

# A geometric trapping approach to global regularity for 2D Navier-Stokes on manifolds

Khang Manh Huynh  
Aynur Bulut

October 20, 2020

# Abstract

- We use frequency decomposition techniques to give a direct proof of global existence and regularity for the Navier-Stokes equations on two-dimensional Riemannian manifolds without boundary. The main tools include:
  - ▶ Mattingly and Sinai's method of geometric trapping on the torus.
  - ▶ Zaher Hani's refinement of multilinear estimates in the study of NLS.
  - ▶ Ideas from microlocal analysis.

# Outline

1 Introduction

2 The proof

# Outline for section 1

1 Introduction

2 The proof

# Navier-Stokes

Recall the incompressible Navier-Stokes equations:

$$\left\{ \begin{array}{ll} \partial_t U + \operatorname{div}(U \otimes U) - \nu \Delta_M U = -\operatorname{grad} p & \text{in } M \\ \operatorname{div} U = 0 & \text{in } M \\ U(0, \cdot) = U_0 & \text{smooth} \end{array} \right., \quad (1)$$

where:

- $(M, g)$ : closed, oriented, connected, compact smooth two-dimensional Riemannian manifold without boundary.
- $\nu > 0$ : viscosity.
- $\Delta_M$ : any choice of Laplacian defined on vector fields (to be discussed).

# History

- Navier-Stokes: too many to list.
- Global regularity for 2D N-S on flat spaces: well-known (Ladyzhenskaya, Fujita-Kato etc.).
  - ▶ Reason: enstrophy estimate (controlling the vorticity).

# History

- Navier-Stokes: too many to list.
- Global regularity for 2D N-S on flat spaces: well-known (Ladyzhenskaya, Fujita-Kato etc.).
  - ▶ Reason: enstrophy estimate (controlling the vorticity).
- In **Mattingly and Sinai (1999)** *An Elementary Proof of the Existence and Uniqueness Theorem for the Navier-Stokes Equations*: a simple proof of global regularity by directly working with Fourier coefficients.
  - ▶ Main idea: geometric trapping / maximum principle.
- In **Pruess, Simonett, and Wilke (2020)** *On the Navier-Stokes Equations on Surfaces*: local existence, and (assuming small data) global existence. Uses Fujita-Kato approach (heat semigroup etc.).

# The Laplacian

Due to curvature, there are three canonical choices for the vector Laplacian:

- the Hodge-Laplacian  $\Delta_H = -(d\delta + \delta d)$ , where  $d$  is the exterior derivative (like gradient), and  $\delta = -\text{div}$  is the dual of  $d$ .
- the connection Laplacian (or *Bochner Laplacian*)  
 $\Delta_B T := \text{tr}(\nabla^2 T) = \nabla_i \nabla^i T$ 
  - ▶  $\Delta_B X = \Delta_H X + \text{Ric}(X)$  (Weitzenböck formula, Ric: Ricci tensor)
- the deformation Laplacian  
 $\Delta_D X = -2\text{Def}^* \text{Def} X = \Delta_H X + 2\text{Ric}(X)$  for  $\text{div} X = 0$ .

They differ by a smooth zeroth-order operator.

# Main result

## Theorem

*Let  $(M, g)$  be a manifold as described above, and let  $\Delta_M$  be any of the vector Laplacian operators  $\Delta_H$ ,  $\Delta_B$ , or  $\Delta_D$  on  $M$ .*

*Suppose that  $U_0$  is a smooth vector field. Then there exists a unique global-in-time smooth solution  $U : \mathbb{R} \rightarrow \mathfrak{X}(M)$  to the Navier-Stokes equation.*

# Obstacles on the sphere

Aynur: How to generalize Mattingly and Sinai's approach to the sphere?

- 1st approach: use the spherical harmonics (eigenfunctions) as replacement for  $e^{i2\pi x}$ . Does not work.
  - ▶ poor spectral localization of products on the sphere (unlike  $e^{i2\pi\langle k_1, z \rangle} e^{i2\pi\langle k_2, z \rangle} = e^{i2\pi\langle k_1 + k_2, z \rangle}$ ). Resulting frequency is bounded by triangle inequalities.
  - ▶ unacceptable loss of decay when summing up the frequencies.

# Obstacles on the sphere

Aynur: How to generalize Mattingly and Sinai's approach to the sphere?

- 1st approach: use the spherical harmonics (eigenfunctions) as replacement for  $e^{i2\pi x}$ . Does not work.
  - ▶ poor spectral localization of products on the sphere (unlike  $e^{i2\pi\langle k_1, z \rangle} e^{i2\pi\langle k_2, z \rangle} = e^{i2\pi\langle k_1 + k_2, z \rangle}$ ). Resulting frequency is bounded by triangle inequalities.
  - ▶ unacceptable loss of decay when summing up the frequencies.

# Solution

- Correct approach: group eigenfunctions with the same eigenvalue together (eigenspace projections).
  - ▶ Instead of Holder's inequality on Fourier coefficients, we use multilinear estimates for eigenfunctions.

# Solution

- Correct approach: group eigenfunctions with the same eigenvalue together (eigenspace projections).
  - ▶ Instead of Holder's inequality on Fourier coefficients, we use multilinear estimates for eigenfunctions.
  - ▶ We find ourselves replicating the works of Zaher Hani, Nicolas Burq, Patrick Gérard, etc. from the study of non-linear Schrödinger equations. (Hani 2011; Burq, Gérard, and Tzvetkov 2005)
    - ★ Need to extend their estimates to handle more derivatives and the inverse Laplacian.

# Generalizing to manifolds

How about general compact manifolds? There are 3 problems.

- Even poorer spectral localization (no triangle inequalities). The distribution of eigenvalues might no longer look like  $\mathbb{N}$ .
  - ▶ Instead of eigenspace projections, use spectral cutoffs. Pass between spectral cutoffs and eigenspace projections by a “Fourier trick”.
  - ▶ Use Hani’s refinement of multilinear estimates to handle the non-triangle regions. (main part of the proof)

## Generalizing to manifolds

- There can be nontrivial harmonic 1-forms (nonzero Betti number). The vorticity equation alone does not fully describe N-S.
  - ▶ Use Hodge theory to find the correct vorticity formulation. There are cross-interactions between the second and third Hodge components (coexact and harmonic).

## Generalizing to manifolds

- There can be nontrivial harmonic 1-forms (nonzero Betti number). The vorticity equation alone does not fully describe N-S.
  - ▶ Use Hodge theory to find the correct vorticity formulation. There are cross-interactions between the second and third Hodge components (coexact and harmonic).
- Ricci tensor is no longer a constant. So it does not commute with spectral cutoffs.
  - ▶ Use common ideas from microlocal analysis, like integration by parts and the method of stationary phase.

# Outline for section 2

1 Introduction

2 The proof

# Hodge theory

We assume all the standard results of Hodge theory:

- For any vector field (or function, or differential form)  $u$ , we have  $u = \mathcal{P}_1 u + \mathcal{P}_2 u + \mathcal{P}_{\mathcal{H}} u = \text{exact} + \text{coexact} + \text{harmonic}$ .
  - ▶ Range of  $\mathcal{P}_{\mathcal{H}}$  is smooth and finite-dimensional (on which all Sobolev norms are equivalent). It is the frequency zero.
- $\Delta_H$  is bijective from  $(1 - \mathcal{P}_{\mathcal{H}}) H^{m+2} \Omega^k(M)$  to  $(1 - \mathcal{P}_{\mathcal{H}}) H^m \Omega^k(M)$ , where  $H^m \Omega^k =$  differential  $k$ -forms with coefficients in  $H^m$ . This defines the inverse Laplacian.

$$\|u\|_{H^m} \sim \|\mathcal{P}_{\mathcal{H}} u\|_{L^2} + \|(-\Delta_H)^{m/2} (1 - \mathcal{P}_{\mathcal{H}}) u\|_{L^2}$$

# Spectral cutoffs

- Define the **eigenspace projections**  $\pi_s$  such that  $(-\Delta_H) \pi_s = s^2 \pi_s$ .
- Define the **frequency cutoff projections**

$$P_k = 1_{[k, k+1)} \left( \sqrt{-\Delta_H} \right) = \sum_{s \in \sigma(\sqrt{-\Delta}) \cap [k, k+1)} \pi_s$$

- Unlike  $\pi_s$ ,  $P_k$  allows us to bypass problems with distribution of eigenvalues (Weyl's law).  
Disadvantage:  $(-\Delta_H)^{-c} P_k \neq k^{-2c} P_k$ . Luckily, there is a “Fourier trick” to relate  $\pi_s$  and  $P_k$ .

# Vorticity

- Via the Riemannian metric  $g$ , the musical isomorphism identifies vector fields with 1-forms:  $\flat X(Y) := g(X, Y)$ ,  $g(\sharp\alpha, Y) = \alpha(Y)$  for vector fields  $X, Y$  and 1-form  $\alpha$ .
- The vorticity  $\omega$  is defined as  $\omega := \star d\flat U$  where  $\star$  is the Hodge star (turning gradient into divergence, and volume forms into scalars etc.).

# Vorticity

- Via the Riemannian metric  $g$ , the musical isomorphism identifies vector fields with 1-forms:  $\flat X(Y) := g(X, Y)$ ,  $g(\sharp\alpha, Y) = \alpha(Y)$  for vector fields  $X, Y$  and 1-form  $\alpha$ .
- The vorticity  $\omega$  is defined as  $\omega := \star d\flat U$  where  $\star$  is the Hodge star (turning gradient into divergence, and volume forms into scalars etc.).
- $\omega$  being a scalar is crucial for the enstrophy estimate (unlike in 3D Navier-Stokes).
  - ▶ If we define  $\operatorname{curl} f = -(\star df)^\sharp$ , then

$$(1 - \mathcal{P}_{\mathcal{H}})U = \mathcal{P}_2 U = \operatorname{curl}(-\Delta)^{-1} \omega.$$

Unlike on flat spaces,  $\omega$  only controls the non-harmonic part of  $U$ .

## Vorticity formulation

- Let  $\lambda_1$  be the smallest nonzero eigenvalue of  $\sqrt{-\Delta_H}$  (smallest frequency).
- Let  $Z \subset \mathbb{N}_0 + \lambda_1$  be a finite subset selecting the modes included in the Galerkin approximation. Define  $U_Z = P_Z U := \sum_{k \in Z} P_k U$ .
- The truncated vorticity equation is

$$\begin{cases} U_Z &= \mathcal{P}_{\mathcal{H}} U_Z + \operatorname{curl} (-\Delta)^{-1} \omega_Z, \\ 0 &= \partial_t \omega_Z + P_Z \nabla_{U_Z} \omega_Z - \nu P_Z \star d\Delta_M \flat U_Z, \\ 0 &= \partial_t \mathcal{P}_{\mathcal{H}} U_Z + \mathcal{P}_{\mathcal{H}} \nabla_{U_Z} U_Z - \nu \mathcal{P}_{\mathcal{H}} \Delta_M U_Z, \end{cases} \quad (2)$$

Since  $\Delta_M$  could be  $\Delta_H$ ,  $\Delta_B$ , or  $\Delta_D$ , we write  $\Delta_M = \Delta_H + F$ , where  $F$  is a smooth differential operator of order 0.

## Vorticity formulation

- Let  $\lambda_1$  be the smallest nonzero eigenvalue of  $\sqrt{-\Delta_H}$  (smallest frequency).
- Let  $Z \subset \mathbb{N}_0 + \lambda_1$  be a finite subset selecting the modes included in the Galerkin approximation. Define  $U_Z = P_Z U := \sum_{k \in Z} P_k U$ .
- The truncated vorticity equation is

$$\begin{cases} U_Z &= \mathcal{P}_{\mathcal{H}} U_Z + \operatorname{curl} (-\Delta)^{-1} \omega_Z, \\ 0 &= \partial_t \omega_Z + P_Z \nabla_{U_Z} \omega_Z - \nu P_Z \star d\Delta_M \flat U_Z, \\ 0 &= \partial_t \mathcal{P}_{\mathcal{H}} U_Z + \mathcal{P}_{\mathcal{H}} \nabla_{U_Z} U_Z - \nu \mathcal{P}_{\mathcal{H}} \Delta_M U_Z, \end{cases} \quad (2)$$

Since  $\Delta_M$  could be  $\Delta_H$ ,  $\Delta_B$ , or  $\Delta_D$ , we write  $\Delta_M = \Delta_H + F$ , where  $F$  is a smooth differential operator of order 0.

- Finite-dimensional ODE  $\rightarrow$  smooth solution in local time.

# Basic estimates

We have some basic estimates:

- *Energy inequality:*  $\|U_Z(t)\|_{L^2} \leq \|U_Z(0)\|_{L^2}$ .
  - *Enstrophy estimate:*  $\|\omega_Z(t)\|_{L^2} \lesssim_{-Z} (\|\omega_Z(0)\|_{L^2} + \|U_Z(0)\|_{L^2}) e^{\nu C t}$   
for some  $C > 0$ .
    - ▶  $\lesssim_{-Z}$  means the implied constant does not depend on  $Z$ .
    - ▶ enstrophy is non-increasing when  $\Delta_M = \Delta_H$  ( $F = 0$ ), like on flat spaces.
- $U_Z$  exists globally in time, by Picard's theorem.

## A priori estimate

As  $Z \uparrow \mathbb{N}_0 + \lambda_1$ , we hope to recover the true Navier-Stokes solution. For smooth convergence, we will need the following  $Z$ -independent estimate:

### Theorem

If for some  $A_0 \in (0, \infty)$  and  $r > 1$ ,

$$\|U_Z(0)\|_2 \leq A_0 \quad \text{and} \quad \|P_k \omega_Z(0)\|_2 \leq \frac{A_0}{|k|^r} \quad \forall k \in Z,$$

then

$$\|P_k \omega_Z(t)\|_2 \leq \frac{A^*(t)}{|k|^r} \quad \forall t \geq 0, \forall k \in Z$$

for some smooth  $A^*(t)$  depending on  $r, \nu, M, A_0$  and not  $Z$ .

- This just means Sobolev norms, if bounded at time 0, are smoothly controlled in time, independently of  $Z$ . It is enough for global regularity.



## A priori estimate

- The estimate is local in time, so it is enough to fix  $T > 0$ , and show the Sobolev norm is controlled on  $[0, T]$  :  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_T^*}{|k|^r}$  for some  $A_T^* > 1$  depending on  $r, \nu, M, A_0$ , and  $T$ , but not on  $Z$ .
  - ▶ Note: The enstrophy estimate alone only guarantees  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_{T,Z}^*}{|k|^r}$  for some  $A_{T,Z}^*$  that depends on  $Z$ . Still, we can use this to control small  $k$ .

## A priori estimate

- The estimate is local in time, so it is enough to fix  $T > 0$ , and show the Sobolev norm is controlled on  $[0, T]$ :  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_T^*}{|k|^r}$  for some  $A_T^* > 1$  depending on  $r, \nu, M, A_0$ , and  $T$ , but not on  $Z$ .
  - ▶ Note: The enstrophy estimate alone only guarantees  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_{T,Z}^*}{|k|^r}$  for some  $A_{T,Z}^*$  that depends on  $Z$ . Still, we can use this to control small  $k$ .
- Let  $K_0$  be a large number to be chosen later. By the enstrophy estimate,  $\forall k \leq K_0$ :  $\|P_k \omega_Z(t)\|_2 \leq \frac{B_{T,K_0}}{|k|^r}$  for some  $B_{T,K_0} > A_0$  (recall:  $\|P_k \omega_Z(0)\|_2 \leq \frac{A_0}{|k|^r} \forall k \in Z$ )
  - ▶ We claim that when  $K_0$  is large enough,  $\|P_k \omega_Z(t)\|_2 \leq \frac{B_{T,K_0}}{|k|^r}$  also holds for  $k > K_0$ . Why? What happens when  $K_0$  gets large?

## A priori estimate

- The estimate is local in time, so it is enough to fix  $T > 0$ , and show the Sobolev norm is controlled on  $[0, T]$ :  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_T^*}{|k|^r}$  for some  $A_T^* > 1$  depending on  $r, \nu, M, A_0$ , and  $T$ , but not on  $Z$ .
  - ▶ Note: The enstrophy estimate alone only guarantees  $\|P_k \omega_Z(t)\|_2 \leq \frac{A_{T,Z}^*}{|k|^r}$  for some  $A_{T,Z}^*$  that depends on  $Z$ . Still, we can use this to control small  $k$ .
- Let  $K_0$  be a large number to be chosen later. By the enstrophy estimate,  $\forall k \leq K_0$ :  $\|P_k \omega_Z(t)\|_2 \leq \frac{B_{T,K_0}}{|k|^r}$  for some  $B_{T,K_0} > A_0$  (recall:  $\|P_k \omega_Z(0)\|_2 \leq \frac{A_0}{|k|^r} \forall k \in Z$ )
  - ▶ We claim that when  $K_0$  is large enough,  $\|P_k \omega_Z(t)\|_2 \leq \frac{B_{T,K_0}}{|k|^r}$  also holds for  $k > K_0$ . Why? What happens when  $K_0$  gets large?

***Geometric trapping.***

# Geometric trapping

- We aim to show that the sequence  $(\|P_k \omega_Z(t)\|_2)_{k \in \mathbb{N}_0 + \lambda_1}$  remains trapped in

$$\mathfrak{S}(K_0) = \left\{ (a_k)_{k \in \mathbb{N}_0 + \lambda_1} : a_k \leq \frac{B_{T, K_0}}{|k|^r} \quad \forall k \in \mathbb{N}_0 + \lambda_1 \right\}$$

- Certainly at time  $t = 0$ , the sequence lies in the set (as we picked  $B_{T, K_0} > A_0$ ).

# Geometric trapping

- We aim to show that the sequence  $(\|P_k \omega_Z(t)\|_2)_{k \in \mathbb{N}_0 + \lambda_1}$  remains trapped in

$$\mathfrak{S}(K_0) = \left\{ (a_k)_{k \in \mathbb{N}_0 + \lambda_1} : a_k \leq \frac{B_{T, K_0}}{|k|^r} \quad \forall k \in \mathbb{N}_0 + \lambda_1 \right\}$$

- Certainly at time  $t = 0$ , the sequence lies in the set (as we picked  $B_{T, K_0} > A_0$ ).
- If the sequence tries to escape and hit the boundary, there will be  $t_0$  and  $k_0 > K_0$  such that  $\|P_{k_0} \omega_Z(t_0)\|_2 = \frac{B_{T, K_0}}{|k_0|^r}$  and  $\|P_k \omega_Z(t_0)\|_2 \leq \frac{B_{T, K_0}}{|k|^r}$  for all other  $k$ .
  - ▶ If we can show that  $\partial_t \left( \|P_{k_0} \omega_Z(t_0)\|_2^2 \right) < 0$ , then the sequence remains trapped, and the a priori estimate is proven, and we have global regularity.

## Geometric trapping

- We are left to show  $\partial_t \left( \|P_{k_0} \omega_Z(t_0)\|_2^2 \right) < 0$ . Note that  $\|P_{k_0} \omega_Z(t_0)\|_2 = \frac{B_{T,K_0}}{|k_0|^r}$  implies

$$\|\Delta P_{k_0} \omega_Z(t_0)\|_2 \sim \frac{B_{T,K_0}}{|k_0|^{r-2}}$$

This should be the biggest power of  $k$  in the equation. It comes from the viscous term in Navier-Stokes. If we can show all other terms are dominated by the viscous term, then the vorticity equation roughly implies

$$\partial_t \left( \frac{1}{2} \|P_{k_0} \omega_Z(t_0)\|_2^2 \right) \approx \nu \langle \langle \Delta P_{k_0} \omega_Z(t_0), P_{k_0} \omega_Z(t_0) \rangle \rangle < 0$$

and we are done.

## Geometric trapping

- We are left to show  $\partial_t \left( \|P_{k_0} \omega_Z(t_0)\|_2^2 \right) < 0$ . Note that  $\|P_{k_0} \omega_Z(t_0)\|_2 = \frac{B_{T,K_0}}{|k_0|^r}$  implies

$$\|\Delta P_{k_0} \omega_Z(t_0)\|_2 \sim \frac{B_{T,K_0}}{|k_0|^{r-2}}$$

This should be the biggest power of  $k$  in the equation. It comes from the viscous term in Navier-Stokes. If we can show all other terms are dominated by the viscous term, then the vorticity equation roughly implies

$$\partial_t \left( \frac{1}{2} \|P_{k_0} \omega_Z(t_0)\|_2^2 \right) \approx \nu \langle \langle \Delta P_{k_0} \omega_Z(t_0), P_{k_0} \omega_Z(t_0) \rangle \rangle < 0$$

and we are done.

- **Summary:** We have reduced global regularity to viscous domination.

## Viscous domination

To make things easier to follow, we remove any references to Navier-Stokes and make a self-contained statement.

### Theorem

Let  $w \in C^\infty(M)$  and  $u \in \mathcal{P}_{\mathcal{H}}\mathfrak{X}(M)$ . Let  $A, B \geq 1$  and  $k \in \mathbb{N}_0 + \lambda_1 + 1$ . Let  $r > 1$ . Assume that  $\pi_0 w = 0$  and  $\|P_l w\|_2 \leq \frac{A}{|l|^r}$  for all  $l \in \mathbb{N}_0 + \lambda_1$ . Assume also that  $\|w\|_2 + \|u\|_2 \leq B$ . Then

$$\begin{aligned} & \sum_{l_1, l_2 \in \mathbb{N}_0 + \lambda_1} \left\| P_k \left\langle \operatorname{curl}(-\Delta)^{-1} P_{l_1} w, \nabla P_{l_2} w \right\rangle \right\|_2 \\ & \quad + \sum_{l \in \mathbb{N}_0 + \lambda_1} \|P_k \langle \mathcal{P}_{\mathcal{H}} u, \nabla P_l w \rangle\|_2 + \|P_k D^1 \mathcal{P}_{\mathcal{H}} u\|_2 \\ & \quad + \sum_{l \in \mathbb{N}_0 + \lambda_1} \left\| P_k D^2 \operatorname{curl}(-\Delta)^{-1} P_l w \right\|_2 \lesssim_{M,r} \frac{AB}{|k|^{r-\frac{7}{4}}} \end{aligned}$$

We note that  $D^j$  is schematic notation for any smooth differential operator of order  $j$ .

## Bilinear estimate

To prove viscous domination, the first tool we need is a generalisation of the bilinear estimate from the study of NLS.

### Lemma

For any  $f, g \in L^2(M)$  and  $l_1, l_2 \geq \lambda_1(M)$  and  $a, b, c \in \mathbb{N}_0$ , we have

$$\begin{aligned} & \left\| (\nabla^a P_{l_1} f) * \left( \nabla^b (-\Delta)^{-c} P_{l_2} g \right) \right\|_2 \\ & \lesssim_{-l_1, -l_2} \min(l_1, l_2)^{\frac{1}{4}} l_1^a \|P_{l_1} f\|_2 l_2^{b-2c} \|P_{l_2} g\|_2 \end{aligned}$$

where  $(\nabla^a P_{l_1} f) * (\nabla^b P_{l_2} g)$  is schematic for any contraction of the two tensors.

- The factor  $\min(l_1, l_2)^{\frac{1}{4}}$  is not present on the torus, but is essentially sharp on the sphere.

## Trilinear estimate

The second tool is an adaptation of the first, for distant regions of frequency interactions.

### Lemma

For any  $f_1, f_2, f_3 \in L^2(M)$ ;  $a_1, b_1, a_2, b_2, a_3, b_3, J \in \mathbb{N}_0$  and  $l_1 \geq l_2 \geq l_3 \geq \lambda_1(M)$  such that  $l_1 = l_2 + Kl_3 + 2$  for  $K > 1$ , we have

$$\left| \int_M \left( \nabla^{a_1} (-\Delta)^{-b_1} P_{l_1} f_1 \right) * \left( \nabla^{a_2} (-\Delta)^{-b_2} P_{l_2} f_2 \right) * \left( \nabla^{a_3} (-\Delta)^{-b_3} P_{l_3} f_3 \right) \right| \\ \lesssim_{J, M, -l_1, -l_2, -l_3} \frac{l_3^{\frac{1}{4}}}{K^J} \prod_{j=1}^3 l_j^{a_j - 2b_j} \|P_{l_j} f_j\|_2$$

- This essentially says that the distant regions are “negligible”.

## Convective term

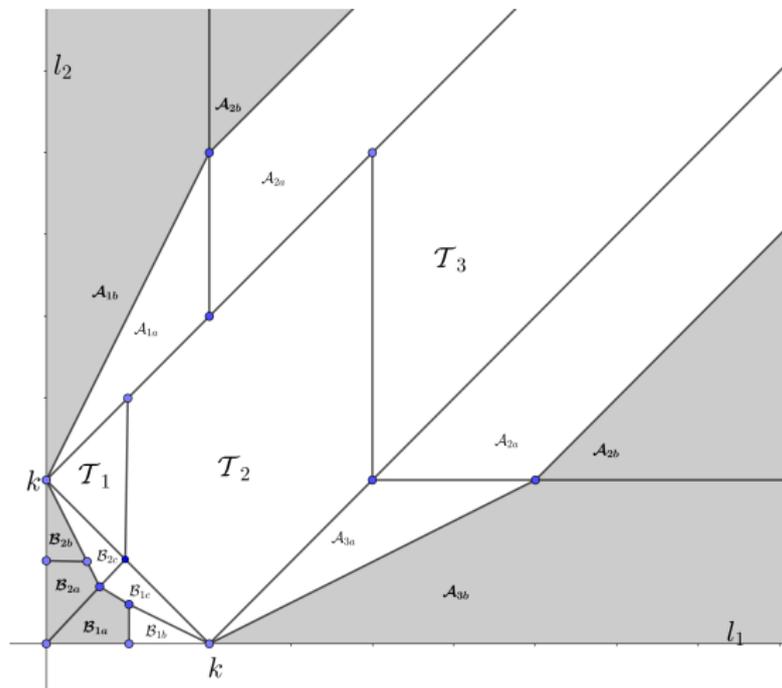
With the bilinear and trilinear estimate, we can now handle the main term in the problem of viscous domination.

Assuming  $\|P_l w\|_2 \leq \frac{A}{|l|^r} \forall l$  and  $\|w\|_2 = \|\|P_j w\|_2\|_{l_j^2(\mathbb{N}_0 + \lambda_1)} \leq B$ , we show that for any  $k$ :

$$\sum_{l_1, l_2 \in \mathbb{N}_0 + \lambda_1} \left\| P_k \left\langle \operatorname{curl}(-\Delta)^{-1} P_{l_1} w, \nabla P_{l_2} w \right\rangle \right\|_2 \lesssim \frac{AB}{k^{r - \frac{7}{4}}}$$

- Note that  $k, l_1, l_2$  are the three “frequencies” interacting.
- Strategy: split into multiple scenarios for values of  $k, l_1, l_2$ . If the argument can not be closed, assume more conditions and split further.

# Diagram



**Figure:** All the possible scenarios found through trial and error. Shaded regions are where the trilinear estimate is used. Example:  $\mathcal{T}_2$  is defined by  $|l_1 - l_2| \leq k \leq l_1 + l_2$ ,  $\frac{k}{2} < l_1 \leq 2k$ .

## Example of a shaded region

- Assume  $l_1 \geq k, l_2 \geq k, 2k + 2 \leq |l_1 - l_2|$  (region  $\mathcal{A}_{2b}$ ). Applying the trilinear estimate, for any  $J$  (chosen to be large), we can bound the sum by

$$\begin{aligned} & \sum_{l_1} \sum_{l_2} l_1^{1/4} \frac{k^J}{|l_2 - l_1|^J} \frac{1}{l_1} \|P_{l_1} w\|_2 l_2 \|P_{l_2} w\|_2 \\ & \leq Ak^J \sum_{l_1} \frac{1}{l_1^{3/4}} \|P_{l_1} w\|_2 \sum_{l_2} \frac{1}{|l_2 - l_1|^J} \cdot \frac{1}{l_2^{r-1}} \end{aligned} \quad (3)$$

Choosing  $J \in \mathbb{N}$  and  $p \in (1, \infty)$  such that  $Jp > 1, (r-1)p' > 1$  (possible since  $r > 1$ ), we obtain:

$$\begin{aligned} (3) & \lesssim Ak^J \sum_{l_1} \frac{1}{l_1^{3/4}} \|P_{l_1} w\|_2 \frac{1}{k^{J-1/p}} \cdot \frac{1}{k^{r-1-1/p'}} \\ & = A \frac{1}{k^{r-2}} \sum_{l_1} \frac{1}{l_1^{3/4}} \|P_{l_1} w\|_2 \lesssim \frac{AB}{k^{r-\frac{7}{4}}} \end{aligned}$$

## Harmonic term

Unlike the convective term, the harmonic term is very easy to handle.  
For any  $m \in \mathbb{N}_0$ :

$$k^{2m} \left\| P_k D^1 \mathcal{P}_{\mathcal{H}} u \right\|_2 \lesssim \left\| \mathcal{P}_{\mathcal{H}} u \right\|_{H^{2m+1}} \sim_m \left\| \mathcal{P}_{\mathcal{H}} u \right\|_2 \leq B$$

## Linear terms

All the remaining terms that come from curvature, can be summarized by the following estimate:

Let  $a, b \in \mathbb{N}_0$  such that  $a - 2b \leq 1$ . We write  $D_B^k$  as a schematic for a spatial differential operator of order  $k$ , such that any local coefficients  $c(x)$  of  $D_B^k$  satisfy

$$\|c(x)\|_{C^m} \lesssim_m B$$

Then for all  $k \in \mathbb{N}_0 + \lambda_1 + 1$ ,

$$\sum_{l \in \mathbb{N}_0 + \lambda_1} \left\| P_k \left( D_B^a (-\Delta)^{-b} P_l w \right) \right\|_2 \lesssim_{a,b,-k} \frac{AB}{k^{r-7/4}}.$$

## Linear terms, critical region

Fix  $\varepsilon \in (0, \frac{1}{2})$ . Handling the “critical region”  $l \in [k - k^\varepsilon, k + k^\varepsilon]$  (where  $l \sim_\varepsilon k$ ) is simple:

$$\begin{aligned} \sum_{l \in [k - k^\varepsilon, k + k^\varepsilon]} \left| \left\langle \left\langle D_B^a (-\Delta)^{-b} P_l w, P_k v_l \right\rangle \right\rangle \right| \\ \lesssim \sum_l l^{1/4} l^{a-2b} B \|P_l w\|_2 \\ \sim_\varepsilon \sum_l \frac{AB}{k^{r-a+2b-\frac{1}{4}}} \\ \lesssim \frac{AB}{k^{r-a+2b-\frac{1}{4}-\varepsilon}} \lesssim \frac{AB}{k^{r-\frac{7}{4}}} \end{aligned}$$

as  $a - 2b \leq 1$  and  $\varepsilon < \frac{1}{2}$ .

## Linear terms, distant region

Sketch:

- We pass from frequency cutoffs  $P_k$  to eigenspace projections  $\pi_s$  which diagonalize  $(-\Delta)^{-b}$ .
- We integrate by parts with commutators. We use the fact that  $[D_B^a, -\Delta_H] = D_B^{a+1}$  (the principal symbol of  $\Delta_H$  is a constant which commutes with the principal symbol of  $D_B^a$ ).

## Linear terms, distant region

Sketch:

- We pass from frequency cutoffs  $P_k$  to eigenspace projections  $\pi_s$  which diagonalize  $(-\Delta)^{-b}$ .
- We integrate by parts with commutators. We use the fact that  $[D_B^a, -\Delta_H] = D_B^{a+1}$  (the principal symbol of  $\Delta_H$  is a constant which commutes with the principal symbol of  $D_B^a$ ).
- Finally we use a “Fourier trick” to change from  $\pi_s$  back to  $P_k$ , which gives arbitrary decay  $\frac{1}{k^\infty}$ . Main idea of “Fourier trick”: decompose a smooth symbol into multilinear pieces by the Fourier inversion theorem, and use the fact that the  $L^2$  norm is modulation-independent:

$$\left\| \sum_z e^{i2\pi z\theta} \pi_{l+z} f \right\|_2 = \left\| \sum_z \pi_{l+z} f \right\|_2$$

## Appendix: proving the trilinear estimate

To see that the bilinear estimate implies the trilinear estimate, we just need the Fourier trick, as well as the following integration by parts lemma:

For  $i = 1, 2, 3, 4$ , let  $e_i \in C^\infty(M)$  be eigenfunctions where  $(-\Delta)e_i = n_i^2 e_i$ , and assume  $n_1 \geq n_2 \geq n_3 \geq n_4 \geq 0$  and  $n_1^2 \neq n_2^2 + n_3^2 + n_4^2$ . Set  $\mathcal{N} = \frac{1}{n_1^2 - n_2^2 - n_3^2 - n_4^2}$ . Then, for any  $a_1, a_2, a_3, a_4 \in \mathbb{N}_0$  and  $m \in \mathbb{N}_1$ , we have the schematic identity

$$\begin{aligned} & \int_M (\nabla^{a_1} e_1) * (\nabla^{a_2} e_2) * (\nabla^{a_3} e_3) * (\nabla^{a_4} e_4) \\ &= \mathcal{N}^m \sum_{\substack{b_2+b_3+b_4=2m \\ 0 \leq b_2, b_3, b_4 \leq m}} \int_M \nabla^{a_1} e_1 * \nabla^{a_2+b_2} e_2 * \nabla^{a_3+b_3} e_3 * \nabla^{a_4+b_4} e_4 \\ &+ \mathcal{N}^m \sum_{\substack{\sum_j c_j \leq \sum_j a_j + 2m - 2 \\ 0 \leq c_j \leq a_j + m - 1 \ \forall j \neq 1 \\ c_1 \leq a_1}} \int_M T_{mc_1 c_2 c_3 c_4} * \nabla^{c_1} e_1 * \nabla^{c_2} e_2 * \nabla^{c_3} e_3 * \nabla^{c_4} e_4 \end{aligned}$$

for some smooth tensors  $T_{mc_1 c_2 c_3 c_4}$ .

# Future

- How about Mattingly and Sinai's results regarding analytic solutions? (most likely to hold)
- How about manifolds with boundary, non-compact manifolds and exterior domains? (possibly non-trivial)
- Original goal of Aynur: how about other equations like SQG? (to be explored)

# For Further Reading I

-  Burq, Nicolas, Patrick Gérard, and Nikolay Tzvetkov (2005). “Multilinear Eigenfunction Estimates and Global Existence for the Three Dimensional Nonlinear Schrödinger Equations”. In: *Annales scientifiques de l'École Normale Supérieure* 38.2, pp. 255–301. DOI: [10.1016/j.ansens.2004.11.003](https://doi.org/10.1016/j.ansens.2004.11.003). URL: [http://www.numdam.org/item/ASENS\\_2005\\_4\\_38\\_2\\_255\\_0/](http://www.numdam.org/item/ASENS_2005_4_38_2_255_0/) (visited on 06/18/2020).
-  Hani, Zaher (Nov. 15, 2011). *Global Well-Posedness of the Cubic Nonlinear Schrödinger Equation on Compact Manifolds without Boundary*. arXiv: [1008.2826](https://arxiv.org/abs/1008.2826) [math]. URL: <http://arxiv.org/abs/1008.2826> (visited on 05/21/2020).
-  Mattingly, J. C. and Ya G. Sinai (Apr. 7, 1999). *An Elementary Proof of the Existence and Uniqueness Theorem for the Navier-Stokes Equations*. arXiv: [math/9903042](https://arxiv.org/abs/math/9903042). URL: <http://arxiv.org/abs/math/9903042> (visited on 05/21/2020).
-  Pruess, Jan, Gieri Simonett, and Mathias Wilke (May 2, 2020). *On the Navier-Stokes Equations on Surfaces*. arXiv: [2005.00830](https://arxiv.org/abs/2005.00830) [math]. URL: <http://arxiv.org/abs/2005.00830> (visited on 06/29/2020).

Thank you for listening.