyset$ , so  $\mathfrak{a} \mathcal{O}_{K,\mathfrak{r}} = \mathcal{O}_{K,\mathfrak{r}}$ .

Step 3:  $\mathfrak{r} \mathcal{O}_{K,\mathfrak{r}}$  is principal.

Pf: We may find  $\pi \in \mathfrak{r} \mathcal{O}_{K,\mathfrak{r}} \setminus \mathfrak{r}^2 \mathcal{O}_{K,\mathfrak{r}}$



(for example, take  $\pi \in \mathfrak{p} \subset \mathcal{O}_{K,\mathfrak{p}}$  s.t.  $|\pi|$  is maximal).

Then  $\pi \mathcal{O}_{K,\mathfrak{p}} \neq \mathfrak{p}^n \mathcal{O}_{K,\mathfrak{p}}$  for  $n \geq 2$ ,  
so by Step 2 we must have  $\pi \mathcal{O}_{K,\mathfrak{p}} = \mathfrak{p} \mathcal{O}_{K,\mathfrak{p}}$ .

Step 2 & 3 then imply that the nonzero  
ideals of  $\mathcal{O}_{K,\mathfrak{p}}$  are  $\pi^n \mathcal{O}_{K,\mathfrak{p}}$ ,  $n \geq 0$ ,  
so  $\mathcal{O}_{K,\mathfrak{p}}$  is a PID with a unique  
maximal ideal, i.e. a DVR.

12. We are going to use the following  
equivalent formulation of the Baire  
category theorem:

Let  $X$  be a metric. Assume that  
there exists ~~a~~ closed, nowhere dense  
subsets  $F_1, F_2, \dots$  such that  $X = \bigcup_{i=1}^{\infty} F_i$ .

then  $X$  is not complete.

A set  $S \subseteq X$  is called nowhere dense if  $U \not\subseteq S$  for every open set  $U \subseteq X$ .

(This formulation is roughly the contrapositive of the formulation in the exercise).

Now consider  $\overline{\mathbb{Q}_p}$ . Let  $K \subseteq \overline{\mathbb{Q}_p}$  be a finite extension of  $\mathbb{Q}_p$ . Let  $\overline{\mathbb{Q}}$  denote the algebraic closure of  $\mathbb{Q}$  inside  $\overline{\mathbb{Q}_p}$ .

~~We have  $\mathbb{Q} \subseteq \overline{\mathbb{Q}_p}$~~

By the first part in the pf of Q11,  
 $\exists \alpha \in \overline{\mathbb{Q}}$  s.t.  $K = \mathbb{Q}_p(\alpha)$ .

It follows that  $\overline{\mathbb{Q}_p} = \bigcup_{\alpha \in \overline{\mathbb{Q}}} \mathbb{Q}_p(\alpha)$ .

We claim that  $\mathbb{Q}_p(\alpha) \subseteq \overline{\mathbb{Q}_p}$  is closed and nowhere dense.

First,  $\mathbb{H}$  is complete, hence closed.

Second,  $\overline{\mathbb{Q}_p}$  is infinite dimensional over  $\mathbb{Q}_p$  (for example, there is an unramified ext<sup>n</sup> of every degree  $n \in \mathbb{N}_{>1}$ ).

~~and~~ In any normed space  $V$ , if a linear subspace  $W$  contains an open set, then  $V = W$ .

Thus, since  $\mathbb{Q}_p(\alpha) \neq \overline{\mathbb{Q}_p}$ ,  $\mathbb{Q}_p(\alpha)$  is nowhere dense.

Since  $\mathbb{Q}$  is countable, it follows ~~that~~ from the Baire category theorem that

$\overline{\mathbb{Q}_p}$  is not complete.

13. Let  $\overline{\mathbb{C}_p}$  be an algebraic closure of  $\mathbb{C}_p$ . Take  $\alpha \in \overline{\mathbb{C}_p}$  and consider  $\mathbb{C}_p(\alpha)$ .

Let  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{C}_p[x]$  be the minimal polynomial of  $\alpha$ , and let  $\alpha_2, \dots, \alpha_n$  be the other roots of  $f$ .

Since  $\overline{\mathbb{C}_p}$  is dense in  $\mathbb{C}_p$ , we may find  $g(x) = x^n + b_{n-1}x^{n-1} + \dots + a_0 \in \overline{\mathbb{C}_p}[x]$  with a root  $\beta$  s.t.

$$|\alpha - \beta| < |\alpha - \alpha_i|, \quad i=2, \dots, n,$$

using Q9 on this sheet.

By Kronecker's Lemma (Q10), we have  $\mathbb{C}_p(\alpha) \subseteq \mathbb{C}_p(\beta)$ .

But  $\overline{\mathbb{C}_p}$  is algebraically closed, so  $\beta \in \overline{\mathbb{C}_p} \subseteq \mathbb{C}_p$ . Thus  $\mathbb{C}_p(\alpha) = \mathbb{C}_p$  so  $\alpha \in \mathbb{C}_p$ , and  $\mathbb{C}_p$  is algebraically closed.

14. i) Let  $v \in V$  and let  $(e_i)_{i \in I}$  be an orthonormal basis for  $V$ .

Then, writing  $v = \sum a_i e_i$

$$\|v\| = \max_{i \in I} |a_i|_p \in \{p^n \mid n \in \mathbb{Z}\} \cup \{0\}$$

Moreover,  $\|p^{-n} e_i\| = |p^{-n}| = p^n \forall n \in \mathbb{Z}$  and  $\|0\| = 0$ , so we have equality.

ii) Let  $V^0 = \{v \in V \mid \|v\| \leq 1\}$ .

We have  $V = \bigcup_{n \in \mathbb{Z}} p^n V^0 = \bigcup_{n \in \mathbb{Z}} \{v \in V \mid \|v\| \leq p^{-n}\}$

~~$$\text{Set } \|v\| = p^{-\sup\{n \in \mathbb{Z} \mid v \in p^n V^0\}}$$~~

$$\text{Set } \|v\| = p^{-\sup\{n \in \mathbb{Z} \mid v \in p^n V^0\}},$$

where  $\sup\{n \in \mathbb{Z} \mid v \in p^n V^0\} = \infty$  if

$v \in p^n V^0 \forall n$ , i.e. if  $v = 0$ , and

$$p^{-\infty} := 0.$$

One checks that this is a  $\mathbb{Q}_p$ -norm on  $V$  and that  $\|v\|' = |\langle v, v \rangle|_p$ .

Moreover, one checks that

$$p^{-1} \|v\|' \leq \|v\| \leq \|v\|',$$

so  $\|\cdot\|'$  and  $\|\cdot\|$  are equivalent.

iii) Consider  $V^0 = \{v \in V \mid \|v\| \leq 1\}$ .

This is a  $\mathbb{Z}_p$ -module, and the quotient  $V^0/pV^0$  is an  $\mathbb{F}_p$ -vector space.

Take a set of elements  $(e_i)_{i \in I}$  in  $V^0$  such that the reductions

$\bar{e}_i \in V^0/pV^0$  form an  $\mathbb{F}_p$ -basis for  $V^0/pV^0$ .

Claim  $(e_i)_{i \in I}$  is an orthonormal basis for  $V$ .

Pf: First,  $e_i \in V^0 \setminus pV^0 \Rightarrow$   
 $\Rightarrow \overset{p}{\leftarrow} \|e_i\| \leq 1 \Rightarrow \|e_i\| = 1.$

"Linear independence" / Uniqueness

Assume that  $0 = \sum a_i e_i$ , with

$a_i \rightarrow 0$ . Assume that not all  $a_i$  are 0.

Then, since the  $a_i$  are bounded,

we may scale them so that  $|a_i|_p \leq 1$  for

and  $\exists i: |a_i|_p = 1$ .

Then, reducing mod  $pV^0$ , we get

a nontrivial equation  $0 = \sum \bar{a}_i \bar{e}_i$ ,

which is a contradiction, since the  $\bar{e}_i$

form a basis for  $V^0/pV^0$ .

Existence & norm equality

Let  $v \neq 0$  be in  $V$ . By scaling, it suffices

to prove the following:

If  $\|v\|=1$ , then  $\exists a_i$  s.t

$$\sum a_i e_i = v, \text{ and } \max_i |a_i|_p = 1.$$

not  
needed

For each  $n \geq 0$ , we have an isomorphism

$$\begin{array}{ccc} V^0 / pV^0 & \longrightarrow & p^n V^0 / p^{n+1} V^0 \\ v \mapsto p^n v & & \end{array}$$

of  $\mathbb{F}_p$ -vector spaces.

Now, consider  $\bar{v} = v \text{ mod } pV^0$ , and choose

$$a_{i,0} \in \{0, \dots, p-1\} \text{ s.t}$$

$$\bar{v} = \sum_{i \in I} \bar{a}_{i,0} \bar{e}_i$$

then  $v - \sum_{i \in I} a_{i,0} e_i \in pV^0$ , so take

$$a_{i,1} \in \{0, \dots, p-1\} \text{ s.t}$$

$$\frac{1}{p} \left( v - \sum_{i \in I} a_{i,0} e_i \right) = \sum_{i \in I} \bar{a}_{i,1} \bar{e}_i$$

then  $v - \sum (a_{i,0} + p a_{i,1}) e_i \in p^2 V^0$

Continuing in this way, we find



$$a_{i,0}, \dots, a_{i,n}, \dots \text{ s.t. } a_{i,n} \in \{0, \dots, p-1\}$$

$$v = \sum (a_{i,0} + pa_{i,1} + \dots + p^n a_{i,n}) e_i \in p^{n+1} v^0$$

$\forall n$

$$\text{Set } a_i = \sum_{n=0}^{\infty} a_{i,n} p^n; \text{ it follows}$$

$$\text{that } v = \sum_{i \in I} a_i e_i, \quad a_i \in \mathbb{Z}_p.$$

Also, since  $v \notin p v^0$ , we must have an  $i$  such that  $a_{i,0} \neq 0 \Rightarrow |a_i|_p = 1$  and

$$\text{hence } \max_{i \in I} |a_i|_p = 1.$$