

# The continuous Rokhlin property and permanence of the UCT

Eusebio Gardella

University of Oregon and Fields Institute

$C^*$ -algebras and Dynamical Systems,  
Fields Institute, Toronto, June 2014

All  $C^*$ -algebras are separable and unital (and sometimes also nuclear).

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *Rokhlin property* if there exists a sequence  $(u_n)_{n \in \mathbb{N}}$  of unitaries in  $A$  such that

- 1  $\lim_{n \rightarrow \infty} \|\alpha_\zeta(u_n) - \zeta u_n\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{n \rightarrow \infty} \|u_n a - a u_n\| = 0$  for all  $a \in A$

All  $C^*$ -algebras are separable and unital (and sometimes also nuclear).

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *Rokhlin property* if there exists a sequence  $(u_n)_{n \in \mathbb{N}}$  of unitaries in  $A$  such that

- 1  $\lim_{n \rightarrow \infty} \|\alpha_\zeta(u_n) - \zeta u_n\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{n \rightarrow \infty} \|u_n a - a u_n\| = 0$  for all  $a \in A$

## Theorem

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the Rokhlin property, then  $\alpha$  is the dual action of some automorphism  $\check{\alpha}$  of  $A^\alpha$ . This automorphism  $\check{\alpha}$  is unique up to cocycle equivalence and is approximately inner.

Rokhlin actions have a naturally associated Ext-class, which in some cases is a complete invariant:

Rokhlin actions have a naturally associated  $\text{Ext}$ -class, which in some cases is a complete invariant:

### Theorem

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the Rokhlin property, then the exact sequences

$$0 \rightarrow K_j(A^\alpha) \rightarrow K_j(A) \rightarrow K_{1-j}(A^\alpha) \rightarrow 0 \quad j = 0, 1$$

obtained from the Pimsner-Voiculescu for  $\alpha$ , are pure.

Rokhlin actions have a naturally associated  $\text{Ext}$ -class, which in some cases is a complete invariant:

### Theorem

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the Rokhlin property, then the exact sequences

$$0 \rightarrow K_j(A^\alpha) \rightarrow K_j(A) \rightarrow K_{1-j}(A^\alpha) \rightarrow 0 \quad j = 0, 1$$

obtained from the Pimsner-Voiculescu for  $\alpha$ , are pure.

### Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then the  $\text{Ext}$ -class of the previous theorem is a complete invariant for  $\alpha$ .

Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

Recall:

## Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then the  $\text{Ext}$ -class of the previous theorem is a complete invariant for  $\alpha$ .

Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

This leaves some questions open:

Recall:

## Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then the  $\text{Ext}$ -class of the previous theorem is a complete invariant for  $\alpha$ .

Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

This leaves some questions open:

## Question

Does the UCT for  $A^\alpha$  follow from the UCT for  $A$ ?



Recall:

## Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then the  $\text{Ext}$ -class of the previous theorem is a complete invariant for  $\alpha$ .

Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

This leaves some questions open:

## Question

Does the UCT for  $A^\alpha$  follow from the UCT for  $A$ ?

## Question

What is the range of the invariant?

Recall:

## Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then the  $\text{Ext}$ -class of the previous theorem is a complete invariant for  $\alpha$ .

Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

This leaves some questions open:

## Question

Does the UCT for  $A^\alpha$  follow from the UCT for  $A$ ?

## Question

What is the range of the invariant?

Answering these questions is the main motivation of this work.

The following is our main definition.

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *continuous Rokhlin property* if there exists a continuous path  $(u_t)_{t \in [0, \infty)}$  of unitaries in  $A$  such that

- 1  $\lim_{t \rightarrow \infty} \|\alpha_\zeta(u_t) - \zeta u_t\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{t \rightarrow \infty} \|u_t a - a u_t\| = 0$  for all  $a \in A$

# The continuous Rokhlin property

The following is our main definition.

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *continuous Rokhlin property* if there exists a continuous path  $(u_t)_{t \in [0, \infty)}$  of unitaries in  $A$  such that

- 1  $\lim_{t \rightarrow \infty} \|\alpha_\zeta(u_t) - \zeta u_t\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{t \rightarrow \infty} \|u_t a - a u_t\| = 0$  for all  $a \in A$

## Definition

An *asymptotic morphism* from  $A$  to  $B$ , is a family  $\psi = (\psi_t)_t$  of maps  $A \rightarrow B$ , satisfying:

- 1  $t \mapsto \psi_t(a)$  is continuous for all  $a$  in  $A$
- 2 For every  $\lambda$  in  $\mathbb{C}$  and every  $a$  and  $b$  in  $A$ , we have

$$\lim_{t \rightarrow \infty} \|\psi_t(\lambda a + b) - \lambda \psi_t(a) - \psi_t(b)\| = 0,$$

$$\lim_{t \rightarrow \infty} \|\psi_t(ab) - \psi_t(a)\psi_t(b)\| = 0, \quad \text{and} \quad \lim_{t \rightarrow \infty} \|\psi_t(a^*) - \psi_t(a)^*\| = 0.$$

Recall:

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *continuous Rokhlin property* if there exists a continuous path  $(u_t)_{t \in [0, \infty)}$  of unitaries in  $A$  such that

- 1  $\lim_{t \rightarrow \infty} \|\alpha_\zeta(u_t) - \zeta u_t\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{t \rightarrow \infty} \|u_t a - a u_t\| = 0$  for all  $a \in A$

The following is the main technical result:

Recall:

## Definition

An action  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the *continuous Rokhlin property* if there exists a continuous path  $(u_t)_{t \in [0, \infty)}$  of unitaries in  $A$  such that

- 1  $\lim_{t \rightarrow \infty} \|\alpha_\zeta(u_t) - \zeta u_t\| = 0$  uniformly in  $\zeta \in \mathbb{T}$ .
- 2  $\lim_{t \rightarrow \infty} \|u_t a - a u_t\| = 0$  for all  $a \in A$

The following is the main technical result:

## Asymptotic retraction $A \rightarrow A^\alpha$

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property, then there exists an asymptotic morphism  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$  such that

$$\lim_{t \rightarrow \infty} \|(\psi_t \circ \iota)(a) - a\| = 0$$

for all  $a$  in  $A^\alpha$ .

Assume that  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property.  
Recall that there is an asymptotic retraction  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$ .

Assume that  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property. Recall that there is an asymptotic retraction  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$ .

### Corollary

If  $B$  is separable and nuclear, there is an isomorphism

$$E(B, A) \cong E(B, A^\alpha) \oplus \ker(\psi_*)$$

induced by  $\psi_*: E(B, A) \rightarrow E(B, A^\alpha)$ .



Assume that  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property. Recall that there is an asymptotic retraction  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$ .

### Corollary

If  $B$  is separable and nuclear, there is an isomorphism

$$E(B, A) \cong E(B, A^\alpha) \oplus \ker(\psi_*)$$

induced by  $\psi_*: E(B, A) \rightarrow E(B, A^\alpha)$ .

### Triviality of the Ext-class

If  $A$  is nuclear, then there are isomorphisms

$$K_0(A) \cong K_0(A^\alpha) \oplus K_1(A^\alpha) \cong K_1(A).$$

In particular, the Ext-class associated to an action with the *continuous* Rokhlin property is trivial.

## Definition

A  $C^*$ -algebra  $A$  satisfies the  $UCT$  if the following hold for every  $B$ :

## Definition

A  $C^*$ -algebra  $A$  satisfies the *UCT* if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.

## Definition

A  $C^*$ -algebra  $A$  satisfies the *UCT* if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- 2 The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

## Definition

A  $C^*$ -algebra  $A$  satisfies the UCT if the following hold for every  $B$ :

- ① The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- ② The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

If this is the case, by setting  $\varepsilon_{A,B} = \mu_{A,B}^{-1}$ , we obtain

$$0 \longrightarrow \text{Ext}(K_*(A), K_{*+1}(B)) \xrightarrow{\varepsilon_{A,B}} KK(A, B) \xrightarrow{\tau_{A,B}} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

## Definition

A  $C^*$ -algebra  $A$  satisfies the UCT if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- 2 The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

If this is the case, by setting  $\varepsilon_{A,B} = \mu_{A,B}^{-1}$ , we obtain

$$0 \longrightarrow \text{Ext}(K_*(A), K_{*+1}(B)) \xrightarrow{\varepsilon_{A,B}} KK(A, B) \xrightarrow{\tau_{A,B}} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

## Definition

A  $C^*$ -algebra  $A$  satisfies the UCT if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- 2 The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

If this is the case, by setting  $\varepsilon_{A,B} = \mu_{A,B}^{-1}$ , we obtain

$$0 \longrightarrow \text{Ext}(K_*(A), K_{*+1}(B)) \xrightarrow{\varepsilon_{A,B}} KK(A, B) \xrightarrow{\tau_{A,B}} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;

## Definition

A  $C^*$ -algebra  $A$  satisfies the UCT if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- 2 The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

If this is the case, by setting  $\varepsilon_{A,B} = \mu_{A,B}^{-1}$ , we obtain

$$0 \longrightarrow \text{Ext}(K_*(A), K_{*+1}(B)) \xrightarrow{\varepsilon_{A,B}} KK(A, B) \xrightarrow{\tau_{A,B}} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;
- 2  $A^\alpha$  satisfies the UCT;



## Definition

A  $C^*$ -algebra  $A$  satisfies the UCT if the following hold for every  $B$ :

- 1 The natural map  $\tau_{A,B}: KK(A, B) \rightarrow \text{Hom}(K_*(A), K_*(B))$  is surjective.
- 2 The natural map  $\mu_{A,B}: \ker(\tau_{A,B}) \rightarrow \text{Ext}(K_*(A), K_{*+1}(B))$  is an isomorphism.

If this is the case, by setting  $\varepsilon_{A,B} = \mu_{A,B}^{-1}$ , we obtain

$$0 \longrightarrow \text{Ext}(K_*(A), K_{*+1}(B)) \xrightarrow{\varepsilon_{A,B}} KK(A, B) \xrightarrow{\tau_{A,B}} \text{Hom}(K_*(A), K_*(B)) \longrightarrow 0.$$

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;
- 2  $A^\alpha$  satisfies the UCT;
- 3  $A \rtimes_\alpha \mathbb{T}$  satisfies the UCT.

Proof: (2)  $\iff$  (3) and (2)  $\implies$  (1) are easy.

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;
- 2  $A^\alpha$  satisfies the UCT;
- 3  $A \rtimes_\alpha \mathbb{T}$  satisfies the UCT.

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;
- 2  $A^\alpha$  satisfies the UCT;
- 3  $A \rtimes_\alpha \mathbb{T}$  satisfies the UCT.

For (1)  $\Rightarrow$  (2): use  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$  to get a diagram

$$\begin{array}{ccc}
 KK(A, B) & \xrightarrow{\tau_{A,B}} & \text{Hom}(K_*(A), K_*(B)) \\
 \psi^* \uparrow & & \psi^* \uparrow \\
 & & \downarrow \iota^* \\
 KK(A^\alpha, B) & \xrightarrow{\tau_{A^\alpha, B}} & \text{Hom}(K_*(A^\alpha), K_*(B)) \\
 & & \downarrow \iota^*
 \end{array}$$

which commutes by naturality.

## Preservation of the UCT

If  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  has the continuous Rokhlin property and  $A$  is nuclear, then the following are equivalent:

- 1  $A$  satisfies the UCT;
- 2  $A^\alpha$  satisfies the UCT;
- 3  $A \rtimes_\alpha \mathbb{T}$  satisfies the UCT.

For (1)  $\Rightarrow$  (2): use  $\psi = (\psi_t)_t: A \rightarrow A^\alpha$  to get a diagram

$$\begin{array}{ccc}
 KK(A, B) & \xrightarrow{\tau_{A,B}} & \text{Hom}(K_*(A), K_*(B)) \\
 \psi^* \uparrow & & \psi^* \uparrow \\
 & & \downarrow \iota^* \\
 & & \text{Hom}(K_*(A^\alpha), K_*(B)) \\
 & \xrightarrow{\tau_{A^\alpha, B}} & \\
 & & \downarrow \iota^* \\
 KK(A^\alpha, B) & & 
 \end{array}$$

which commutes by naturality. An easy diagram chase gives that  $\tau_{A^\alpha, B}$  is surjective. The argument for  $\mu_{A^\alpha, B}$  is analogous.

Recall the classification of Rokhlin actions on Kirchberg algebras:

### Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then its  $\text{Ext}$ -class is a complete invariant for  $\alpha$ . Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

Recall the classification of Rokhlin actions on Kirchberg algebras:

### Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then its  $\text{Ext}$ -class is a complete invariant for  $\alpha$ . Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

The range of the invariant for *continuous* Rokhlin actions can be described:

Recall the classification of Rokhlin actions on Kirchberg algebras:

### Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then its  $\text{Ext}$ -class is a complete invariant for  $\alpha$ . Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

The range of the invariant for *continuous* Rokhlin actions can be described:

- (a) With UCT: every trivial extension arises (and only these).

Recall the classification of Rokhlin actions on Kirchberg algebras:

### Theorem

Let  $\alpha: \mathbb{T} \rightarrow \text{Aut}(A)$  have the Rokhlin property. If  $A$  is a Kirchberg algebra and both  $A$  and  $A^\alpha$  satisfy the UCT, then its  $\text{Ext}$ -class is a complete invariant for  $\alpha$ . Without the UCT, a complete invariant is

$$(A^\alpha, KK(\check{\alpha})).$$

The range of the invariant for *continuous* Rokhlin actions can be described:

- (a) With UCT: every trivial extension arises (and only these).
- (b) Without UCT: every Kirchberg algebra is the fixed point algebra of some continuous Rokhlin action, and  $KK(\check{\alpha}) = 1$ .



Continuous Rokhlin actions are trivially Rokhlin actions, and sometimes the converse holds too:

Continuous Rokhlin actions are trivially Rokhlin actions, and sometimes the converse holds too:

## Proposition

If a Kirchberg algebra  $A$  has finitely generated  $K$ -theory, then every circle action on  $A$  with the Rokhlin property has the continuous Rokhlin property.

Continuous Rokhlin actions are trivially Rokhlin actions, and sometimes the converse holds too:

## Proposition

If a Kirchberg algebra  $A$  has finitely generated  $K$ -theory, then every circle action on  $A$  with the Rokhlin property has the continuous Rokhlin property.

In particular, we have answered the questions from the beginning in this case.  
As an application:

Continuous Rokhlin actions are trivially Rokhlin actions, and sometimes the converse holds too:

## Proposition

If a Kirchberg algebra  $A$  has finitely generated  $K$ -theory, then every circle action on  $A$  with the Rokhlin property has the continuous Rokhlin property.

In particular, we have answered the questions from the beginning in this case. As an application:

## Corollary

All circle actions on  $\mathcal{O}_2$  with the Rokhlin property are conjugate.

Continuous Rokhlin actions are trivially Rokhlin actions, and sometimes the converse holds too:

## Proposition

If a Kirchberg algebra  $A$  has finitely generated  $K$ -theory, then every circle action on  $A$  with the Rokhlin property has the continuous Rokhlin property.

In particular, we have answered the questions from the beginning in this case. As an application:

## Corollary

All circle actions on  $\mathcal{O}_2$  with the Rokhlin property are conjugate.

## Corollary

All circle actions on  $\mathcal{O}_3 \otimes \mathcal{O}_3$  with the Rokhlin property are conjugate.

Thank you.