A new framework for identifying most reliable graphs and a correction to the $K_{3,3}$ -theorem

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Abstract

Given a multigraph *G*, the all-terminal reliability R(G, p) is the probability that *G* remains connected under percolation with parameter *p*. Fixing the number of vertices *n* and edges *m*, we investigate which graphs maximize R(G, p)—such graphs are called *optimal*—paying particular attention to uniqueness and to whether the answer depends upon *p*. We generalize the concept of a *distillation* and build a framework with which we identify all optimal graphs where $m - n \in \{1, 2, 3\}$. These graphs are uniformly optimal in *p*. Most have been previously identified, but with serious problems, especially when m - n = 3. We obtain partial results for $m - n \in \{4, 5\}$.

For m - n = 3, the optimal graphs were incorrectly identified by Wang in 1994, in the infinite number of cases where $m \equiv 5 \pmod{9}$ and $m \ge 14$. This erroneous result concerns subdivisions of $K_{3,3}$ and has been cited extensively, without any mistake being detected. While optimal graphs were correctly described for other m, the proof is fundamentally flawed. Our proof of the rectified statement is self-contained.

For m - n = 4, the optimal graphs were recently shown to depend upon p for infinitely many m. We find a new such set of m-values, which gives a different perspective on why this phenomenon occurs and leads us to conjecture that uniformly optimal graphs exist only for finitely many m. However, for m - n = 5, we conjecture that there are again infinitely many uniformly optimal graphs.

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Contents

1	Introduction						
	1.1	The problem of reliability	3				
	1.2	Summary of the paper	4				
2	Preliminaries						
	2.1	Graph theoretical essentials	5				
	2.2	Reliability	5				
	2.3	Graphs with bridges are not <i>p</i> -optimal	6				
3	Dist	Distillation framework					
	3.1	Proper distillations and chains	7				
	3.2	Weak distillations, weak subdivisions and zero chains	8				
	3.3	Bond counting	0				
4	The	uniquely optimal $(k = 1)$ -graphs 1	1				
5	Mai	n distillation result	1				
	5.1	Equivalently reliable graphs 1	1				
	5.2	Distillations to represent bridgeless graphs 1	3				
6	Min	imizing 2- and 3-disconnections	5				
	6.1	Minimizing 2-disconnections for $k \ge 1$	5				
	6.2	Results about 3-disconnections for $k \ge 2$	6				
7	Moving edges between chains						
8	The	uniquely optimal $(k = 2)$ -graphs 20	0				
9	The	uniquely optimal $(k = 3)$ -graphs 24	4				
	9.1	Background	4				
	9.2	Subdivisions of the triangular prism are not <i>p</i> -optimal	4				
	9.3	Zeroing in on balanced weak $K_{3,3}$ -subdivisions	9				
	9.4	Correcting the $K_{3,3}$ -theorem	3				
10	Resu	alts and conjectures for $k = 4$ and $k = 5$ 33	7				
	10.1	Intriguing <i>p</i> -dependencies for Wagner subdivisions $(k = 4)$	7				
	10.2	A weakened conjecture about Petersen subdivisions $(k = 5) \dots \dots \dots \dots \dots 4$	1				

1 Introduction

1.1 The problem of reliability

Given a fixed number of vertices and edges, which graphs are the most likely to remain connected after edge-percolation with parameter $p \in (0, 1)$? This problem was studied by Kelmans [14] and later independently formulated in [2], where it was described as the design or synthesis of reliable networks.

Throughout this paper, we allow a graph to have multiple edges and loops. An (n, m)-graph is a graph with n vertices and m edges, counting multiplicity. We will focus on graphs such that m - n is small; we call this quantity *exceedance* and denote it by k. (For connected graphs, the exceedance equals what is called the *corank* plus one.) We let $\mathcal{G}_{n,m}$ (or $\mathcal{G}_{n,n+k}$) denote the set of connected (n, m)-graphs.

Definition 1 (Reliability). The reliability function of *G*, denoted by R(G, p), is the probability that the graph *G* remains connected under percolation with parameter *p* (the probability for each edge to remain). If R(H, p) > R(G, p), where $H, G \in \mathcal{G}_{n,m}$, we say that *H* is strictly more reliable than *G* with respect to *p*. If this holds for all $p \in (0, 1)$, then *H* is strictly more reliable than *G*.

Problem. Given *n*, *m* and *p*, find the *p*-optimal graphs, defined as the graphs in $G_{n,m}$ which maximize R(G, p).



Figure 1: For sufficiently small (large) *p*, the left (right) graph is strictly more reliable.

Boesch [3] defined a Uniformly Most Reliable Graph (UMRG) as an (n, m)-graph which is p-optimal for every p. A recent survey of what is known about UMRGs is due to Romero [21]. Noting that the definition of a UMRG involves a non-strict inequality, we propose the following stronger notion:

Definition 2 (Unique optimality). $G \in \mathcal{G}_{n,m}$ is a uniquely optimal graph if *G* is strictly more reliable than all other graphs in $\mathcal{G}_{n,m}$.



Figure 2: The uniquely optimal (10, 11)-graph has parallel chains of lengths 4, 3 and 4.

Unique optimality is equivalent to unique *p*-optimality for all values of *p*. This is logically a stronger property than for a UMRG to be unique, which is of course stronger than simply being UMRG. The only known case where UMRGs are not unique is the case of trees (as noted below, every tree is a UMRG). Whether unique UMRGs are necessarily uniquely optimal seems to be a nontrivial problem.

It was conjectured in [3] that a UMRG always exists, but an infinite family of almost complete graphs disproving the conjecture had already been described by [14]. Similar counterexamples were independently and elegantly demonstrated in [17]. Although [17], like [3], were working in the context of simple graphs, an additional argument can be made to show that there are no UMRGs with multiple edges in the relevant families $G_{n,m}$. For the particular case of (6, 11)-graphs, see Proposition 10.5. For an example of simply how the relative reliability of two graphs can depend upon p, the reader might ponder the (10, 11)-graphs in Figure 1.



Figure 3: *G* is the smallest in an infinite sequence of graphs which have wrongly been considered optimal since 1994, when Wang "proved" a 1991 conjecture by Boesch, Li and Suffel. The true uniquely optimal (11, 14)-graph is shown to be *H*.

The present paper will focus on families of graphs of low exceedance. The solution to our Problem is easy for the smallest possible values of m - n = k. A tree on n vertices has exceedance -1 and reliability $R(G, p) = p^{n-1}$; thus, every tree is trivially a UMRG, which for $n \ge 4$ is not unique. Proceeding to consider k = 0, the reader may convince themself that the set of cycles constitute a family of uniquely optimal graphs for the $G_{n,n}$ -sets, where $n \ge 1$.

For $k \in \{1, 2, 3\}$, it turns out that there is always a uniquely optimal graph. For k = 1, it is well known that a construction given in [2] gives a UMRG for each size *m*. These graphs consist of three parallel paths with as equal length as possible, as in Figure 2. Finding the uniquely optimal graphs when k = 2 and k = 3 takes more work. Most of the graphs have previously been identified as UMRGs in the literature, but there are serious problems. In the case of k = 3, an infinite number of graphs were erroneously identified as UMRGs in [24] through "Theorem" 9.1. The smallest such mistaken UMRG is the (11, 14)-graph *G*, shown in Figure 3 together with *H*, the true UMRG. For both k = 2 and k = 3, we will point out several flaws in previous proofs and statements and provide independent and self-contained characterizations of the uniquely optimal graphs.

With increasing k, the problem becomes exceedingly complex. For k = 4, there are infinitely many m where no UMRG exists. For k = 5, little is known.

In a recent paper by Kahl and Luttrell [11], the uniquely optimal graphs for $k \in \{0, 1, 2\}$ were shown to in a certain sense maximize the *Tutte polynomial*, and hence also maximize many graph parameters obtainable from the Tutte polynomial (the reliability function being one). The (k = 3)-graphs of Wang [24] were then conjectured to similarly maximize the Tutte polynomial. This conjecture was amended in the update [12] to apply to the true uniquely optimal (k = 3)-graphs identified by Theorem 9.7 below.

1.2 Summary of the paper

In Sections 2.1 and 2.2, we provide basic background concerning graph theory and the reliability function. In Section 2.3, we show that except for trees, an optimal graph can never contain a bridge. In Section 3, we introduce the proper distillation of a graph and then the more general notion of a weak distillation. This gives us graphs with a simple structure from which more general graphs can be constructed. In Section 4, we demonstrate how the uniquely optimal graphs can easily be obtained when k = 1 (i.e. m - n = 1).

In Section 5, we introduce an equivalence relation on graphs with the following properties. (1) Equivalent graphs have identical reliability functions. (2) Every bridgeless graph has an equivalent graph with a weak distillation which is 3-edge-connected and cubic. This allows us to restrict attention to graphs which can be built from 3-edge-connected cubic graphs, which is crucial to obtain our main results.

In Section 6 we describe, for general *n* and *m*, the graphs which minimize the number of disconnecting edge sets of size 2, and within restricted sets of graphs those which minimize the number of disconnecting sets of size 3. In Section 7, we study how moving an edge within a graph affects the number of disconnecting sets.

In Section 8, we study the case k = 2 and obtain the uniquely optimal graphs. In Section 9, we study the case k = 3 and provide the first correct characterization of its uniquely optimal graphs. Finally, in Section 10, we provide partial results and conjectures for the cases k = 4 and k = 5.

2 Preliminaries

2.1 Graph theoretical essentials

For standard notions of graph theory we refer to Diestel [7]. In particular, we will use the following notation and definitions. All graphs are connected finite multigraphs, which may contain loops. We use the word *multigraph* only to contrast with simple graphs when discussing results from the literature.

A general graph with *n* vertices and *m* edges has exceedance *k*, defined by m - n, and will be referred to as an (n, m)-graph, (n, n + k)-graph or (m - k, m)-graph, depending on convenience. The *size* of a graph is its number of edges. An *m*-path has *m* edges and the *r*-star is the complete bipartite graph $K_{1,r}$. The *m*-dipole consists of two vertices connected by *m* edges and the *m*-bouquet is a single vertex with *m* loops.

A cubic graph of exceedance *k* has 2k vertices and 3k edges. To see this, use the degree sum formula with |E(G)| = n + k and simplify to obtain n = 2k.

A spanning subgraph of G contains all the vertices of G. The number of spanning trees of G is denoted by t(G). A matching of G is a set of disjoint edges of G. A matching is perfect if it spans G.

If *G* contains the edge *e* (or edge set *E*), then G - e(G - E) is the graph resulting from the *deletion* of this (these) edges. An (edge-)disconnecting set or *disconnection* of *G* is a set of edges whose deletion disconnects *G*. Every disconnection contains at least one *cut*, which is the set of edges crossing a non-trivial partition of the vertices into two sets called *sides*. Minimal cuts are called *bonds*. An *i*-disconnection is a disconnection of size *i*, and we define an (i, j)-disconnection to be an *i*-disconnection in which the smallest bond has size *j*. We let $d_i(G)$ denote the number of *i*-disconnections, $d_{i,j}(G)$ denote the number of (i, j)-disconnections and $b_i(G)$ denote the number of *i*-bonds of *G*.

The symmetric difference of two distinct cuts is a cut [7, Prop. 1.9.2], and every cut is a disjoint union of bonds [7, Lemma 1.9.3].

The edge-connectivity of *G*, denoted $\lambda(G)$, is the size of the smallest bond. A graph with edge-connectivity at least μ is said to be μ -edge-connected. An edge $e \in G$ is a bridge if the deletion of *e* disconnects *G*. Note that for a connected graph, *bridgeless* is the same as 2-edge-connected.

The following definition of *cutvertex* includes a vertex with one or more loops (except if the entire graph is just one vertex with one loop). For loopless graphs, this definition is equivalent to the more common one, where the removal of the cutvertex disconnects the graph. A *block* is a maximal connected subgraph which has no cutvertex of its own.

Definition 3 (Cutvertex). A vertex $v \in G$ is a cutvertex if the edges of *G* can be partitioned into two nonempty sets such that v is the only vertex incident with at least one edge in each set.

2.2 Reliability

We use the standard notion of percolation, in which edges are independently retained with probability $p \in (0, 1)$ and otherwise deleted.

Let $c_i(G)$ denote the number of connected spanning subgraphs of $G \in \mathcal{G}_{n,m}$ with m - i edges. Noting that $c_i(G) = 0$ for i > k + 1, we see that the reliability function can be expressed as

$$R(G, p) = \sum_{i=0}^{k+1} c_i(G) p^{m-i} (1-p)^i.$$
(1)

While our results will be given in terms of the reliability function, it is often more practical to work with the complementary probability U(G, p), where U is for *unreliability*. Recalling that $d_i(\cdot)$ denotes the number of *i*-disconnections,

$$U(G, p) = \sum_{i=1}^{m} d_i(G) p^{m-i} (1-p)^i .$$
⁽²⁾

Note that $d_i(G) = 0$ for $i < \lambda(G)$, that $d_i(G) = \binom{m}{i}$ for i > k + 1, and that $c_i(G) + d_i(G) = \binom{m}{i}$ for all $i \in [0 \dots m]$. (We use the notation $[a \dots b]$ for the closed integer interval from a to b.)

It follows from the above that a sufficient condition for a graph $H \in \mathcal{G}_{n,m}$ to be strictly more reliable than a graph $G \in \mathcal{G}_{n,m}$ is the following set of inequalities, where at least one is strict:

$$d_i(H) \le d_i(G), \qquad \forall i \in [1 \dots k+1]. \tag{3}$$

It was recently shown by Graves [9] that this sufficient condition is not necessary.

A sufficient condition for *H* to be uniquely optimal is consequently that (3) holds for all $G \in \mathcal{G}_{n,m} \setminus \{H\}$, with at least one strict inequality for each *G*. Without the final requirement, we have a sufficient condition for *H* to be a UMRG. Whether this condition is necessary is not known [21].

It is instructive to consider the graph theoretic properties which govern the reliability function for large p and for small p. Note that $\lambda(G)$ can be expressed as min{ $i : d_i(G) > 0$ } and that the number of spanning trees of G equals $c_{k+1}(G)$. The following proposition is therefore immediate.

Proposition 2.1.

(a) If the edge-connectivity of H is strictly larger than that of G, then, for sufficiently large p,

$$R(H, p) > R(G, p). \tag{4}$$

(b) If the number of spanning trees of H is strictly larger than that of G, then (4) holds for sufficiently small p.

2.3 Graphs with bridges are not *p*-optimal

Graphs with bridges (except for trees) cannot be *p*-optimal. Variants of this result can be found e.g. in [6] and [24]. However, we have not been able to obtain the exact content we need from the literature. A similar result involving cutvertices instead of bridges is possible, but the following will be sufficient for our purposes.

Proposition 2.2. If $G \in \mathcal{G}_{n,n+k}$ with $n \ge 2$ and $k \ge 0$ has a bridge, then there exists a graph $G' \in \mathcal{G}_{n,n+k}$ such that $d_i(G') < d_i(G)$ for all $i \in [1 ... k + 1]$. Hence, any p-optimal (n, n+k)-graph is bridgeless.



Figure 4: Given a graph G in which b is a bridge and e is a non-bridge, the surgery gives a graph G' with fewer disconnecting sets of all relevant sizes, which is therefore strictly more reliable than G.

Proof. Since $k \ge 0$, *G* has a cycle. Choose a bridge b = vw that is adjacent to some edge e = uv which belongs to a cycle, and then construct *G'* by moving an incidence of *e* from *v* to *w*, as shown in Figure 4.

We first show that every edge set which disconnects G' also disconnects G, which is to say that $d_i(G') \le d_i(G)$ for all $i \in [1 \dots m]$. Letting E be an edge set which disconnects G', there are three cases for b and e. If $b \in E$, it is immediate that E also disconnects G. If $e \in E$, then G - E is disconnected since G' - e and G - e are isomorphic. If $e \notin E$ and $b \notin E$, then clearly G - E is also disconnected.

Now, let $i \in [1 ... k + 1]$. We show that there is at least one edge set E_i of size i which disconnects G but not G'. Since G' - b is a connected (n, n + k - 1)-graph, we can choose an edge set K of size k contained in G' - b such that G' - b - K is a tree. Let E_i consist of b together with i - 1 of the edges in K. Then, $G' - E_i$ is connected, but $G - E_i$ is disconnected. We conclude that $d_i(G') < d_i(G)$ for every $i \in [1 ... k + 1]$.

3 Distillation framework

3.1 **Proper distillations and chains**

A critical idea introduced in [2] is the conversion of a graph G into its *distillation* by suppressing all vertices of degree 2. We will call this graph the *proper* distillation of G, since we will promptly generalize the concept to a set of *weak* distillations of G. We can think of the distillations as "blueprints" by which we can represent larger sets of graphs; in fact, it can be shown that for any k, the leafless graphs of exceedance k can be represented by only finitely many proper distillations (and by the same finite set of leafless weak distillations). See Figure 6 for an example of a graph G, its proper distillation D_1 and some of its weak distillations. The relevant definitions are as follows.

Definition 4 (Vertex suppression and insertion). A loopless 2-vertex v is *suppressed* by deleting v and joining its two neighbors by an edge. A vertex which does not have degree two is *non-suppressible*. The *insertion* of a vertex at the edge e is the above operation in reverse.

Remark. Vertex suppression and insertion are special cases of edge contraction and expansion; see Definition 7.

Definition 5 (Distillation and subdivision). A distillation is a graph without vertices of degree 2. The *proper distillation* of a non-cycle *G*, denoted by D_G , is the graph obtained by suppressing all 2-vertices of *G*. In turn, *G* is a *subdivision* of D_G . For weak distillations and weak subdivisions, see Definition 8.

While subdivisions are usually defined for general graphs, our definition only allows for subdivisions of distillations. A distillation and its subdivisions have the same k-value, since vertex suppression and insertion preserve exceedance. Note that G is bridgeless if and only if D_G is bridgeless.



Figure 5: A part of a graph with three parallel, positive chains of lengths 3, 2 and 1. The endvertices u and v are not part of any chain; and the number of other edges incident to u and v is arbitrary.



Figure 6: The (7,9)-graph *G* is a subdivision of D_1 , the proper distillation of *G*. The leafless weak distillations of *G* are D_1 through D_4 , and D'_1 is one of infinitely many with leaves.

Definition 6 (Chain). A *positive chain* of a graph is a maximal path which contains at least one edge, does not contain its endvertices and in which every vertex has degree 2. See Figure 5. A *chain* is either a positive chain or a *zero chain*, which will be defined in Definition 9. The *length* of a chain is its number of edges.

Note that every edge of a graph G belongs to exactly one positive chain (an edge which is not incident to a 2-vertex is a chain of length one). Hence, the proper distillation of G can be equivalently defined as the graph obtained by replacing each positive chain of G with an edge. Likewise, the subdivisions of D_G can be defined as all graphs obtainable by replacing the edges of D_G with positive chains.

3.2 Weak distillations, weak subdivisions and zero chains

We now generalize proper distillations and subdivisions to weak distillations and weak subdivisions. This will allow us to restrict our attention to cubic distillations.

The key picture is as follows: All weak subdivisions of a distillation D can be obtained by replacing the edges of D with chains, where chains can have zero length, meaning that the corresponding edge is contracted. (However, we will not allow all edges of a cycle to be contracted.) If the chain lengths do not differ by more than one edge, the graph is *balanced*.

Definition 7 (Edge expansion and contraction).

- (a) Expanding an edge at a vertex v means exchanging v for two adjacent vertices v_1 and v_2 , assigning each incidence with v to either v_1 or v_2 . (A loop at v is replaced either with a loop at v_1 or v_2 or with an additional edge between v_1 and v_2 .)
- (b) Contracting a non-loop edge e in an (n, m)-graph G means removing the edge and merging its endvertices, yielding an (n 1, m 1)-graph denoted by G/e. (Any edges parallel to the contracted edge become loops, which cannot be contracted.)

Note that edge expansion and contraction both preserve exceedance. It should also be clear that the edge-connectivity of a graph can only decrease under edge expansion and can only increase under edge contraction.

Definition 8 (Weak distillation and weak subdivision).

- (a) If a distillation *D* can be obtained from the proper distillation of *G* by edge expansions, then *D* is a *weak distillation* of *G*.
- (b) If *G* can be obtained from a distillation *D* by edge contractions and vertex insertions, then *G* is a *weak subdivision* of *D*.

It is easy to show that G is a weak subdivision of D if and only if D is a weak distillation of G. Furthermore, such graphs D and G have the same exceedance. See Figure 6 for examples.

The following proposition will in practice be superseded by Theorem 5.2, which has an independent proof. However, we consider the below to be a natural development of ideas, and Proposition 3.1(b) will be used to easily identify the uniquely optimal (k = 1)-graphs.

Proposition 3.1. Let $G \in \mathcal{G}_{n,n+k}$, where $n \ge 1$ and $k \ge 1$.

- (a) G has a cubic weak distillation if and only if G is leafless.
- (b) G has a bridgeless, cubic weak distillation if and only if G is bridgeless.

Proof. Part (a), *only if* direction: Suppose that *G* has a leaf *v*. Leaves are not suppressed, so *v* remains a leaf in D_G . Expanding an edge at *v* creates a new leaf, so no weak distillation of *G* can be cubic.

Part (b), *only if* direction: If *G* has a bridgeless weak distillation, then its proper distillation is bridgeless. As previously noted, this implies that *G* is bridgeless.

Part (a), *if* direction: Suppose that *G* is leafless. Its proper distillation D_G then has minimum degree 3. If D_G is not cubic, pick a vertex $v \in D_G$ for which $\deg(v) \ge 4$, and expand an edge e_v at v in such a way that the two new vertices v_1 and v_2 each receives degree at least 3. Noting that the resulting distillation remains leafless and that both v_1 and v_2 have strictly smaller degree than v, we can repeat the procedure until a cubic weak distillation of *G* is obtained.

Part (b), *if* direction: Suppose that *G* is bridgeless, which immediately implies that D_G is bridgeless. We modify the above procedure to ensure that no bridge is created by the edge expansion. Clearly, an already existing edge cannot become a bridge. It is also easy to see that if the chosen vertex *v* is not a cutvertex, then the expanded edge e_v cannot be a bridge (cf. Figure 12). On the other hand, if *v* is a cutvertex, then every block containing *v* contributes with at least two incidences to *v*, since D_G is bridgeless. When expanding the edge e_v , we let at least one incidence from each block go to each of v_1 and v_2 (cf. Figure 11). This ensures that e_v is not a bridge. Thus, we can obtain a bridgeless cubic weak distillation of *G*.

Definition 9 (Zero chain). Let *G* be a weak subdivision of *D*. An edge $e \in D$ which was contracted in the construction of *G* corresponds to a zero-length chain in *G*, relative to *D*. This zero chain is located at the non-suppressible vertex of *G* which the endvertices of *e* were merged into. (See Example 3.1.)

Now, given a distillation D, a weak subdivision of D can be equivalently defined as a graph which can be obtained by going through the edges of D and replacing each one with a chain of length ≥ 0 , except for loops, including loops arising in the process, which are each replaced by a chain of length ≥ 1 (since loops cannot be contracted). We can therefore represent weak subdivisions of D by letting edge weights indicate chain lengths (see Corollary 3.3), observing that no cycle can have total weight zero (since contracting every edge but one in a cycle yields a loop). In other words, weak subdivisions may have zero chains, but no "zero cycles".

Later on, we will have reason to consider chains which are "adjacent" and "nonadjacent". In practice, the meaning of this should be straightforward; however, there is some subtlety relating to zero chains (see Example 3.1). Formally, two chains of G are adjacent relative to D if the corresponding edges of D are adjacent.

Definition 10 (Balanced graph/chains). A weak subdivision G of a distillation D is *balanced* with respect to D if the chain lengths of G differ by at most one (including zero chains). If all chains have the same length, then G is *perfectly balanced* relative to D. A *balanced set of chains* is analogously defined.

Note that if *G* has a chain of length at least 2, then it can only be balanced with respect to its proper distillation D_G (since *G* has a zero chain with respect to any other weak distillation). On the other hand, if *G* has no chain of length 2 or more, then $D_G = G$ and *G* is balanced with respect to *all* of its weak distillations.

Example 3.1. In Figure 6 above, *G* has, at its lowermost vertex, one zero chain relative to D_2 . Furthermore, *G* has two zero chains relative to D_3 and D_4 , and four relative to D'_1 . The two chains of *G* with lengths 1 and 2 are adjacent relative to D_1 and D_2 but nonadjacent with respect to D_3 and D_4 (assuming that the intended chain–edge correspondence is clear). Furthermore, *G* is imbalanced with respect to all of its weak distillations, but inserting a vertex at the curved edge of *G* would make the graph balanced with respect to its proper distillation D_1 .

3.3 Bond counting

The reader is reminded that a bond is a minimal cut. There is a natural correspondence between the bonds of a distillation and those of its weak subdivisions, which through Corollary 3.3 will be useful for counting bonds. We will need the following notion of a *trivial* 2-bond. (Trivial 3- and 4-bonds will become important later on. One may think of a trivial 4-bond as isolating a chain from the rest of the graph.)

Definition 11 (Trivial bond, assuming a leafless graph).

- (a) A 2-bond is trivial if its two edges belong to the same chain.
- (b) A 3-bond is trivial if its edges belong to three chains emanating from a 3-vertex.
- (c) A 4-bond is trivial if the corresponding bond in one (or equivalently, in all) of its cubic weak distillations isolates one edge.

Remark. The following alternative definition implies the one above: A bond is trivial if at least one of its sides is a tree.

Example 3.2. In Figure 6, all 2-bonds of *G* are trivial, while the only 2-bond of D_3 is nontrivial. Referring to Figure 10, the graphs K_4 and $K_{3,3}$ have only trivial 3-bonds, while the triangular prism Π_3 contains one nontrivial 3-bond.

Lemma 3.2. Let D be a weak distillation of a graph G, whose chain lengths (which may be zero) are denoted by ℓ_i , and let $s \ge 1$. Then each s-bond of D naturally corresponds to a set of s-bonds of G with size $\prod_{i=1}^{s} \ell_i$, and distinct s-bonds of D correspond to disjoint sets. For $s \ne 2$, these sets cover the set of s-bonds of G, and for s = 2 they cover the set of nontrivial s-bonds of G.

Proof. Let *G* be a graph with a weak distillation *D* and let *S* be an *s*-bond of *G*. Then, either *S* consists of two edges from the same chain, in which case *S* is a trivial 2-bond, or *S* consists of edges from *s* different chains of *G*, and these chains correspond to an *s*-bond in *D*. Thus, we have a natural mapping from the set of bonds of *G* minus the trivial 2-bonds, to the bonds of *D*. With this, the lemma is immediate. (The mapping is typically highly non-injective and is surjective if and only if *D* is the proper distillation of *G*.)

Corollary 3.3. Assign weights to a distillation D which yield a weak subdivision G of D. For $s \neq 2$, the number of s-bonds of G equals the sum of the products of the weights of the s-bonds of D.

4 The uniquely optimal (k = 1)-graphs

Subdivisions of the 3-dipole (Figure 2) have been called θ -graphs, since their shape resembles theta. However, we define θ -graphs to be *weak* subdivisions of the 3-dipole. This allows for the degenerate case were there is one zero chain. Such θ -graphs consist of two cycles connected by a cutvertex.

Balanced θ -graphs solve our Problem when k = 1. The fact that such graphs are UMRGs was pointed out in [4] based on [2]. An independent development appeared in [26] (cited in [23]). We feel that it would be a natural development of ideas to provide a short and direct proof that these graphs—the first five of which are shown in Figure 7—are uniquely optimal.



Figure 7: The first five uniquely optimal (n, n + 1)-graphs. The pattern continues and cycles every three graphs.

Proposition 4.1. For given $n \ge 1$ and m = n + 1 there exists a uniquely optimal (n, m)-graph, namely the balanced θ -graph of size m.

Proof. By Proposition 2.2, any *p*-optimal (n, m)-graph *G* is bridgeless. By Proposition 3.1(b), *G* has a bridgeless, cubic weak distillation *D*. Since *D* has exceedence 1 and is cubic, *D* has 2 vertices and 3 edges. The only possibility is the 3-dipole, shown in Figure 8.



Figure 8: The 3-dipole, or an arbitrary θ -graph with labels representing chain lengths, at most one of which can be zero.

Hence, *G* is a θ -graph. Clearly, a percolation outcome of *G* is connected if and only if either at most one edge is deleted or exactly two edges from two different chains are deleted. Letting the chain lengths of *G* be ℓ_1 , ℓ_2 and ℓ_3 , equation (1) becomes

$$R(G,p) = p^{m} + mp^{m-1}(1-p) + (\ell_{1}\ell_{2} + \ell_{1}\ell_{3} + \ell_{2}\ell_{3}) p^{m-2}(1-p)^{2}.$$
(5)

With $\ell_1 + \ell_2 + \ell_3 = m$, it is easy to show that the coefficient of the final term, and therefore $R(\cdot, p)$, is maximized if and only if the chains are balanced, which specifies an *m*-sized θ -graph up to isomorphism. We conclude that this is the unique *p*-optimal (*n*, *m*)-graph, which is uniquely optimal since *p* is arbitrary.

5 Main distillation result

The main result regarding distillations is Theorem 5.2 in Section 5.2, which essentially says that any bridgeless graph can be "represented" by at least one 3-edge-connected cubic distillation. To properly formulate this result, we first need to introduce an equivalence relation on (n, m)-graphs (which may be considered interesting in its own right).

5.1 Equivalently reliable graphs

We now introduce a reversible surgery which we call *edge shifting* and show that it does not change the reliability function. Two edge shifting examples are shown in Figure 9. (It was



Figure 9: The two nontrivial ways to shift an edge, exemplified. In *G*, the edge *e* is shifted to *v* by first expanding e' and then contracting *e*, yielding G'. In *H*, the edge *e* is shifted to u_2 so that the loop is effectively moved left. *G* and G' (*H* and *H'*) are called equivalent.

pointed out to us by the authors of [11] that an edge shift is a special case of what is known as a *Whitney twist*, which does not affect the Tutte polynomial, and hence nor the reliability. However, we prefer to keep things as elementary as possible.)

Definition 12 (Edge shifting). If the following surgery can be performed, it *shifts* the edge e to the vertex v. First expand an edge e' at the vertex v in such a way that e and e' form a 2-bond, and then contract e.

Remark. Whether e and e' form a 2-bond in the first step depends upon how e' is expanded. Since there still may be a choice involved, the resulting graph is not uniquely defined.

Let $e = u_1 u_2$ be an edge of some graph which can be shifted to the vertex v. If the edges e and e' above belong to the same chain, then the resulting graph is isomorphic to the original. If the graph is 3-vertex-connected, such trivial edge shifts will be the only ones possible. More interesting edge shifts can be performed in one of the following two circumstances.

- 1. The vertex *v* is not part of nor incident with the chain containing *e*, and every u_1u_2 -path in G e goes through *v*. See *G* and the resulting *G*' in Figure 9.
- 2. The vertex *v* is an endvertex of the chain containing *e*, and *v* is a cutvertex. See *H* and the resulting *H*' in Figure 9.

By observing that any bridges remain as bridges during edge shifts, we note that every equivalence class defined below consists either of bridgeless graphs or of bridged graphs.

Definition 13 (Equivalent graphs). Two graphs are equivalent if one can be obtained from the other by repeated edge shifting.

Proposition 5.1. If G and H are equivalent graphs, then

$$d_i(G) = d_i(H) \qquad \forall i \in [1 \dots m], \tag{6}$$

so that G and H have the same (un)reliability function.

Proof. Let *G* and *G'* be two equivalent graphs, where *G'* is obtained from *G* by shifting the edge $e = u_1u_2$ to $v \in G$ (which may be the same vertex as u_1 or u_2) according to Definition 12. Let \widetilde{G} be the intermediate graph obtained after Step 1, containing both *e* and $e' = v_1v_2$.

We claim that an arbitrary spanning subgraph G_i of G, in which $i \in [1 ... m]$ of the edges are removed, is connected if and only if the corresponding subgraph G'_i of G' is connected. This claim implies that (6) holds for G and G', and by induction for G and any equivalent graph H.

It suffices to prove the *only if* direction of the claim; the *if* direction then follows because of the symmetric relationship between G and G'.

To this end, assume G_i to be connected. If $e \in G_i$, then G'_i is connected, since G'_i is obtained from G_i by contracting e and expanding e', which preserves connectedness. Suppose on the contrary that $e \notin G_i$. There is a u_1u_2 -path Π in G_i , since G_i is connected. In $\tilde{G} - e$, the bridge $e' = v_1v_2$ separates u_1 and u_2 , and from this we deduce that $v \in \Pi$ in G_i . Now, the image in G'_i of the set of edges in Π is a v_1v_2 -path in G'_i . Hence the connectivity of G'_i is the same as that of $G'_i \cup e'$. Since G_i is connected, $G_i \cup e$ is connected, which implies, by the first case, that $G'_i \cup e'$ is connected, which implies that G'_i is connected. \Box

5.2 Distillations to represent bridgeless graphs

Theorem 5.2 below—in which part (b) is the most important—is a continuation of Proposition 3.1. The theorem will allow us to focus on 3-edge-connected cubic distillations, for which we now introduce a special notation. (Note that all 3-edge-connected cubic graphs are simple, except for the 3-dipole.)

Definition 14 (\mathcal{D}_k). For $k \ge 1$, let \mathcal{D}_k denote the set of 3-edge-connected cubic graphs (which necessarily are distillations) of exceedance k.

Figure 10 shows the graphs in \mathcal{D}_1 through \mathcal{D}_4 , in other words the 3-edge-connected cubic graphs on up to 8 vertices. Theorem 5.2 says that, up to graph equivalence, every bridgeless graph of exceedance 1, 2, 3 or 4 is a weak subdivision of at least one of these respective graphs. When k > 4, the \mathcal{D}_k -sets start to become impractically large. There are fourteen 3-edge-connected cubic graphs constituting \mathcal{D}_5 . See [5, pp. 56–57]. Of these, the most promising distillation is known as Petersen's graph, as is discussed further in Section 10.2.

Theorem 5.2. Let G be a bridgeless graph in $\mathcal{G}_{n,n+k}$, where $n \ge 1$ and $k \ge 1$.

- (a) *G* has a weak distillation in \mathcal{D}_k if and only if all 2-bonds of *G* are trivial.
- (b) G is equivalent to some graph G^{\dagger} which has a weak distillation $D \in \mathcal{D}_k$.
- (c) If G does not have a weak distillation in \mathcal{D}_k , then any G^{\dagger} as in (b) is imbalanced and has at least one zero chain with respect to its weak distillation(s) in \mathcal{D}_k .

Remark. The weak distillation in part (a) is not unique. Consider the two graphs Π_3 and $K_{3,3}$, which constitute \mathcal{D}_3 , with the edge labeling of Figure 16 in Section 9.2. Contracting c_1 in the two distillations yields the same graph, which therefore has two weak distillations in \mathcal{D}_3 .



Figure 10: All 3-edge-connected cubic graphs of exceedances 1, 2, 3 and 4.



Figure 11: How to make a 3-edge-connected distillation D cubic by edge expansion, case 1: If u is a cutvertex, make sure that the new edge e_u is a chord of a cycle.



Figure 12: How to make a 3-edge-connected distillation D cubic by edge expansion, case 2: If u is not a cutvertex, a 2-bond can possibly arise (as in D^0), but can also be avoided (as in D').

Proof of part (a). For the *only if* direction, suppose that *G* has a weak distillation $D \in \mathcal{D}_k$. Recall that edge-connectivity is nondecreasing under edge contraction. Since *D* is 3-edge-connected, D_G has no 2-bonds, so *G* can only have trivial 2-bonds.

For the *if* direction, given a bridgeless G with only trivial 2-bonds, its proper distillation D is 3-edge-connected. If D is already cubic, there is nothing to prove. Suppose otherwise, and let u be a vertex of D with degree at least 4. We claim that it is possible to expand an edge at u while keeping 3-edge-connectedness. There are two cases to consider.

Case 1: u is a cutvertex. Let e_a and e_b be edges incident to *u* such that e_a belongs to a block which we call *A*, and e_b belongs to a different block *B*, as in Figure 11. Since *D* has no bridge, there is a cycle C_a , contained in *A*, starting with e_a , and another cycle C_b , contained in *B*, which ends with e_b . Now expand an edge $e_u = u_1u_2$ at *u*, letting e_a and e_b be incident to u_1 and the other edges to u_2 . Call the resulting graph D'.

We now show that D' is 3-edge-connected. A bond in D' which does not involve e_u is obviously a bond in D, so since D is 3-edge-connected, any 1- or 2-bond in D' would have to include e_u . In D', e_u is a chord of the cycle $C_a \cup C_b$, so e_u is not a 1-bond and D' is therefore bridgeless. Furthermore, deleting a chord does not change the block structure, so $D' - e_u$ is also bridgeless. This implies that D' is 3-edge-connected.

Case 2: u is not a cutvertex. Expanding an edge $e_u = u_1u_2$ at *u* so that both u_1 and u_2 have degree at least 3 can be done in several ways. Since *u* is not a cutvertex, this surgery cannot create a bridge, but it might create a 2-bond, as in Figure 12. If it does not create a 2-bond, we are done. On the other hand, suppose that expanding e_u creates the 2-bond $\{e_u, e\}$ in the resulting graph D^0 . This implies that *u* is a cutvertex in the graph D - e. Noting that D - e is bridgeless, we expand a new edge e_u in this graph, exactly as described in Case 1 (with D - e instead of *D*). We call the resulting graph D' - e, and then restore *e* to obtain *D'*. The proof that *D'* is 3-edge-connected follows Case 1 verbatim.

Finally, we note that in both Case 1 and Case 2, the new vertices u_1 and u_2 have degrees between 3 and $(\deg(u) - 1)$, thereby lowering the average degree of the distillation. Thus, we can repeat the above procedure until we obtain a 3-edge-connected cubic weak distillation of *G*.

Proof of part (b). If *G* itself has no nontrivial 2-bonds, then the statement is true by part (a). Suppose on the other hand that *G* has at least one nontrivial 2-bond, and let *a* and *b* denote the positive chains containing the bond. Shift all the edges in chain *b* to chain *a*, one by one, according to Definition 12 (one can always use an endvertex of *a* to expand an edge into the chain). We call the resulting graph G', which is equivalent to *G* by Definition 13.

We now repeat the procedure above for any remaining nontrivial 2-bond of G'. Since G' has one less positive chain than G, this process eventually terminates, at which point we have obtained a graph G^{\dagger} which is equivalent to G and whose only 2-bonds are trivial. G^{\dagger} is bridgeless since edge shifts preserve bridgelessness. Using part (a), this proves part (b).

Proof of part (c). Given *G* and an equivalent graph G^{\dagger} with a weak distillation $D \in \mathcal{D}_k$, we show the contrapositive version of (c) by demonstrating that if G^{\dagger} is balanced or has only positive chains with respect to *D*, then *G* has a weak distillation in \mathcal{D}_k . There are two cases to consider.

Case 1: G^{\dagger} has only positive chains relative to *D*. This implies that *D* is the proper distillation of G^{\dagger} . Since *D* is 3-edge-connected and cubic, it is easy to see that only trivial edge shifts can be performed in *D* and hence also in G^{\dagger} (which is to say that each edge can only be shifted within its chain; see the discussion after Definition 12). Hence, G^{\dagger} is the only graph in its equivalence class and the conclusion is immediate.

Case 2: G^{\dagger} is balanced and has at least one zero chain relative to *D*. This implies that every chain of G^{\dagger} has either length one or zero. Suppose that there is a nontrivial way to shift an edge *e* in G^{\dagger} , otherwise there is nothing to prove. We first expand an edge *e'* so that $\{e, e'\}$ is a nontrivial 2-bond in the resulting graph $\widetilde{\Gamma}$.

We claim that $\{e, e'\}$ is the only 2-bond of $\widetilde{\Gamma}$. To see this, first note that G^{\dagger} is 3-edge-connected, since *D* is, and hence any 2-bond of $\widetilde{\Gamma}$ necessarily involves *e'*. Now, suppose that *e''* is some third edge such that $\{e', e''\}$ is a 2-bond in $\widetilde{\Gamma}$. Then, the symmetric difference between $\{e, e'\}$ and $\{e', e''\}$ is also a 2-bond in $\widetilde{\Gamma}$ (since it is a cut and since $\widetilde{\Gamma}$ is bridgeless). This 2-bond does not contain *e'*, which is a contradiction.

Let Γ' be the graph obtained by contracting e in $\widetilde{\Gamma}$. Since the only 2-bond of $\widetilde{\Gamma}$ is $\{e, e'\}$, it follows that Γ' is 3-edge-connected, and so Γ' has a weak distillation D' in \mathcal{D}_k by part (a). Since $\widetilde{\Gamma}$ has no chains with more than one edge, the same holds for Γ' , and hence Γ' is balanced with respect to D'. Furthermore, since Γ' has a vertex of degree at least four from the contraction of e, Γ' has at least one zero chain relative to D'. Since the pair (Γ', D') remains in Case 2, it follows that every graph equivalent to G^{\dagger} , and G in particular, has a weak distillation in \mathcal{D}_k . \Box

6 Minimizing 2- and 3-disconnections

6.1 Minimizing 2-disconnections for $k \ge 1$

Necessary and sufficient conditions for an (n, m)-graph to minimize $d_2(\cdot)$ have been known since [2], at least in the context of simple graphs. Our framework allows for a more unified formulation with a shorter proof. The second part of Theorem 6.1 below describes a particular surgery which yields a lower d_2 -value, and will be used repeatedly in what follows.

Theorem 6.1.

- (a) For $k \ge 1$, a graph $G \in \mathcal{G}_{n,n+k}$ minimizes $d_2(\cdot)$ if and only if G is a balanced weak subdivision of some $D \in \mathcal{D}_k$ ("weak" is not needed when $n \ge 2k$).
- (b) If G is an imbalanced weak subdivision of $D \in \mathcal{D}_k$, then a strictly d_2 -decreasing surgery is to choose a pair of imbalanced chains and move one edge from the longer to the shorter chain.

Proof. The proof of the *only if* direction of part (a) will also prove (b). We suppose that $G \in \mathcal{G}_{n,n+k}$ is not a balanced weak subdivision of any distillation in \mathcal{D}_k and show that G does not minimize $d_2(\cdot)$. There are two cases:

Case 1: G is not a weak subdivision of any graph in \mathcal{D}_k . By Theorem 5.2(a), *G* either has a bridge or is bridgeless but has nontrivial 2-bond. If *G* has a bridge, then by Proposition 2.2 there exists a $G' \in \mathcal{G}_{n,n+k}$ such that $d_2(G') < d_2(G)$. If *G* is bridgeless but has a nontrivial 2-bond, then by Theorem 5.2(b) there is an equivalent graph G^{\dagger} with a weak distillation in \mathcal{D}_k , and by part (c), G^{\dagger} is imbalanced with respect to this weak distillation. Since $d_2(G^{\dagger}) = d_2(G)$ by Proposition 5.1, we can conclude through Case 2 below that *G* is not d_2 -minimizing.

Case 2: G is an imbalanced weak subdivision of some $D \in D_k$. Let *a* and *b* be the lengths of two imbalanced chains of *G* relative to *D* such that a > b + 1, and let *G'* be the graph obtained by moving one edge from the *a*-chain to the *b*-chain. By Theorem 5.2(a), *G* and *G'* have only trivial 2-bonds, so $d_2(\cdot)$ is just the number of pairs of edges which belong to the same chain. Thus, we obtain

$$d_2(G) - d_2(G') = \binom{a}{2} + \binom{b}{2} - \binom{a-1}{2} - \binom{b+1}{2},$$
(7)

which simplifies to a - (b + 1) and is positive by our assumption on a and b. This proves the *only if* direction of (a), as well as (b).

For the *if* direction of (a), using the *only if* direction, it suffices to show that $d_2(\cdot)$ is constant over *m*-sized balanced weak subdivisions of distillations in \mathcal{D}_k . Let $G \in \mathcal{G}_{m-k,m}$ be a balanced weak subdivision of $D \in \mathcal{D}_k$. Recall that D has 3k edges, so G has 3k chains. Since these chains are balanced, we can interpret the Euclidean division m = 3kq + r, where $0 \le r < 3k$, as saying that the "base length" of the 3k chains is q, while r of the chains are one edge longer (cf. (8) below). Since $d_2(G)$, again by Theorem 5.2(a), equals the number of ways to choose two edges within the same chain, we have

$$d_2(G) = (3k-r)\binom{q}{2} + r\binom{q+1}{2}.$$

6.2 Results about 3-disconnections for $k \ge 2$

A general statement about minimizing the number of 3-disconnections can be found in [25]; however, we find multiple reasons to consider this paper unreliable. In particular, the proof of [25, Theorem 10(c)] lacks a coherently structured argument and misleadingly relies upon a particular picture. (One may note that the only other known paper by its corresponding author has an erroneous main conclusion with a multiply flawed proof, as shown in Section 9.3.)

The main work of this section is to prove Proposition 6.2, which continues into Theorem 6.3. These results can be compared to Theorem 10(c) and 10(a)(c) in [25], respectively. First, we need some new notation.

Let $\mathcal{B}_m(D)$ denote the set of *m*-sized balanced weak subdivisions of *D*. A balanced weighting of *D* is a weighting which yields a graph $G \in \mathcal{B}_m(D)$, for some *m*, when the weights are interpreted as chain lengths. The weighting of *D* is uniquely determined by *G* (up to isomorphism) except in some degenerate cases involving several zero chains, as exemplified in Example 6.1.

Consider a cubic distillation D of exceedance k and a graph $G \in \mathcal{B}_m(D)$. Recalling that D has size 3k, the m edges of G are distributed as evenly as possible over 3k chains. We wish to define a "standard chain length" or "standard weight" $q \ge 0$, such that at least half of the edges of D have weight q. Using Euclidean division with a "centered remainder", we define q and r according to

$$m = 3kq + r$$
 $(-3k/2 < r \le 3k/2),$ (8)

so that *D* has 3k - |r| edges of weight *q* and |r| edges of either weight q - 1 (if *r* is negative) or weight q + 1 (if *r* is positive).

Definition 15 (b_3^v and π^v). Let $D \in D_k$ where $k \ge 2$ and consider a balanced weighting of D. With q as above, we define:

- (a) The product of the weights incident to a vertex $u \in D$ is denoted by $b_3^v(u)$.
- (b) The π^{v} -value of a vertex $u_i \in D$, denoted $\pi^{v}(u_i)$, is the number of edges incident to u_i which do not have weight q, counted with sign in that q + 1 is counted positively and q 1 negatively. The π^{v} -values of D yield a multiset denoted by $[\pi_i^{v}]_{i=1}^{2k}$. The π^{v} -values are *balanced* if the maximum pairwise difference of its values is one.

Example 6.1. When some edges have zero weight, different weightings of *D* can yield the same weak subdivision. Let $D = K_4$ and consider the 3-bouquet (a vertex with three loops) which can be obtained from K_4 either by contracting a 3-path or by contracting a 3-star. In both cases, K_4 has three edges with weight q = 0 (contracted) and three with weight q + 1. However, the weight arrangement of the former case gives the π^v -multiset [1, 1, 2, 2], while that of the latter gives the multiset [0, 2, 2, 2].

Proposition 6.2. Let $m > k \ge 2$. Suppose that $D \in D_k$ has only trivial 3-bonds and consider a balanced weighting of D together with the implied $G \in B_m(D)$.

- (a) If D has balanced π^{v} -values, then G minimizes $d_{3}(\cdot)$ in $\mathcal{B}_{m}(D)$.
- (b) If $m \ge 2k$, then G minimizes $d_3(\cdot)$ in $\mathcal{B}_m(D)$ if and only if D has balanced π^{\vee} -values.

Proof of part (a). We first note that there actually exists a $D \in D_k$ with only trivial 3-bonds; consider the *k*-Möbius ladder of Definition 16. Fix $m \ge k$, which determines *r* and *q* according to (8). If r = 0, then there is only one graph in $\mathcal{B}_m(D)$ and the π^v -values of *D* are all zeros, which makes both propositions trivial. We can therefore assume that $r \ne 0$.

Recall that *D* has 2*k* vertices, since *D* is cubic, which we label $(u_i)_{i=1}^{2k}$. Since *D* is 3-edgeconnected, every 3-disconnection of *G* is either a 3-bond or contains a trivial 2-bond. For every chain of length ℓ , there are $\binom{\ell}{2}(m - \ell)$ disconnections of the latter kind. The chain lengths are given by *q* and *r*, so we have

$$d_3(G) = K + b_3(G), \qquad G \in \mathcal{B}_m(D) \tag{9}$$

where *K* does not depend upon *G* nor on $D \in D_k$. Since all 3-bonds of *D* are trivial, all 3-bonds of *G* are. Hence,

$$b_3(G) = \sum_{i \in [1..2k]} b_3^{\mathsf{v}}(u_i) \,. \tag{10}$$

Consider the possible values of $b_3^v(u)$, given in Table 1. Clearly, $b_3(G)$ in (10) is a cubic polynomial in q and the leading coefficient is 2k. We also claim that the coefficient of the quadratic term equals 2r. To see this, first note that $\pi^v(u_i)$ has the same sign as r, and then consider that there are |r| edges in D which are potentially counted by $\pi^v(u_i)$. As u_i ranges over the vertices of D, each of these edges is counted twice, which implies that

$$\sum_{i \in [1..2k]} \pi^{v}(u_i) = 2r.$$
(11)

The claim now follows by noting that the quadratic coefficient of $b_3^v(u)$ equals $\pi^v(u)$ in each row of the table.

i

Let $\varphi(\pi^v)$ —also denoted by $\varphi_3(\pi^v)$, see Table 1—be the constant and linear terms of b_3^v regarded as a function of π^v . Combining the above observations about $b_3(G)$ with (9) yields

$$d_3(G) = K + 2kq^3 + 2rq^2 + \sum_{i \in [1..2k]} \varphi(\pi_i^{v}), \qquad G \in \mathcal{B}_m(D)$$
(12)

$\pi^{\mathrm{v}}(u)$	$b_3^{\mathrm{v}}(u)$	$arphi_3(\pi^{\mathrm{v}})$
0	$q^3 = q^3$	0
± 1	$(q\pm1)^1q^2=q^3\pm q^2$	0
± 2	$(q\pm 1)^2 q^1 = q^3 \pm 2q^2 + q$	q
± 3	$(q \pm 1)^3 = q^3 \pm 3q^2 + 3q \pm 1$	$3q \pm 1$

Table 1: Functions for counting and comparing the number of trivial 3-bonds in balanced weak subdivisions of cubic, 3-edge-connected graphs.

where only $\pi_i^v = \pi^v(u_i)$ depends upon the choice of *G*. Hence, *G* minimizes $d_3(\cdot)$ within our class if and only if *G* minimizes $\sum_i \varphi(\pi_i^v)$.

Using Table 1, it is easy to verify the following three pairs of inequalities. (Recall that the \pm signs correspond to whether *r* is positive or negative.)

$$\varphi(0) + \varphi(\pm 2) \ge 2\varphi(\pm 1) \tag{13}$$

$$\varphi(0) + \varphi(\pm 3) \ge \varphi(\pm 2) + \varphi(\pm 1) \tag{14}$$

$$\varphi(\pm 1) + \varphi(\pm 3) \ge 2\varphi(\pm 2) \tag{15}$$

The inequalities imply that the sum $\sum_i \varphi(\pi_i^v)$ is bounded below by the value the sum would have if the π_i^v 's were replaced by a balanced multiset also satisfying (11). This implies part (a).

Proof of part (b). In view of (a), we need only show the *only if* direction. This is accomplished by proving the following two statements, given $m \ge 2k$. A: Of the *a priori* possible π^v -multisets, which satisfy (11), only the balanced one minimizes $\sum_i \varphi(\pi_i^v)$. B: There exists a weighting of D which yields a balanced (m - k, m)-graph and balanced π^v -values.

Statement A would follow immediately if (13), (14) and (15) were strict inequalities. This is close to being true. We first restrict to the case where $m \ge 3k$. This implies that $q \ge 2$ or that q = 1 and $r \ge 0$, and in these cases the inequalities are strict (recalling our assumption that $r \ne 0$).

The remaining case is when $2k \le m < 3k$, which implies q = 1 and $r \in [-k ... -1]$. The positive *q*-value guarantees that (13) is strict. To see that this suffices to prove A, consider the following: The π^{v} -multiset sums to 2r and so has mean r/k, which implies that a balanced π^{v} -multiset in this case only contains the numbers -1 and 0. Hence, if one takes an imbalanced multiset belonging to this case and transforms it step by step into the corresponding balanced multiset, then (13) will apply at the last step.

We now prove statement B. The distillation D is bridgeless and cubic since $D \in D_k$, and so by Petersen's Theorem [7, Cor. 2.2.2] there is a perfect matching in D. Let E_1 denote the k edges of a perfect matching and let E_2 denote the remaining 2k edges.

With this, we can specify how to weigh the edges of *D* to obtain balanced π^{v} -values and a resulting weak subdivision *G*. We start by assigning weight *q* to all edges; we will then change |r| edges to either weight q - 1 or q + 1. Care must be taken so that we do not create a cycle with all zero weights, since this does not yield a weak subdivision of *D*. This concern arises only when $2k \le m < 3k$, so that q = 1 and $r \in [-k \dots -1]$. Otherwise, things are analogous for positive and for negative *r*, and we therefore consider the case where *r* is negative.

If on the one hand $r \in [-k \dots -1]$, let the *r* edges with weight q - 1 be arbitrarily chosen from E_1 . Since these edges are independent, each vertex of *D* is incident with at most one edge with weight q - 1, and so the π^v -multiset contains only the values -1 and 0. There is no cycle of edges weighted q - 1, and hence we have specified a weak subdivision of *D*.

If on the other hand $r \in [[-3k/2] \dots k-1]$, we start by assigning weight q-1 to the k edges of the perfect matching E_1 , which guarantees that each π^v -value is at least -1. We now need to assign weight q-1 to another $|r| - k \leq [k/2]$ edges (this bound holds also for the case where ris positive) in such a way that no vertex obtains π^v -value -3. This is equivalent to choosing a matching of size |r| - k in E_2 . The graph E_2 is 2-regular, and is hence a union of cycles; each with at least three edges since D is simple, and with a total number of 2k edges. This makes it is immediate that E_2 has a matching of size [k/2], and hence a matching of size |r| - k, as required.

Theorem 6.3. Given $k \ge 2$ and $m \ge 3k$, consider a balanced weighting of $D \in D_k$ which implies a graph $G \in \mathcal{B}_m(D)$. Then G minimizes $d_3(\cdot)$ within the larger set $\mathcal{B}_m(D_k)$ if and only if all 3-bonds of D are trivial and its π^v -values balanced. (The latter condition is vacuous when $3k \mid m$.)

Proof. A minor modification of and addition to the proof of Proposition 6.2 suffices. As noted, (9) holds for any balanced weak (m - k, m)-subdivision *G* of any graph $D \in D_k$, where *K* is independent of *G* and *D*. However, (10), as well as (12), has to be modified by adding a term accounting for the number of nontrivial 3-bonds of *G*, which we may denote by $b_3^n(G)$. By combining a few terms in (12) and adding $b_3^n(G)$, we obtain

$$d_3(G) = K' + \Phi(G) + b_3^{\mathrm{n}}(G), \qquad G \in \mathcal{B}_m(\mathcal{D}_k)$$
(16)

where K' denotes the first three terms of (12), which depend neither on G nor on D, and $\Phi(G)$ denotes the " φ -sum" which depends only upon the π^{v} -multiset. The previous proof goes through to show that a balanced π^{v} -multiset is sufficient and, if $m \ge 2k$, necessary to minimize $\Phi(\cdot)$.

Regarding $b_3^n(G)$, suppose that $m \ge 3k$; then G, being balanced, has only positive chains relative to D, and so D is D_G , the proper distillation of G. Clearly, G has a nontrivial 3-bond if and only if D_G has a nontrivial 3-bond. It follows that $d_3(G)$ is minimized, within the set under consideration, if and only if D_G has balanced π^v -values with respect to G and in addition no nontrivial 3-bonds.

The following would be a natural extension of Theorem 5.2(a). If true, then Theorem 6.3 could be extended to hold for $m \ge 2k$. The *only if* direction is easy.

Question. For $k \ge 2$, let *G* be a bridgeless graph in $\mathcal{G}_{n,n+k}$. Does *G* have a weak distillation in \mathcal{D}_k with only trivial 3-bonds if and only if all 2-bonds and 3-bonds of *G* are trivial?

7 Moving edges between chains

We will often want to move an edge from a longer chain to a shorter chain and study how the number of disconnecting sets of some size *i* changes. Suppose that we obtain G' from Gby moving an edge between chains. In principle, it would be straightforward to express and compare $c_i(G')$ and $c_i(G)$. Each term in $c_i(\cdot)$ is a product of *i* chain lengths (cf. (5)) and corresponds to a connected spanning subgraph of D_G where *i* edges are missing. However, the expressions become impractical, and the calculations do not seem very helpful to the intuition. We will prefer to count the number of edge sets which disconnect *either G or G'*.

Necessary and sufficient conditions for an edge set to disconnect either *G* or *G'*, and hence be relevant for the difference $d_i(G) - d_i(G')$, are laid out in Lemma 7.1, and the application is in Corollary 7.2. (The proof of the lemma follows the corollary.) The reader might want to look ahead at Example 8.1 for a demonstration.

Lemma 7.1. Move an edge e within a given connected graph G, that is, contract e and expand a new edge, identified with e, at an arbitrarily chosen vertex. Call the result G'. Then an edge set E disconnects G but not G' if and only if E satisfies both of the following conditions.

- (1) In G, the set E contains exactly one bond B, and $e \in B$.
- (2) In G', there is no bond containing e and contained in E.

Remark. If condition (1) of the lemma holds, then (2) is equivalent to the following simpler but less useful condition: (2') E does not disconnect G'.

Corollary 7.2. Let G' be obtained from G as in the preceding lemma. For $i \in [1 ... m]$ and $1 \le j \le i$, let $x_{i,j}$ be the number of edge sets of size i which fulfill conditions (1) and (2) above and where the bond B has size j. Let $x'_{i,j}$ be the corresponding number of edge sets, but with the roles of G and G' interchanged in conditions (1) and (2). Then

$$d_i(G) - d_i(G') = \sum_{j=1}^{i} x_{i,j} - x'_{i,j}, \quad \forall i \in [1 \dots m].$$
(17)

Proof of Lemma 7.1. We consider different possibilities for the edge set *E*, and show for each case that the two sides of the biconditional (if and only if statement) of the lemma either both hold or both fail.

Case 1: E does not disconnect *G*. It is immediate that neither side of the biconditional holds.

Case 2: E contains some bond *B* in *G* such that $e \notin B$. Then *B* is a bond also in G/e = G'/e, and thus *B* is a bond in *G'*. Again, both sides of the biconditional fail.

Case 3: E contains exactly one bond *B* in *G*, and $e \in B$. By using the reasoning of Case 2 with *G* and *G'* interchanged, we deduce that if *E* contains a bond in *G'*, then this bond necessarily contains *e*. If there is such a bond in *G'*, both sides of the biconditional fail. If there is no such bond, then both sides hold.

To see that these three cases exhaust the possibilities for *E*, suppose that *E* contains two bonds in *G*, both of which include *e*. Then the symmetric difference of these bonds is a cut which does not include *e*, and this is covered by Case 2.

8 The uniquely optimal (k = 2)-graphs

We are now equipped to consider the sets of (n, n + 2)-graphs. The optimal graphs of these sets have previously been described for $n \ge 4$ or 5 in [4], [23], [27] and [11]. The graphs are described in three steps, and so it seems that a treatment of the (k = 2)-case needs to contain the following, in one way or another.

- Step 1: Identification of K_4 as the relevant distillation.
- Step 2: Proof that a *K*₄-subdivision has to be balanced to be possibly *p*-optimal.
- Step 3: Specification of how longer or shorter chains need to be arranged when the chain lengths are not exactly the same.

The literature contains a few significant, previously undetected errors relating to Step 2 and (less critically) to Step 1:

• The middle part of the proof of Theorem 4 in [4], which is the standard reference for the (k = 2)-problem, aims to show the above Step 2. However, in Example 8.1 below we give counterexamples to the central claim.

- In both [23] and [27], Step 2 is justified by the solution to the corresponding continuous constrained optimization problem. It is not clear to us how the integer-valued solution follows from the continuous, except when they coincide.
- One distillation (D_3 in Figure 6) out of four (D_1 through D_4) is missing from the analysis of [4]. (It was consequentially also missing from the implicit treatment via Tutte polynomials [11], but this was easily corrected by the authors, who provided an updated version [12].)

Furthermore, our treatment brings the following two advantages. First, the only proper work needed within our framework is for proving Step 2. (Step 1 is essentially given from Theorem 5.2(b) and Step 3 from Proposition 6.2.) Second, graphs with multiple edges are naturally covered, needing no separate statements or proofs. (Gross and Saccoman [10] are usually credited with extending the main (k = 2)-result to multigraphs by way of a separate argument.)

Example 8.1. This example provides an infinite set of counterexamples to an erroneous deduction in [4] which implies Step 2. We focus on one particular counterexample, from which an infinite set is easily obtained. In the process, we derive (19), which is used to properly prove Step 2.

The mistaken idea in [4] is that for any imbalanced pair of chains in a K_4 -subdivision, the graph obtained by moving one edge from the longer to the shorter chain should have more spanning trees. To the contrary, let G be the K_4 -subdivision shown in Figure 13a, with chain lengths $(\ell_1, \ell_2, \ell_3, \ell_4, \ell_5, \ell_6) = (3, 3, 1, 1, 1, 5)$, and let G' be the graph obtained by moving an edge *e* from ℓ_1 to ℓ_3 . We will show that $d_3(G) - d_3(G') = -1$, which is to say that G' has one less spanning tree than G.



Figure 13a: G' is obtained from G by moving an edge from a longer to a shorter chain. G' is in this sense "more balanced" than G, but has one less spanning tree nonetheless.

By Corollary 7.2 and since both graphs are bridgeless,

$$d_3(G) - d_3(G') = x_{3,2} - x'_{3,2} + x_{3,3} - x'_{3,3}.$$
⁽¹⁸⁾

We explain step by step how to obtain $x_{3,2}$ by its definition in Corollary 7.2, counting the number of ways to construct an appropriate 3-sized edge set E. The edge e, which is moved from ℓ_1 , belongs a priori to E. WLOG the second edge should form a 2-bond with e in G (Lemma 7.1(1)), so it can be any one of the remaining $\ell_1 - 1$ edges in the same chain. The third edge e_3 should not cause E to contain any other bond in G (also Lemma 7.1(1)) which precludes $e_3 \in \ell_1$. Furthermore, Lemma 7.1(2) forbids $e_3 \in \ell_3$ (which would create a 2-bond in G') as well as $e_3 \in \ell_5$ (which would create a 3-bond). The remaining choices for e_3 are the edges in ℓ_2 , ℓ_4 and ℓ_6 . We obtain $x_{3,2} = (\ell_1 - 1)(\ell_2 + \ell_4 + \ell_6)$. Similarly, $x'_{3,2} = \ell_3(\ell_2 + \ell_4 + \ell_6)$, $x_{3,3} = \ell_6\ell_4$ and $x'_{3,3} = \ell_6\ell_2$. Insertion into (18) yields

$$d_3(G) - d_3(G') = (\ell_1 - 1 - \ell_3)(\ell_2 + \ell_4 + \ell_6) + (\ell_4 - \ell_2)\ell_6.$$
⁽¹⁹⁾

With the given chain lengths, this sums to -1. From (19) it is immediate that one could instead start with any K_4 -subdivision for which $\ell_1 - \ell_3 = 2$ and the other chains have the same lengths as in G, and obtain the exact same result.

Proposition 8.1. Let $G \in \mathcal{G}_{n,n+2}$, where $n \ge 1$. If G is an imbalanced weak subdivision of K_4 , then there is another weak K_4 -subdivision $H \in \mathcal{G}_{n,n+2}$ such that $d_2(H) < d_2(G)$ and $d_3(H) < d_3(G)$. Hence, an imbalanced weak K_4 -subdivision can never be p-optimal.

Proof. Let *G* have chain lenghts ℓ_1 through ℓ_6 , arranged as in Figure 13b. Suppose that ℓ_1 and ℓ_3 have the largest difference in length for all pairs of adjacent chains, and that ℓ_1 is chosen as large as possible with this condition. We define $\delta = \ell_1 - \ell_3$ and note that $\delta \ge 1$, since *G* is assumed to be imbalanced.



Figure 13b: A general representation of a weak subdivision of K_4 , where the labels are weights representing chain lengths.

Let *G*′ be the graph obtained by moving one edge from ℓ_1 to ℓ_3 , which gives another weak K_4 -subdivision. Let $\Delta = d_3(G) - d_3(G')$, a formula for which was obtained in Example 8.1 using Corollary 7.2. Rearranging (19) gives

$$\Delta = (\delta - 1)(\ell_2 + \ell_4 + \ell_6) - \ell_6(\ell_2 - \ell_4)$$

= $(\delta - 1)(\ell_2 + \ell_4) + \ell_6((\delta - 1) - (\ell_2 - \ell_4)).$ (20)

One would hope to prove that Δ is positive. However, in three particular cases, Δ will be zero, so that *G* and *G'* have the same number of spanning trees. In these cases, we will repeat the same surgery on *G'* to obtain *G''* and a positive Δ .

Case 1: $\delta = 1$. In this case, we must have $\ell_1 = a + 2$, $\ell_2 = a$, and $\ell_3 = \ell_4 = \ell_5 = \ell_6 = a + 1$, for some $a \ge 0$. From (20), we obtain

$$\Delta = -\ell_6(\ell_2 - \ell_4) = -(a+1)(a-(a+1)) = a+1 \ge 1.$$

Case 2: $\delta \ge 2$ and $\ell_2 - \ell_4 = \delta$. Insertion into (20) gives

$$\Delta = (\delta - 1)(2\ell_4 + \delta) - \ell_6$$

= (\delta - 2)(\delta + 2\elta_4) + \elta_4 + (\delta - (\elta_6 - \elta_4)) > 0

since all three terms are nonnegative. We consider the possibility of Δ being 0, which happens exactly when $\delta = 2$, $\ell_4 = 0$ and $\ell_6 - \ell_4 = \delta$, which in turn implies $\ell_6 = 2$. Since $\ell_2 - \ell_4 = \delta$ by assumption, we have $\ell_2 = 2$. Furthermore, the assumption that $\ell_1 - \ell_3 = \delta$ is as large as possible for adjacent chains forces ℓ_1 to be 2 (since ℓ_1 is also adjacent to the zero chain ℓ_4) and ℓ_3 to be 0. Lastly, since ℓ_5 is also adjacent to a zero chain, $\ell_5 \leq 2$. Thus, the chains of *G* are $(\ell_1, \ldots, \ell_6) = (2, 2, 0, 0, [0 \text{ or 1 or 2}], 2)$. For each of these three possibilities, the resulting *G'* is imbalanced and distinct from any of the three possible graphs *G*, and by starting from the beginning with *G'* instead of *G*, we will obtain a graph *G''* with strictly lower d_3 -value. (In fact, *G'* will fall into Case 3.)

Case 3: $\delta \ge 2$ and $\ell_2 - \ell_4 \le \delta - 1$. We first assume that at least one of ℓ_2 and ℓ_4 is positive. Then, insertion into (20) gives $\Delta \ge (\delta - 1)(\ell_2 + \ell_4) > 0$. If on the other hand $\ell_2 = \ell_4 = 0$, insertion into (20) gives $\Delta = \ell_6(\delta - 1)$. Since ℓ_2 , ℓ_4 and ℓ_6 form a cycle, and a cycle of zero chains is not permitted, ℓ_6 has to be positive, which implies $\Delta > 0$.

Now, either let H = G', or for any of the three exceptional cases, H = G''. By Theorem 6.1(b), H has a strictly lower d_2 -value than G. With this, we have shown that H is as required.

Proposition 8.2. Let $G \in \mathcal{G}_{n,n+2}$, where $n \ge 1$. If G is not a weak subdivision of K_4 , then there is a bridgeless $H \in \mathcal{G}_{n,n+2}$ such that $d_2(H) < d_2(G)$ and $d_3(H) < d_3(G)$. Hence, any p-optimal (n, n + 2)-graph is a weak K_4 -distillation.

Proof. If *G* has a bridge, the statement follows from Proposition 2.2, so we can assume *G* to be bridgeless. From Theorem 5.2(b) it follows that *G* is equivalent to some weak subdivision G^{\dagger} of K_4 (the only distillation in \mathcal{D}_2), and from 5.2(c) that G^{\dagger} is imbalanced with respect to K_4 . Since *G* and G^{\dagger} have the same reliability function (Proposition 5.1), the statement now follows from Proposition 8.1.

Theorem 8.3. For each $n \ge 1$ and m = n+2 there is a uniquely optimal graph in $\mathcal{G}_{n,m}$. This graph is a balanced weak subdivision of K_4 ("weak" is not needed when $n \ge 4$), which is specified up to isomorphism by the following set of additional conditions, where $m \equiv r \pmod{6}$ and $r \in [-2 ... 3]$. See Figure 14.

- If $r \in \{0, \pm 1\}$, no further condition is needed.
- If r = 2 (r = -2), the two longer (shorter) chains correspond to a matching in K_4 .
- If r = 3, the three longer chains correspond to a simple 3-path in K_4 .



Figure 14: The first ten uniquely optimal (n, n + 2)-graphs. The pattern continues and cycles every six graphs.

Remark. The following characterization due to [4] generates the uniquely optimal (n, n + 2)-graphs for $n \ge 4$: *Cycle through the three perfect matchings of* K_4 *and successively introduce a new vertex into each of the corresponding chains.* See the second row of Figure 14.

Proof. Fix $n \ge 1$, so that $m \ge 3$, and recall that $\mathcal{B}_m(K_4)$ contains the balanced weak subdivisions of K_4 . If r = 0, all chains have the same length, so $\mathcal{B}_m(K_4)$ contains a single perfectly balanced graph. There is also only one graph in the set when $r = \pm 1$, since K_4 is edge-transitive.

For the other cases, consider a graph $G \in \mathcal{B}_m(K_4)$ with a corresponding weighting of K_4 . By Proposition 6.2, G minimizes $d_3(\cdot)$ in $\mathcal{B}_m(K_4)$ if and only if K_4 has balanced π^v -values (except the *only if* direction for m = 3, but then there is only one graph).

Suppose that r = 2 (r = -2). There are then two possible choices for *G*: The two longer (shorter) chains can either be adjacent or nonadjacent, and this choice specifies *G* up to isomorphism. Only the latter choice, for which the edges of K_4 with larger (smaller) weights form a perfect matching, gives balanced π^v -values.

Suppose that r = 3. There are exactly three nonisomorphic graphs which can be obtained from different arrangements of the three longer chains, except when m = 3, and the options are as follows. The longer chains can either A) emanate from one vertex, B) form a cycle, or C) form a simple path. Only option C gives K_4 a balanced π^v -multiset, namely $[\pi_i^v]_{i=1}^4 = [1, 2, 2, 1]$. (Since the shorter chains do not form a cycle, this construction is well-defined also for m = 3.)

We now use Proposition 8.1 and 8.2 to conclude that the specified bridgeless graph uniquely minimizes $d_3(\cdot)$ in $\mathcal{G}_{n,m}$. It minimizes $d_2(\cdot)$ by Theorem 6.1(a). Unique optimality follows. \Box

9 The uniquely optimal (k = 3)-graphs

9.1 Background

If one considers and compares all possible distillations of exceedance 3, it can arguably seem quite intuitive that subdivisions of the complete bipartite graph $K_{3,3}$ (Figure 15) should be most reliable. As it happens, $K_{3,3}$ is similar to K_4 in that its edge set can be partitioned into three perfect matchings. Boesch, Li and Suffel [4] conjectured that UMRGs could be generated from $K_{3,3}$ in analogy to the perfect matching method for K_4 (see the remark after Theorem 8.3) as follows.

"Theorem" 9.1 ([24]). Partition the nine edges of $K_{3,3}$ into three perfect matchings. A sequence of UMRGs is obtained by cycling through these matchings, successively introducing a new vertex into each of the corresponding chains.

However, the above is false, even though it has been considered a theorem since 1994, when a proof of what was then called Boesch's Conjecture was claimed by Wang [24]. (The result has been cited more than 50 times, without any indication of a mistake.) In addition to reaching the wrong conclusion, the same paper has an unrelated fatal flaw in its treatment of Π_3 -subdivisions, as we demonstrate in Example 9.1. A corrected and exhaustive characterization of UMRGs with exceedance 3 is given in Section 9.4. Our treatment is self-contained.

The two most common representations of $K_{3,3}$ are shown in Figure 15. While representation A most clearly displays the bipartite structure, representation B indicates that $K_{3,3}$ belongs to the *Möbius ladders*, defined below.



Figure 15: Two representations of the complete bipartite graph $K_{3,3}$.

Definition 16. For $k \ge 1$, the *k*-Möbius ladder, denoted by M_k , is the graph obtained from C_{2k} by adding an edge between each pair of opposite vertices. The 2k edges in the cycle are called *rails* and the *k* additional edges are *rungs* (but note that M_k is edge transitive for $k \in \{1, 2, 3\}$).

We note that if Conjecture 1(a) in Section 10.1 holds, then every *p*-optimal graph with $k \in \{1, 2, 3, 4\}$ is a weak subdivision of the *k*-Möbius ladder. However, the Petersen graph is uniquely optimal, so this pattern does not continue for k = 5.

9.2 Subdivisions of the triangular prism are not *p*-optimal

Consider Π_3 with the edges labeled as in Figure 16. Regarding Π_3 as a "circular ladder", and in analogy with the Möbius ladders, we call the *c*-edges *rungs* and the *l*- and *r*-edges *rails*, and two rails with the same index are *opposite* rails. Since $K_{3,3}$ is a Möbius ladder, it can be obtained from Π_3 by introducing a "half-twist", or more precisely, by choosing a rung c_i in Π_3 and then exchanging the incidences of two adjacent, opposite rails l_i and r_i , as shown in Figure 16. (Using l_{i+1} and r_{i+1} yields the same graph.) We consider the corresponding surgery in an arbitrary weak Π_3 -subdivision, and call it a *reconnection* across c_i .

In this subsection, we will use the notation

$$\delta_i = l_i - r_i \quad \text{and} \quad \sigma_i = l_i + r_i \,, \tag{21}$$



Figure 16: Reconnecting the rails l_1 and r_1 across the rung c_1 in the triangular prism Π_3 yields $K_{3,3}$. The edge labels also represent chain lengths of arbitrary weak subdivisions.

where $i \in \{1, 2, 3\}$, and l_i and r_i refer to chain lengths of a weak subdivision of Π_3 or $K_{3,3}$ labeled according to Figure 16.

Example 9.1. We give an infinite family of "strong" counterexamples to the central claim of the proof of [24, Theorem 9]. The proof idea was that given a Π_3 -subdivision, then the $K_{3,3}$ -subdivision obtained by reconnecting any two opposite rail chains, as in Figure 16, should have more spanning trees. Our counterexamples are strong in the sense that we provide a family of Π_3 -subdivisions for which every possible rearrangement of chains into a $K_{3,3}$ -subdivision has fewer spanning trees. We focus on a particular such example, and then indicate its generalization.

Our particular example is the Π_3 -subdivision and (14, 17)-graph *G* in Figure 17, which has 50 more spanning trees than *G'* and 2 more than *G''*. The latter two graphs are the only $K_{3,3}$ -subdivisions with the same chain lengths as *G*; to see this, consider that up to isomorphism there is only one way to choose two adjacent edges of $K_{3,3}$ and only one way to choose two nonadjacent edges. (Equivalently, the line graph of $K_{3,3}$ is distance transitive with diameter 2.)



Figure 17: The Π_3 -subdivision *G* has more spanning threes than each of the two possible $K_{3,3}$ -subdivisions with the same chain lengths.

We begin by writing

$$d_4(\cdot) = d_{4,2}(\cdot) + d_{4,3}(\cdot) + d_{4,4}(\cdot), \qquad (22)$$

recalling that $d_{4,i}(\cdot)$ is the number of 4-disconnections in which the smallest bond has size *i*. To see that $d_{4,2}(\cdot)$ is the same for *G*, *G'* and *G''*, pair the chains of *G* with those of *G'* (or *G''*) by length and consider an induced pairing between the edges.

We now calculate $d_{4,3}(\cdot)$ and $d_{4,4}(\cdot)$ for the three graphs, starting with the latter. Every 4-bond of Π_3 and $K_{3,3}$ is trivial (isolates one edge). Using Corollary 3.3, we go over the chains of *G*, *G*' and *G*'', multiply the lengths of their adjacent chains and sum the results to obtain

$$d_{4,4}(G) = 5^2 1^2 + 6 \cdot 5^1 1^3 + 2 \cdot 1^4 = 57$$

$$d_{4,4}(G') = 5^2 1^2 + 6 \cdot 5^1 1^3 + 2 \cdot 1^4 = 57$$

$$d_{4,4}(G'') = 2 \cdot 5^2 1^2 + 4 \cdot 5^1 1^3 + 3 \cdot 1^4 = 73$$

Regarding $d_{4,3}(\cdot)$, we note that G' and G'' have only trivial 3-bonds, while G in addition has a nontrivial 3-bond, separating the two 3-cycles. Any (4,3)-disconnection is uniquely determined by the following two steps: (1) Choose a 3-bond in the proper distillation and pick one edge from each of the corresponding chains. (2) Choose a fourth edge from any one of the other six chains; this does not create any additional bond for these graphs (as can be deduced from the 3-edge-connectedness of their distillations). This gives

$$d_{4,3}(G) = 4 \cdot 5^{1} 1^{2} 10 + 3 \cdot 1^{3} 14 = 242$$

$$d_{4,3}(G') = 5^{2} 1^{1} 6 + 2 \cdot 5^{1} 1^{2} 10 + 3 \cdot 1^{3} 14 = 292$$

$$d_{4,3}(G'') = 4 \cdot 5^{1} 1^{2} 10 + 2 \cdot 1^{3} 14 = 228$$

Using (22) and that $d_{4,2}(\cdot)$ is unchanged gives $d_4(G') - d_4(G) = (57 - 57) + (292 - 242) = 50$, and similarly $d_4(G'') - d_4(G) = 2$. It is straightforward to generalize the above equations and show that replacing the chains of length 5 by any two which are at least as long yields a similar counterexample.

Lemma 9.2. For $n \ge 2$, let $G \in \mathcal{G}_{n,n+3}$ be a weak Π_3 -subdivision for which all rung chains c_i are positive. Referring to (21), suppose that the following hold.

(1) $\delta_1 \ge 1$ and $-\delta_3 \ge 1$, with at least one strict inequality.

(2)
$$\delta_2 \in [0 \dots -\delta_3 - 1].$$

Then there is another weak Π_3 -subdivision $G'' \in \mathcal{G}_{n,n+3}$, obtained by moving two edges between rail chains, such that $d_i(G'') < d_i(G)$ for $i \in \{2, 3, 4\}$.

Remark. With a strategic chain labeling (e.g. as given in the proof of Proposition 9.3) the above lemma applies to many more Π_3 -subdivisions than is immediately apparent.

Proof. We obtain G'' from G by first moving one edge from the chain l_1 to r_1 , calling the result G', and then moving one edge from r_3 to l_3 . (See Figure 18. Note that the labeling agrees with Π_3 in Figure 16.) These surgeries are possible since l_1 and r_3 are positive by assumption (1). By Theorem 6.1(b) we have $d_2(G'') < d_2(G)$, since we have twice moved an edge from a longer to a shorter chain, and at least one of the two chain pairs was initially imbalanced.



Figure 18: An edge *e* of the weak Π_3 -subdivision *G* is moved, yielding *G'*, in which in turn another edge *e'* is moved to obtain *G''* (not shown).

We use the shorthand d_i for $d_i(G)$, with primes added for G' and G''. Let $C = c_1 + c_2 + c_3$. By Corollary 7.2 (for a detailed example, see the derivation of (19) in Example 8.1) we obtain

$$d_{3} - d'_{3} = x_{3,2} - x'_{3,2} + x_{3,3} - x'_{3,3}$$

= $(l_{1} - 1)(C + \sigma_{2} + \sigma_{3}) - r_{1}(C + \sigma_{2} + \sigma_{3}) + (c_{1}l_{2} + c_{3}l_{3}) - (c_{1}r_{2} + c_{3}r_{3})$
= $(\delta_{1} - 1)(c_{1} + c_{2} + c_{3} + \sigma_{2} + \sigma_{3}) + c_{1}\delta_{2} + c_{3}\delta_{3}$. (23)

We can make substitutions in (23), with a little help from Figure 18, to furthermore obtain

$$d'_{3} - d''_{3} = (-\delta_{3} - 1)(c_{1} + c_{2} + c_{3} + \sigma_{1} + \sigma_{2}) + c_{3}(-\delta_{1} + 2) + c_{2}(-\delta_{2}).$$

Adding the two equations above yields, with some rearranging and canceling,

$$d_3 - d_3'' = (\delta_1 - 1)(c_1 + c_2 + \sigma_2 + \sigma_3) + (-\delta_3 - 1)(c_1 + \sigma_1 + \sigma_2) + c_1\delta_2 + c_2(-\delta_3 - 1 - \delta_2).$$
(24)

By our assumptions, it is immediate that each of the four terms above is nonnegative, and that the first or second is positive. Hence, $d_3(G'') < d_3(G)$.

Finally, we study how the number of 4-disconnections changes. Corollary 7.2 gives

$$d_4 - d'_4 = (x_{4,2} - x'_{4,2}) + (x_{4,3} - x'_{4,3}) + (x_{4,4} - x'_{4,4}).$$
⁽²⁵⁾

We calculate the three terms above. The first two can be compared to (23). Letting $C_{\times} = c_1c_2 + c_1c_3 + c_2c_3$, one can verify the following. (Except for in $(l_1 - 1)$, all minus signs come from the subtracted primed *x*-terms, and there is no cancellation involved.)

$$x_{4,2} - x'_{4,2} = ((l_1 - 1) - r_1) (C_{\times} + c_1 \sigma_3 + c_3 \sigma_2 + c_2 (\sigma_2 + \sigma_3) + \sigma_2 \sigma_3)$$

$$x_{4,3} - x'_{4,3} = c_1 (l_2 - r_2) (c_2 + c_3 + \sigma_3) + c_3 (l_3 - r_3) (c_1 + c_2 + \sigma_2)$$

$$x_{4,4} - x'_{4,4} = c_1 c_2 (l_3 - r_3) + c_3 c_2 (l_2 - r_2)$$
(26)

Adding these three equations yields the following. (The five colors will soon be explained.)

$$d_{4} - d'_{4} = (\delta_{1} - 1)(C_{\times} + c_{1}\sigma_{3} + c_{3}\sigma_{2} + c_{2}(\sigma_{2} + \sigma_{3}) + \sigma_{2}\sigma_{3}) + c_{1}\delta_{2}(c_{2} + c_{3} + \sigma_{3}) + c_{3}\delta_{3}(c_{1} + c_{2} + \sigma_{2}) + c_{1}c_{2}\delta_{3} + c_{3}c_{2}\delta_{2}.$$
(27)

We then obtain $d'_4 - d''_4$ by making substitutions in (27) according to Figure 18:

$$\begin{aligned} d'_4 - d''_4 &= (-\delta_3 - 1)(C_{\mathsf{x}} + c_3\sigma_2 + c_2\sigma_1 + c_1(\sigma_1 + \sigma_2) + \sigma_1\sigma_2) \\ &+ c_3(-\delta_1 + 2)(c_1 + c_2 + \sigma_2) + c_2(-\delta_2)(c_3 + c_1 + \sigma_1) + c_3c_1(-\delta_2) + c_2c_1(-\delta_1 + 2). \end{aligned}$$

Adding the last two equations, all the same-colored terms cancel. With a slight rearrangement we obtain

$$d_4 - d_4'' = (\delta_1 - 1)(c_1\sigma_3 + c_2(\sigma_2 + \sigma_3) + \sigma_2\sigma_3) + c_1\delta_2\sigma_3 + (-\delta_3 - 1)(c_1(\sigma_1 + \sigma_2) + \sigma_1\sigma_2) + c_2\sigma_1(-\delta_3 - 1 - \delta_2).$$
(28)

Just as for (24), it is immediate that each of the four terms of (28) are nonnegative. The only additional observation needed to see that the first or third is positive is that it follows from $\delta_1 \ge 1$ and $-\delta_3 \ge 1$ that $\sigma_1 \ge 1$ and $\sigma_3 \ge 1$. Hence, $d_4(G'') < d_4(G)$.

Proposition 9.3. For $n \ge 2$, let $G \in \mathcal{G}_{n,n+3}$ be a weak Π_3 -subdivision which is not also a weak $K_{3,3}$ -subdivision (equivalently, which has three positive rung chains c_i). Then there is a weak $K_{3,3}$ -subdivision $G' \in \mathcal{G}_{n,n+3}$ such that $d_2(G') \le d_2(G)$, $d_3(G') < d_3(G)$ and $d_4(G') < d_4(G)$, which is therefore strictly more reliable than G. (In particular, a Π_3 -subdivision cannot be p-optimal for any p.)

Proof. Since the graphs of Figure 16 become isomorphic by contracting a c_i -edge in both graphs, any weak Π_3 -subdivision with a zero-length rung chain is also a weak $K_{3,3}$ -subdivision. Hence, *G* has only positive rung chains c_i , and no such *G* can be a weak $K_{3,3}$ -subdivision, since the positive rung chains creates nontrivial 3-bonds. This proves "equivalently".

Now, we label the chains of *G* as in Figure 16 and according to the following restrictions. **R1:** At least two of the values δ_1 , δ_2 and δ_3 , see (21), should be nonnegative. **R2:** If one or two of the δ_i -values are zero, then the nonnegative numbers should include the largest of the three absolute values. **R3:** $\delta_1 \ge \delta_2 \ge \delta_3$. (R1 and R2 can be satisfied by appropriately choosing the "left" and "right" sides of *G*, while R3 is satisfied by an appropriate indexing.) Note that $\delta_1 \ge \delta_2 \ge 0$ by R1 and R3. Consequently, if δ_3 is negative, then R2 forces δ_1 to be positive. We consider three exhaustive cases. *Case 1*: $\delta_3 < -\delta_2$ and max $(\delta_1, -\delta_3) > 1$. We first show that the conditions (1) and (2) of Lemma 9.2 are fulfilled. Since δ_2 is always nonnegative, $\delta_3 < -\delta_2$ implies both $\delta_2 \in [0 \dots -\delta_3 - 1]$, which is (2), and that δ_3 is negative. The latter, as noted above, implies that δ_1 is positive. The strict inequality additionally required by (1) is given by max $(\delta_1, -\delta_3) > 1$.

Applying Lemma 9.2, we have a weak Π_3 -subdivision $H \in \mathcal{G}_{n,n+3}$ with unchanged rung chains and strictly smaller d_i -values than G. By relabeling the chains of H according to R1, R2 and R3 and repeatedly applying Lemma 9.2 if necessary, we eventually obtain a graph which falls into Case 2 or Case 3.

Case 2: $\delta_3 < -\delta_2$ and $\max(\delta_1, -\delta_3) \leq 1$. Just as in Case 1 it follows that δ_1 is positive, δ_2 is nonnegative and δ_3 is negative. But then $\max(\delta_1, -\delta_3) \leq 1$ implies that $\delta_1 = -\delta_3 = 1$, and since $-\delta_3 > \delta_2$, it follows that $\delta_2 = 0$. Thus $(\delta_1, \delta_2, \delta_3) = (1, 0, -1)$. We finish Case 2 after Case 3, which is the main work.

Case 3: $\delta_3 \ge -\delta_2$. Construct *G'* from *G* by reconnecting the chains l_1 and r_1 across c_1 , as shown in Figure 16. (Interpreting the labels of Figure 16 as weights, this surgery is well-defined even if l_1 , r_1 or both have zero length. Since the rung chains c_i are assumed positive, there are no "zero cycles", so *G* and *G'* are well-defined weak subdivisions of Π_3 and $K_{3,3}$, respectively.)

Since the chain lengths are unchanged, we have that $d_2(G') = d_2(G)$, and furthermore that the number of 3- and 4-disconnections which contain a 2-bond is the same. In particular, $d_3(G) - d_3(G') = b_3(G') - b_3(G)$. The 3-bonds of the weak distillations Π_3 and $K_{3,3}$ are exactly the same, except for the trivial bonds separating an endvertex of c_1 (see Figure 16) and for the nontrivial bond { c_1, c_2, c_3 } of Π_3 . Hence, using Corollary 3.3,

$$b_3(G) - b_3(G') = c_1 c_2 c_3 + c_1 l_1 l_2 + c_1 r_1 r_2 - c_1 r_1 l_2 - c_1 l_1 r_2 = c_1 (c_2 c_3 + \delta_1 \delta_2).$$
(29)

This expression is positive since the c_i 's are positive and since δ_1 and δ_2 are nonnegative. Hence, $d_3(G') < d_3(G)$.

To obtain $d_4(G) - d_4(G')$, we use the same notation as in (22) and the fact that $d_{4,2}(\cdot)$ is unchanged, writing

$$d_4(G) - d_4(G') = d_{4,3}(G) - d_{4,3}(G') + d_{4,4}(G) - d_{4,4}(G').$$
(30)

We start by considering $d_{4,3}(G) - d_{4,3}(G')$. The 3-bonds of *G* and *G'* which are not involved in (29) are in a natural one-one correspondence, which induces a one-one correspondence between the (4, 3)-disconnections which contain any of these bonds. We deduce that any other (3, 4)-disconnection is uniquely determined by choosing some 3-bond counted in (29) and adding an edge from any of the other six chains. Thus, recalling the notation of (21),

$$d_{4,3} - d'_{4,3} = c_1 \left(c_2 c_3 (\sigma_1 + \sigma_2 + \sigma_3) + l_1 l_2 (r_1 + r_2 + c_2 + c_3 + \sigma_3) + r_1 r_2 (l_1 + l_2 + c_2 + c_3 + \sigma_3) - r_1 l_2 (l_1 + r_2 + c_2 + c_3 + \sigma_3) - l_1 r_2 (l_2 + r_1 + c_2 + c_3 + \sigma_3) \right)$$

= $c_1 \left(c_2 c_3 (\sigma_1 + \sigma_2 + \sigma_3) + (l_1 - r_1) (l_2 - r_2) (c_2 + c_3 + \sigma_3) \right),$ (31)

where the terms in red cancel (because every edge set which contains one edge each from four out of the five chains in $\{c_1, l_1, l_2, r_1, r_2\}$ disconnects both *G* and *G'*).

To obtain $d_{4,4}(G) - d_{4,4}(G')$, we use that every 4-bond of Π_3 and $K_{3,3}$, and hence of G and G', is trivial. Furthermore, every labeled edge in the two distillations has the same adjacencies, except for the four edges l_1 , l_2 , r_1 and r_2 ; see Figure 16. Thus, using Corollary 3.3, we multiply the four adjacent weights for each of these four edges, summing the results for Π_3 and subtracting the results for $K_{3,3}$. This yields

$$d_{4,4} - d'_{4,4} = c_1 c_3 l_2 l_3 + c_1 c_2 l_1 l_3 + c_1 c_3 r_2 r_3 + c_1 c_2 r_1 r_3 - c_1 c_3 l_3 r_2 - c_1 c_2 l_3 r_1 - c_1 c_3 l_2 r_3 - c_1 c_2 l_1 r_3$$

= $c_1 c_2 (l_1 - r_1) (l_3 - r_3) + c_1 c_3 (l_2 - r_2) (l_3 - r_3)$ (32)

By (30), we add (31) and (32) to obtain

$$d_{4} - d'_{4} = c_{1} \left(c_{2}c_{3}(\sigma_{1} + \sigma_{2} + \sigma_{3}) + \delta_{1}\delta_{2}(c_{2} + c_{3} + \sigma_{3}) + c_{2}\delta_{1}\delta_{3} + c_{3}\delta_{2}\delta_{3} \right)$$

= $c_{1} \left(c_{2}c_{3}(\sigma_{1} + \sigma_{2} + \sigma_{3}) + \delta_{1}\delta_{2}\sigma_{3} + c_{2}\delta_{1}(\delta_{2} + \delta_{3}) + c_{3}\delta_{2}(\delta_{1} + \delta_{3}) \right).$ (33)

We know that c_1 is positive, and that the four terms of the second factor are at least nonnegative: $\delta_2 + \delta_3 \ge 0$ since $\delta_3 \ge -\delta_2$ by assumption, and then it follows from R3 that $\delta_1 + \delta_3 \ge 0$. Furthermore, since c_2 and c_3 are positive, and at least some l_i or r_i is positive, the first term is positive. Hence, $d_4(G') < d_4(G)$.

Case 2, finished: Let G' be obtained as in Case 3. Inserting $(\delta_1, \delta_2, \delta_3) = (1, 0, -1)$ into (29) implies $d_3(G) - d_3(G') = c_1c_2c_3 > 0$, and into (33) yields $d_4(G) - d_4(G') = c_1c_2(c_3(\sigma_1 + \sigma_2 + \sigma_3) - 1)$. Since $\sigma_1 + \sigma_2 + \sigma_3 \ge 4$, we conclude that $d_4(G) - d_4(G') > 0$, which finishes the proof.

9.3 Zeroing in on balanced weak *K*_{3,3}-subdivisions

In Figure 19 we introduce a new edge labeling for $K_{3,3}$ (as usual the labels will also represent chain lengths), in which three edges share a vertex if and only if they all share the same letter or index. Furthermore, the 4-cycles are the edge sets that combine two out of three letters and two out of three indices; for example, $a_3a_1c_1c_3$ specifies a 4-cycle in $K_{3,3}$.



Figure 19: The complete bipartite graph $K_{3,3}$ with an edge labeling used extensively in Section 9.3. The edge labels can represent chain lengths of weak $K_{3,3}$ -subdivisions.

With the labels of Figure 19 representing chain lengths, we define

$$\delta_i = a_i - b_i \text{ and } \sigma_i = a_i + b_i + c_i, \quad i \in \{1, 2, 3\}.$$
 (34)

We will need formulas for how $d_3(\cdot)$ and $d_4(\cdot)$ change when an edge is moved between adjacent chains. Suppose that a_1 is positive in *G*, and let *G*' be obtained by moving one edge from a_1 to b_1 . By applying Corollary 7.2 we obtain

$$d_3(G) - d_3(G') = (\delta_1 - 1)(\sigma_2 + \sigma_3) + a_2a_3 - b_2b_3, \qquad (35)$$

where the first term originates from $x_{3,2} - x'_{3,2}$, the second term is $x_{3,3}$ and the third is $x'_{3,3}$ (cf. (23)). The change in $d_4(\cdot)$ will be derived when proving Proposition 9.5.

Lemma 9.4. Let G be a weak $K_{3,3}$ -subdivision in which a_1 and b_1 are adjacent chains with the largest possible length difference among all adjacent pairs (see Figure 19). Move an edge from a_1 to b_1 to obtain G'. If $a_1 - b_1 \ge 2$, then $d_3(G') < d_3(G)$.

Proof. With *G* as in the lemma, suppose that $a_1 - b_1 \ge 2$. Let the other chains be labeled as in Figure 19 and so that $a_2 - b_2 \ge a_3 - b_3$. (Take a labeling where the latter does not hold. Swap the places of vertices 2 and 3 and then relabel to agree with the figure.) Rearrange (35), with

 $d_3(G) - d_3(G') = \Delta$, to obtain the following. Our assumptions $\delta_1 \ge 2$, $\delta_1 \ge \max(|\delta_2|, |\delta_3|)$ and $\delta_2 \ge \delta_3$ are used for the inequalities.

$$\Delta = (\delta_1 - 1)(\sigma_2 + \sigma_3) + (a_2 - b_2)a_3 + (a_3 - b_3)b_2$$

= $(\delta_1 - 1)(c_2 + c_3) + (\delta_1 - 2)(a_2 + b_3) + (\delta_1 + \delta_2)a_3 + (\delta_1 + \delta_3)b_2 + (\delta_2 - \delta_3)$
 $\geq (c_2 + c_3) + (\delta_1 + \delta_2)a_3 + (\delta_1 + \delta_3)b_2 + (\delta_2 - \delta_3) \geq 0$ (36)

It remains to show that $\Delta = 0$ is impossible. Suppose for contradiction that $\Delta = 0$, so that all four terms of (36) are zero. In particular, $c_2 = c_3 = 0$ and $\delta_2 = \delta_3$, which we denote by $\delta_{2,3}$.

If on the one hand, $\delta_1 + \delta_{2,3} > 0$, then, considering the second and third terms of (36), $a_3 = b_2 = 0$. Then, $\delta_2 = \delta_3$ simplifies to $a_2 = -b_3$, so a_2 and b_3 are also zero chains. However, this would give us several "zero cycles", such as $c_2c_3a_3a_2$, which is impossible.

If on the other hand, $\delta_1 + \delta_{2,3} = 0$, then, in particular, $\delta_1 = -\delta_2 = b_2 - a_2$, so $b_2 = \delta_1 + a_2$. Since b_2 is adjacent to the zero chain c_2 , we also have $b_2 = b_2 - c_2 \le \delta_1$. Put together, this gives $a_2 \le 0$, so a_2 is a zero chain. Analogously, we see that a_3 is a zero chain, which leads to the "zero cycle" $c_2c_3a_3a_2$. We conclude that $\Delta > 0$.

Proposition 9.5. If G is an imbalanced weak $K_{3,3}$ -subdivision, then there is a graph G', obtained by moving an edge between two adjacent chains of G, such that $d_2(G') \le d_2(G), d_3(G') < d_3(G)$ and $d_4(G') < d_4(G)$.

Proof. Since there is only one way to choose two adjacent edges of $K_{3,3}$ up to isomorphism (as noted in Example 9.1), we can assume that we want to move an edge from the chain a_1 to b_1 in Figure 19, but without yet specifying how these chains are to be chosen. We first derive an expression for $d_4(G) - d_4(G')$, which we obtain through Corollary 7.2, starting with the $x_{i,j}$ -terms. (Like for (26), expanding the expressions while keeping $(a_1 - 1)$ and then grouping terms by sign recovers the *x*-terms.)

$$\begin{aligned} x_{4,2} - x'_{4,2} &= \left((a_1 - 1) - b_1 \right) \left((a_2 + a_3)(b_2 + b_3) + (c_2 + c_3)(a_2 + a_3 + b_2 + b_3) \right) \\ x_{4,3} - x'_{4,3} &= a_2 a_3 (b_2 + b_3 + c_2 + c_3) - b_2 b_3 (a_2 + a_3 + c_2 + c_3) \\ x_{4,4} - x'_{4,4} &= a_2 b_3 c_3 + a_3 b_2 c_2 - a_2 b_3 c_2 - a_3 b_2 c_3 \end{aligned}$$

$$(37)$$

Adding the above equations, with $\Delta = d_4(G) - d_4(G')$, and rearranging the right-hand side yields the following. (An equation corresponding to (38) was claimed to be nonnegative in [24], without any justification and under different conditions on the variables than we will use.)

$$\Delta = (\delta_1 - 1)((a_2 + a_3)(b_2 + b_3) + (c_2 + c_3)(a_2 + a_3 + b_2 + b_3)) + \delta_2(a_3b_3 + c_3(a_3 + b_3)) + \delta_3(a_2b_2 + c_2(a_2 + b_2))$$
(38)

$$= (\delta_1 - 1)(a_2b_3 + a_3b_2 + c_2(a_3 + b_3) + c_3(a_2 + b_2)) + (\delta_1 + \delta_2 - 1)(a_3b_3 + c_3(a_3 + b_3)) + (\delta_1 + \delta_3 - 1)(a_2b_2 + c_2(a_2 + b_2)).$$
(39)

We now fix a chain labeling of *G* according to Figure 19. The labeling should be such that **C1**: $\delta_1 = a_1 - b_1$ is as large as possible, **C2**: a_1 is as large as possible under the preceding condition, **C3**: δ_2 is as large as possible under the preceding two conditions, and **C4**: b_2 is as small as possible under the preceding three conditions.

G' is obtained by moving an edge from a_1 to b_1 . We need to show that $d_2(G') \le d_2(G)$, that $d_3(G') < d_3(G)$ and that Δ above is positive. We will consider four cases. That they are

exhaustive will be clear from the following three observations: (1) $\delta_1 \ge 1$, (2) $\delta_1 + \delta_2 \ge 0$ and $\delta_1 + \delta_3 \ge 0$, by C1, and (3) $\delta_2 \ge \delta_3$, because of C3. Regarding Case 3 and Case 4 below, it follows from Theorem 6.1(b) that $d_2(G') < d_2(G)$ and from Lemma 9.4 that $d_3(G') < d_3(G)$, so for these cases we only need to show that $\Delta > 0$.

Case 1: $\delta_1 = 1$. This implies that all pairs of adjacent chains of *G* are balanced. We note that *G* and *G'* have the same chain lengths (with the lengths of a_1 and b_1 switched) and that $d_2(G) = d_2(G')$. Since *G* itself is imbalanced and no two chains are separated by more than one chain, we deduce that the chain lengths of *G* are ℓ , $\ell + 1$ and $\ell + 2$, for some $\ell \ge 0$, and that *G* has a cycle of chains $\ell_1 \ell_2 \ell_3 \ell_4$ such that $(\ell_1, \ell_2, \ell_3, \ell_4) = (\ell + 2, \ell + 1, \ell, \ell + 1)$. The conditions C1 through C4 then forces $a_1b_1b_2a_2$ to be such a cycle. Inserting these values into (35) yields the following equation. For the inequality, we use that by C1, $a_3 \ge \ell + 1$ (since a_3 is adjacent to a_1) and $b_3 \le \ell + 1$ (since b_3 is adjacent to b_2).

$$d_3(G) - d_3(G') = (\ell+1)a_3 - \ell b_3 \ge (\ell+1)^2 - \ell(\ell+1) = \ell+1 > 0,$$

Inserting the same values into (39) yields

$$\begin{split} \Delta &= \left(a_3b_3 + c_3(a_3 + b_3)\right) + \delta_3 \big((\ell + 1)\ell + c_2(2\ell + 1)\big) \\ &\geq \left((\ell + 1)b_3 + c_3(\ell + 1 + b_3)\right) + \left((\ell + 1) - b_3\right) \big((\ell + 1)\ell + c_2(2\ell + 1)\big)\,, \end{split}$$

where the possible values for b_3 are $\ell+1$ and ℓ . If on the one hand $b_3 = \ell+1$, then $\Delta \ge (\ell+1)^2 > 0$. If on the other hand $b_3 = \ell$, then $\Delta \ge c_2 + c_3$. Now, c_2 and c_3 cannot both be zero chains, since a zero chain in *G* would presuppose $\ell = 0$ and we would then have the "zero cycle" $c_2c_3b_3b_2$. We conclude that $\Delta > 0$.

Case 2: $\delta_1 \ge 2$ while $\delta_1 + \delta_2 = 0$ and $\delta_1 + \delta_3 = 0$. We will show that this case is inconsistent with C3. Since $\delta_1 = -\delta_2 = b_2 - a_2$, the chain b_2 has length $a_2 + \delta_1$, so the cycle of chains (a_1, a_2, b_2, b_1) has lengths $(b_1 + \delta_1, a_2, a_2 + \delta_1, b_1)$. Furthermore, since δ_1 is the largest difference between adjacent chains, we have

$$\begin{cases} (b_1 + \delta_1) - a_2 \leq \delta_1 \\ (a_2 + \delta_1) - b_1 \leq \delta_1 \end{cases} \implies \begin{cases} b_1 - a_2 \leq 0 \\ a_2 - b_1 \leq 0 \end{cases} \implies a_2 = b_1.$$

Hence, with ℓ denoting the length of b_1 , the aforementioned cycle (a_1, a_2, b_2, b_1) has lengths $(\ell + \delta_1, \ell, \ell + \delta_1, \ell)$. It is analogously shown that $(a_1, a_3, b_3, b_1) = (\ell + \delta_1, \ell, \ell + \delta_1, \ell)$. This gives the cycle (b_2, a_2, a_3, b_3) lengths $(\ell + \delta_1, \ell, \ell + \delta_1)$.

Since any 4-cycle of $K_{3,3}$ can be mapped to any other 4-cycle, *G* can be relabeled so that the chains (a_1, b_1, b_2, a_2) have lengths $(\ell + \delta_1, \ell, \ell, \ell + \delta_1)$. Compared to the first labeling, δ_1 and the length of a_1 is unchanged, but δ_2 has strictly increased from $-\delta_1$ to δ_1 . Case 2 is thus inconsistent with C3.

Case 3: $\delta_1 \ge 2$ while $\delta_1 + \delta_2 > 0$ and $\delta_1 + \delta_3 = 0$. Just as in Case 2, (a_1, b_1) and (b_3, a_3) have the same lengths. Eliminate a_3 and b_3 from (39) to obtain

$$\Delta = (\delta_1 - 1)(a_1a_2 + b_1b_2 + c_2(a_1 + b_1) + c_3(a_2 + b_2)) + (\delta_1 + \delta_2 - 1)(a_1b_1 + c_3(a_1 + b_1)) - (a_2b_2 + c_2(a_2 + b_2)) = (\delta_1 - 1)(b_1b_2 + c_3(a_2 + b_2)) + (\delta_1 + \delta_2 - 1)(a_1b_1 + c_3(a_1 + b_1)) + a_2((\delta_1 - 1)a_1 - b_2) + c_2((\delta_1 - 3)(a_1 + b_1) + (a_1 - a_2) + (a_1 - b_2) + 2b_1).$$
(40)

We will make repeated use of the inequalities $a_1 \ge a_2 \ge b_2$. The former follows from C1, since a_2 is adjacent to a_3 which has the same length as b_1 . For the latter, consider that the

isomorphism which swaps the vertices a with b and 1 with 3 makes a_2 and b_2 exchange places, while the lengths of the chains with labels a_1 and b_1 remain the same. Hence, C3 implies $a_2 \ge b_2$. Consider furthermore the isomorphism of $K_{3,3}$ which mirrors Figure 19 vertically. It exchanges (a_2, b_2) with (c_1, c_3) , while no other chain lengths change, since $a_3 = b_1$. Hence, C3 implies $\delta_2 \ge c_1 - c_3$. Combining the two isomorphisms above, C3 implies that $\delta_2 \ge c_3 - c_1$. It follows that if $a_2 = b_2$, then $c_1 = c_3$, in which case C4 implies that $b_2 \le c_3$. This last fact will be needed for Subcase 3b.

Subcase 3a: $\delta_1 \geq 3$. By our assumptions and since $a_1 \geq a_2 \geq b_2$, all four terms of (40) are nonnegative. To show that $\Delta > 0$, suppose for contradiction that $\Delta = 0$. In particular, the third term equals zero, and since it is also bounded below by $a_2(2a_1 - b_2) = a_2a_1 + a_2(a_1 - b_2)$, where both terms are nonnegative, we have $a_1a_2 = 0$. This implies $a_2 = 0$, which in turn implies $b_2 = 0$, so that $\delta_2 = 0$. From (40), we obtain $\Delta \geq a_1(b_1 + c_3 + c_2)$. This forces b_1 (hence also a_3), c_2 and c_3 to be zero chains. This results in the "zero cycle" $a_2c_2c_3a_3$. Hence, $\Delta > 0$.

Subcase 3b: $\delta_1 = 2$. Let ℓ denote the length of b_1 , so that the cycle (a_1, a_3, b_3, b_1) has lengths $(\ell + 2, \ell, \ell + 2, \ell)$. Since b_2 is adjacent to b_3 and b_1 , C1 implies that $b_2 = \ell + s$ where $s \in \{0, 1, 2\}$. Now insert $\delta_1 = 2$ into (40) and use $a_2 \ge b_2$ (in the form $\delta_2 \ge 0$) to obtain

$$\Delta \ge (b_1b_2 + c_3(a_2 + b_2)) + (a_1b_1 + c_3(a_1 + b_1)) + a_2(a_1 - b_2) + c_2(a_1 - a_2 - (b_2 - b_1))$$

$$\ge a_2c_3 + a_1(b_1 + c_3) + a_2(2 - s) + c_2(a_1 - a_2 - s).$$
(41)

We consider, in turn, the three possible values of *s*. Suppose that s = 0. Then (41) implies $\Delta \ge a_1(b_1 + c_3) + a_2 + c_2(a_1 - a_2)$. Each of these terms is nonnegative; suppose for contradiction that they are all zero. Then $b_1 = 0$ (and hence $a_3 = 0$), $c_3 = 0$, $a_2 = 0$ and $c_2 = 0$, which gives the "zero cycle" $a_3c_3c_2a_2$. Hence, $\Delta > 0$.

Suppose that s = 1. Insertion into (41) implies

$$\Delta \ge a_1(b_1 + c_3) + a_2 + c_2((a_1 - 1) - a_2).$$
(42)

Since a_2 and c_2 are both adjacent to b_2 with length $\ell + 1$, they are both bounded above by $a_1 = \ell + 2$, in observance of C2. If on the one hand $a_2 < a_1$, all three terms of (42) are nonnegative, and one shows that $\Delta > 0$ exactly as for s = 0 (recalling that $a_1 \ge 2$). If on the other hand $a_2 = a_1$, then (42) simplifies to $\Delta \ge a_1(b_1 + c_3) + (a_1 - c_2)$, in which both terms are nonnegative; suppose for contradiction that both are zero. Then $b_1 = 0$ and $c_3 = 0$. With $b_1 = 0$, we have a cycle of known lengths, so $a_3 = 0$, $a_1 = 2$ (so also $a_2 = 2$, and $c_2 = 2$ since the second term is zero) and additionally $b_2 = \ell + 1 = 1$, so $\delta_2 = 1$. Now the cycle (a_2, a_3, c_3, c_2) has lengths (2, 0, 0, 2). However, remapping (a_1, b_1, b_2, a_2) to this cycle would give us the same value for δ_1 , the same length for a_1 , but a strictly larger δ_2 -value, which contradicts C3. Hence, $\Delta > 0$.

Suppose that s = 2. Since a_2 and c_3 are adjacent to a_3 with length ℓ , they are bounded above by $a_1 = \ell + 2$ by C1. Since also $a_2 \ge b_2 = \ell + 2$, we have $a_2 = \ell + 2$. Recall that $a_2 = b_2$ implies $c_3 \ge b_2$, so we also have $c_3 = \ell + 2$. Lastly, c_2 is bounded above by $\ell + 3$ by C2, since adjacent to $b_2 = \ell + 2$. Hence, by (41), $\Delta \ge a_2c_3 + a_1c_3 - 2c_2 \ge 2(\ell + 2)^2 - 2(\ell + 3) > 0$.

Case 4: $\delta_1 \ge 2$ while $\delta_1 + \delta_2 > 0$ and $\delta_1 + \delta_3 > 0$. All terms of (39) are nonnegative, so $\Delta \ge 0$. To show that $\Delta > 0$, consider that δ_2 and δ_3 can be either (1) both nonnegative, (2) both negative, or (3) δ_2 nonnegative but δ_3 negative, recalling that $\delta_2 \ge \delta_3$ because of C3.

Suppose (1). From (38) we obtain that $\Delta \ge (a_2 + a_3)(b_2 + b_3) + (c_2 + c_3)(a_2 + a_3 + b_2 + b_3)$. Since $a_2a_3b_3b_2$, $a_2a_3c_3c_2$ and $b_2b_3c_3c_2$ are cycles, each of which must contain at least one edge to avoid a "zero cycle", at least one of these two terms is positive, and $\Delta > 0$. Suppose (2). By keeping only part of the first term of (39) we have $\Delta \ge a_2b_3 + a_3b_2 + c_2b_3 + c_3b_2$. Since $a_2a_3c_3c_2$ is a cycle, at least one of these chains is positive, and since furthermore $\delta_2 < 0$ and $\delta_3 < 0$ implies that b_2 and b_3 are positive, at least one of the four terms is positive, and $\Delta > 0$. Finally, suppose (3). Since δ_3 is negative, b_3 is positive. From (39), $\Delta \ge a_2b_3 + c_2b_3 + a_3b_3 + c_3b_3$. Since $a_2c_2c_3a_3$ forms a cycle, at least one of the four terms is positive. Hence, $\Delta > 0$.

Proposition 9.6. For $n \ge 1$, if $G \in \mathcal{G}_{n,n+3}$ is not a weak $K_{3,3}$ -subdivision, then there is a bridgeless $H \in \mathcal{G}_{n,n+3}$ such that $d_2(H) \le d_2(G)$, $d_3(H) < d_3(G)$ and $d_4(H) < d_4(G)$. Hence, any p-optimal (n, n + 3)-graph is a weak $K_{3,3}$ -subdivision.

Proof. If *G* has a bridge, the statement follows from Proposition 2.2. Suppose that *G* is bridgeless. If *G* is a weak Π_3 -subdivision, then the conclusion follows from Proposition 9.3. Suppose that *G* is not a weak Π_3 -subdivision. By Theorem 5.2(b)(c), there is an equivalent graph G^{\dagger} which is an imbalanced weak $K_{3,3}$ -subdivision. The conclusion then follows from Proposition 9.5.

9.4 Correcting the *K*_{3,3}-theorem

Before Theorem 9.7, which identifies the uniquely optimal (k = 3)-graphs, we point out three ways in which our result gives a different picture than the hitherto accepted result by Wang [24].

- Wang's theorem is wrong regarding the graphs described by the case r = -4 below. Hence, every ninth graph of the sequence, starting from the eleventh graph (see Figure 20), is a UMRG which has not been described before.
- The description of the sequence by perfect matchings of $K_{3,3}$ fails (see Section 9.1). A simple characterization of the graph sequence now seems elusive—we need particular rules for different values of r.
- Moreover, it is not even possible to generate the graphs by successive vertex insertion in $K_{3,3}$ (consider the 11th and 12th graphs in Figure 20). Illustrations such as [8, Fig. 2], [15, Fig. 1] and [20, Fig. 2], which specify an ordering of the edges of $K_{3,3}$, therefore have to be abandoned.

Theorem 9.7. For each $n \ge 1$ and m = n + 3, there is a uniquely optimal graph in $G_{n,m}$, which also uniquely maximizes the number of spanning trees. This graph is a balanced weak subdivision of $K_{3,3}$ ("weak" is not needed when $n \ge 6$) which is specified up to isomorphism by the following set of additional conditions, where $m \equiv r \pmod{9}$ and $r \in [-4 ... 4]$.

• If $r \in \{0, \pm 1\}$, no further condition is needed.



Figure 20: The first fifteen uniquely optimal (n, n + 3)-graphs. The pattern continues and cycles every nine graphs. Red chains are one edge longer than blue ones; a blue vertex indicates the presence of one or more zero chains relative to $K_{3,3}$.

- If r ∈ {±2, ±3}, the two or three longer (for positive r) or shorter (for negative r) chains correspond to a matching in K_{3,3}.
- If r = 4, the four longer chains correspond to a 3-path and a 1-path, disjoint, in $K_{3,3}$.
- If r = -4, the four shorter chains correspond to two disjoint 2-paths in $K_{3,3}$.

Proof. We fix $n \ge 2$ and hence $m \ge 5$; the degenerate first case can be separately verified. $\mathcal{B}_m(K_{3,3})$ contains the balanced weak $K_{3,3}$ -subdivisions. Recall that |r| is the number of chains which are longer or shorter than the standard length q as defined by (8). If r = 0, all chains have the same length, so $\mathcal{B}_m(K_{3,3})$ contains only a single, perfectly balanced graph. There is also only one graph when $r = \pm 1$, since $K_{3,3}$ is edge-transitive.

Consider a balanced weighting of $K_{3,3}$ with the implied $G \in \mathcal{B}_m(K_{3,3})$. Suppose that $r \in \{\pm 2, \pm 3, \pm 4\}$. In general, different placements of the heavier (lighter) weights in $K_{3,3}$ will yield nonisomorphic weak subdivisions. A little thought reveals that the following alternatives, illustrated in Figure 21, exhaust $\mathcal{B}_m(K_{3,3})$. (If m = 5, then option B is not well-defined because of a zero cycle.)



Figure 21: Thick red edges signify chains which are all one edge longer or shorter than the others. The different arrangements are nonisomorphic balanced $K_{3,3}$ -subdivisions (when all chains are positive).

- If $r = \pm 2$: The two heavier (lighter) edges are either (*A*) adjacent, or (*B*) nonadjacent.
- If $r = \pm 3$: The three heavier (lighter) edges form either (*A*) a 3-star, (*B*) a 3-path, (*C*) a 2-path and a 1-path, or (*D*) a perfect matching.
- If $r = \pm 4$: The four edges as above form either (*A*) a 3-star with an extra edge attached, (*B*) a 4-cycle, (*C*) a 4-path, (*D*) a 3-path and a 1-path, or (*E*) two 2-paths.

The main work is to compare the above alternatives with regard to the number of 4-disconnections, which we, as usual, group by the size of the smallest bond. Since the number of (4, 2)-disconnections is the same for all $G \in \mathcal{B}_m(K_{3,3})$, we have

$$d_4(G) = d_{4,2} + d_{4,3}(G) + b_4(G), \qquad G \in \mathcal{B}_m(K_{3,3}), \tag{43}$$

where $d_{4,2}$ is a constant (and $b_4(G)$ equals $d_{4,4}(G)$ by definition).

We now expand upon the notation of Definition 15.

- Recall that $\pi^{v}(u)$ counts the number of edges incident to $u \in K_{3,3}$ which are either heavier or lighter than q, with sign.
- Let $d_{4,3}^{v}(u)$ denote the number of (4, 3)-disconnections of *G* which isolate *u* in $K_{3,3}$.
- Let $\pi^{e}(e)$ denote the number of edges adjacent to $e \in K_{3,3}$ which are either heavier or lighter than q, with sign.
- Let $b_4^e(e)$ denote the number of 4-bonds of *G* which isolate *e* in $K_{3,3}$.

Label the vertices of $K_{3,3}$ by $(u_i)_{i=1}^6$. Since *G* has only trivial 3-bonds, we have

$$d_{4,3}(G) = \sum_{i \in [1..6]} d_{4,3}^{v}(u_i).$$
(44)

Given a vertex $u \in K_{3,3}$, a disconnection of *G* counted by $d_{4,3}^v(u)$ contains a 3-bond isolating *u* (counted by $b_3^v(u)$, see Table 1) and a fourth edge from any chain not incident to *u*. There are 9q + r edges in *G*, and the total length of the chains incident to *u* is $3q + \pi^v(u)$. Hence, the number of possible choices for the fourth edge is $6q + r - \pi^v(u)$. It follows that the possible values of $d_{4,3}^v(u)$ are as given by Table 2.

By considering (44) and Table 2, we note that $d_{4,3}(G)$ is a quartic polynomial in q with leading coefficient 36. Furthermore, we note that the cubic coefficient of each term in (44) has a fixed part equaling r and a dependent part which equals $5\pi^{v}(u_i)$. Summing the six terms and using that the π^{v} -values sum to 2r by (11) gives us a cubic coefficient of $d_{4,3}(G)$ which equals 16r.

Regarding the quadratic coefficient of $d_{4,3}(G)$, consider that every incidence of a chain of length q + 1 or q - 1 to some vertex u is associated with a unit increase in the absolute value of $\pi^{v}(u)$, and hence, as can be read from Table 2, adds at least $(|r| - 1)q^2$ to the sum of (44). Recalling that the total number of such incidences is fixed and equals |2r|, we define $\varphi_{4,3}(\pi^{v}(u))$ to be the quadratic polynomial in q with leading coefficient equal to the part of the quadratic coefficient of $d_{4,3}^{v}(u)$ which exceeds $|\pi^{v}(u)|(|r| - 1)$ (see Table 2), and with linear and constant terms equal to the linear and constant terms of $d_{4,3}^{v}(u)$.

Applying the above considerations to (44) yields

$$d_{4,3}(G) = 36q^4 + 16rq^3 + |2r|(|r|-1)q^2 + \sum_{i \in [1..6]} \varphi_{4,3}(\pi^{\mathsf{v}}(u_i)), \quad G \in \mathcal{B}_m(K_{3,3}),$$
(45)

where the terms preceding the " φ -sum" are constant, but the π^{v} -values depend upon *G*.

We now turn our attention to $b_4(G)$. (The reasoning is analogous to the proof of Proposition 6.2 from (10) to (12).) Label the edges of $K_{3,3}$ by $(e_i)_{i=1}^9$, while separately keeping their weights. Since *G* only has trivial 4-bonds, we have

$$b_4(G) = \sum_{i \in [1..9]} b_4^{e}(e_i) \,. \tag{46}$$

Consider the possible values of $b_4^e(e_i)$, given in Table 3. Clearly, (46) is a quartic polynomial in q with the leading coefficient 9. Since every edge in $K_{3,3}$ is adjacent to four other edges, and there are |r| edges which can be counted by $\pi^e(\cdot)$, we have

$$\sum_{i \in [1..9]} \pi^{e}(e_i) = 4r.$$
(47)

Table 2: Functions for counting and comparing $d_{4,3}(G)$, the number of 4-disconnections in which the smallest bond is a 3-bond, in balanced weak subdivisions of $K_{3,3}$.

$\pi^{\mathrm{v}}(u)$	$oldsymbol{d}_{4,3}^{\mathrm{v}}(oldsymbol{u})$	$\varphi_{4,3}(\pi^{\mathrm{v}}(u))$
0	$q^3(6q+r) = 6q^4 + rq^3$	0
± 1	$(q \pm 1)^1 q^2 (6q + r \mp 1) = 6q^4 + (r \pm 5)q^3 + (r - 1)q^2$	0
± 2	$(q \pm 1)^2 q^1 (6q + r \mp 2) = 6q^4 + (r \pm 10)q^3 + (2(r - 1) + q^2) + (r \pm 10)q^3 + (2(r - 1))q^3 + ($	$(1^{2} + (1 - 2))$
	$(4)q^2 + (r+2)q$	$4q^{2} + (r+2)q$
± 3	$(q \pm 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1) + 1)^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1))^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1))^3(6q + r \mp 3) = 6q^4 + (r \pm 15)q^3 + (3(r - 1))^3(6q + r \mp 3)$	
	$12)q^2 + (3r \mp 3)q + (r - 3)$	$12q^2 + (3r \mp 3)q + (r - 3)$

-		
$\pi^{e}(e)$	$b_4^{ m e}(e)$	$arphi_{4,4}(\pi^{ ext{e}})$
0	$q^4=q^4$	0
± 1	$(q\pm1)^1q^3=q^4\pm q^3$	0
± 2	$(q\pm 1)^2 q^2 = q^4 \pm 2q^3 + q^2$	q^2
± 3	$(q \pm 1)^3 q = q^4 \pm 3q^3 + 3q^2 \pm q$	$3q^2 \pm q$
± 4	$(q \pm 1)^4 = q^4 \pm 4q^3 + 6q^2 \pm 4q + 1$	$6q^2 \pm 4q + 1$

Table 3: Functions for counting and comparing the number of trivial 4-bonds in balanced weak subdivisions of a given cubic, 3-edge-connected graph.

And since the cubic coefficient of $b_4^{\rm e}(e_i)$ always equals $\pi^{\rm e}(e_i)$, the cubic coefficient of (46) is 4*r*. We define $\varphi_{4,4}(\pi^{\rm e})$ to be the quadratic, linear and constant terms of $b_4^{\rm e}(\cdot)$, regarded as a function of $\pi^{\rm e}$. The possible values are given in Table 3. Applying our considerations to (46) yields

$$b_4(G) = 9q^4 + 4rq^3 + \sum_{i \in [1..9]} \varphi_{4,4}(\pi_i^e) \quad G \in \mathcal{B}_m(K_{3,3}),$$
(48)

where only $\pi_i^e = \pi^e(e_i)$ depends upon *G*.

Substitute (45) and (48) into (43) to obtain

$$d_4(G) = K + \sum_{i \in [1..6]} \varphi_{4,3}(\pi_i^{v}) + \sum_{j \in [1..9]} \varphi_{4,4}(\pi_j^{e}) = K + \Phi_{4,3}(G) + \Phi_{4,4}(G), \quad G \in \mathcal{B}_m(K_{3,3}),$$
(49)

where *K* is a constant and $\Phi_{4,3}(\cdot)$ and $\Phi_{4,4}(\cdot)$ are defined by the preceding respective sums. Hence, $d_4(\cdot)$ is minimized in $\mathcal{B}_m(K_{3,3})$ if and only if the sum $\Phi_{4,3} + \Phi_{4,4}$, which we denote by Φ_4 , is minimized. In Table 4, this sum is calculated from the multisets $[\pi_i^v]$ and $[\pi_j^e]$ by summing the values of $\varphi_{4,3}$ and $\varphi_{4,4}$ according to Table 2 and 3. (The multisets are obtained by inspecting the vertices and edges of the graphs in Figure 21.)

Furthermore, $d_3(\cdot)$ is minimized over $\mathcal{B}_m(K_{3,3})$ if and only if $K_{3,3}$ has balanced π^v -values. This is by Proposition 6.2, except for the *only if* direction when m = 5, which is not strictly needed, but can easily by verified for the sake of Table 4.

Table 4: For each possible graph $G \in \mathcal{B}_m(K_{3,3})$: The π^v - and π^e -multisets (superscripts for multiplicity), the resulting Φ -values, and whether G minimizes d_4 (by minimizing Φ_4) and minimizes d_3 (by balanced π^v -values).

r	G	$[\pi^{\mathrm{v}}_i]_{i=1}^6$	$[\pi^{\mathrm{e}}_i]_{i=1}^9$	$\Phi_{4,3}$	$\Phi_{4,4}$	Φ_4	d_4	<i>d</i> ₃
± 2	A	$\pm [2^1 1^2 0^3]$	$\pm [2^1 1^6 0^2]$	$4q^2$	q^2	$5q^2$	×	X
	В	$\pm [1^4 0^2]$	$\pm [2^2 1^4 0^3]$	0	$2q^2$	$2q^2$	\checkmark	✓
± 3	A	$\pm [3^1 1^3 0^2]$	$\pm [2^3 1^6]$	$12q^2 \pm 6q$	$3q^2$	$15q^2 \pm 6q$	X	X
	В	$\pm [2^2 1^2 0^2]$	$\pm [2^4 1^4 0^1]$	$2(4q^2 \pm q)$	$4q^2$	$12q^2 \pm 2q$	X	X
	С	$\pm [2^1 1^4 0^1]$	$\pm [3^1 2^2 1^5 0^1]$	$4q^2 \pm q$	$(3q^2 \pm q) + 2q^2$	$9q^2 \pm 2q$	X	X
	D	$\pm [1^6]$	$\pm [2^6 0^3]$	0	$6q^2$	$6q^2$	1	✓
± 4	A	$\pm [3^1 2^1 1^3 0^1]$	$\pm [3^1 2^5 1^3]$	$_{+(4q^2\pm 2q)}^{(12q^2\pm 9q+1)}$	$(3q^2 \pm q) + 5q^2$	$24q^2 \pm 12q + 1$	×	×
	В	$\pm [2^4 0^2]$	$\pm [2^8 0^1]$	$4(4q^2 \pm 2q)$	$8q^2$	$24q^2 \pm 8q$	X	X
	С	$\pm [2^3 1^2 0^1]$	$\pm [3^2 2^3 1^4]$	$3(4q^2 \pm 2q)$	$2(3q^2 \pm q) + 3q^2$	$21q^2 \pm 8q$	X	X
	D	$\pm [2^2 1^4]$	$\pm [3^2 2^4 1^2 0^1]$	$2(4q^2 \pm 2q)$	$2(3q^2 \pm q) + 4q^2$	$18q^2 \pm 6q$	√ / X	\checkmark
	Ε	$\pm [2^2 1^4]$	$\pm [4^1 2^4 1^4]$	$2(4q^2 \pm 2q)$	$(6q^2 \pm 4q + 1) + 4q^2$	$18q^2 \pm 8q + 1$	X / √	✓

We find in Table 4 that, with *r* given, there is exactly one graph $G \in \mathcal{B}_m(K_{3,3})$ which minimizes $d_4(\cdot)$, and that this graph also minimizes $d_3(\cdot)$. By Proposition 9.5 and 9.6 we conclude that *G* minimizes $d_2(\cdot)$, minimizes $d_3(\cdot)$ and uniquely minimizes $d_4(\cdot)$ in the entire set $\mathcal{G}_{n,m}$. Hence, this graph, as described by Theorem 9.7, is uniquely optimal.

10 Results and conjectures for k = 4 and k = 5

The methods of this paper can be used to obtain partial solutions to the reliability problem for k = 4 and k = 5, and hopefully for even larger k. We do not aim to exhaust the possibilities here, and it will be clear that already the cases k = 4, 5 present new challenges.

10.1 Intriguing *p*-dependencies for Wagner subdivisions (k = 4)

In a long-standing conjecture by Ath and Sobel [1], a particular sequence of successive vertex insertions into the Wagner graph (Figure 22) was ventured to yield uniformly optimal graphs (as is the case for K_4 and as was believed to be the case for $K_{3,3}$). While Romero [19] demonstrated the Wagner graph itself to be uniquely optimal (this is clear from the proof) among simple graphs, Romero and Safe [22] subsequently revealed that Ath and Sobel's conjecture fails for every twelfth subdivision, where there in fact do not exist uniquely optimal graphs. By the end of this section, we will be ready to state a new conjecture which gives a rather different picture of the (k = 4)-case.



Figure 22: All known *p*-optimal (n, n + 4)-graphs are weak subdivisions of the Wagner graph *W*, which is the 4-Möbius ladder. The thick blue edges are *rungs*, the others are *rails*.

The main result of [22] is reformulated and extended to multigraphs in Theorem 10.1 below, with a supplementary proof. Theorem 10.2 then gives a new infinite set of *m*-values for which there is no uniquely optimal (m - 4, m)-graph. While the two theorems have the same structure, there are intriguing differences between them which indicate different mechanisms at play. While the former theorem gives comparatively small values of *m* for which there is no uniquely optimal graph, the latter theorem strongly suggests that there can be only finitely many uniquely optimal (m - 4, m)-graphs.

Theorem 10.1 (Romero and Safe [22]). Consider $\mathcal{G}_{12q-8,12q-4}$, where $q \ge 2$.

- (a) There is a unique graph G which is p-optimal for sufficiently large p. This graph is the balanced W-subdivision in which the four shorter chains correspond to a perfect matching of rails.
- (b) A rearrangement of the rail chains of G gives a different balanced subdivision of W with more spanning trees, which is therefore strictly more reliable than G for sufficiently small p. Hence, there is no uniquely optimal graph in $G_{12q-8,12q-4}$.

Remarks. (1) Originally about simple graphs, the supplementary proof below ensures that the theorem holds for multigraphs. (2) The proof in [22] uses Theorem 10 of Wang and Zhang [25], which we consider unreliable (see discussion in Section 6.2). With the supplement below, there is no dependency upon [25] (nor upon any other paper).

Supplementary proof of Theorem 10.1 (see remarks above). To identify the graphs which are *p*-optimal for sufficiently large *p* (henceforth: *large-p-optimal*), we want to successively minimize the coefficients $d_i(\cdot)$ of (2) for *i* up to 5 (the first is trivial). By Theorem 6.1(a), the graphs which minimize $d_2(\cdot)$ are the balanced subdivisions denoted by $\mathcal{B}_m(\mathcal{D}_4)$, where m = 12q - 4. By Theorem 6.3, subdivisions of \mathcal{D}_4 -graphs which contain a 3-cycle (see Figure 10) cannot minimize $d_3(\cdot)$, since the cycles imply nontrivial 3-bonds. The remaining distillations are the Wagner graph *W* and the Cube *C*.

By the same proposition, $d_3(\cdot)$ is minimized in $\mathcal{B}_m(W, C)$ exactly when the correspondingly weighted W or C has balanced π^v -values. We have four edges of weight q - 1, since r = -4, and eight vertices, so the lighter edges should form a perfect matching in W or C. There are three "nonisomorphic" perfect matchings in W and two in C, as shown in [22], so we have five graphs remaining. Among them, it was also shown that $d_4(\cdot)$ is minimized exclusively by the W-subdivision for which the perfect matching is contained in the 8-cycle. This proves part (a).

Now, let $G' \in \mathcal{G}_{12q-8,12q-4}$ be the balanced subdivision of W such that, going around the cycle of rails, the corresponding chains have lengths $(\tilde{q}, q, \tilde{q}, q, q, \tilde{q}, q, \tilde{q}, q, \tilde{q})$, where $\tilde{q} = q - 1$. By showing that $d_5(G') < d_5(G)$, one obtains (b).

The heuristics behind Theorem 10.2 below, which we now explain, strongly suggests that for sufficiently large *m*, there is no set $\mathcal{G}_{m-4,m}$ with a uniquely optimal graph. The Wagner graph, which is the 4-Möbius ladder, is not edge-transitive; by Lemma 10.3, the rails belong to slightly more spanning trees than the rungs. For *W*-subdivisions, this should mean that the rails are "more important" for connectedness when *p* is small, and hence that the rail chains should be slightly shorter than the rung chains—presumably by a constant factor—to maximize R(G, p). In Proposition 10.4(b), a continuous version of the problem will suggest $\sqrt{10} - 2$ as the proportionality constant.

Theorem 10.2. Consider $\mathcal{G}_{12q-4,12q}$, where $q \ge 1$.

- (a) For sufficiently large p, there is a unique p-optimal graph, namely the perfectly balanced W-subdivision G.
- (b) For $q \ge 8$, there is an imbalanced subdivision of W with more spanning trees than G, which is therefore strictly more reliable than G for sufficiently small p. Hence, there is no uniquely optimal graph in $G_{12q-4,12q}$.

Proof of part (a). As in the proof of Theorem 10.1, we will successively minimize $d_2(\cdot)$, $d_3(\cdot)$ and $d_4(\cdot)$. Since m = 12q, there is only one balanced graph for each of the distillations in \mathcal{D}_4 , and by Theorem 6.1(a), these are exactly the graphs which minimize $d_2(\cdot)$. Among these four graphs, $d_3(\cdot)$ is minimized exclusively by the subdivision of W and that of the Cube C, by Theorem 6.3 (the π^v -condition is vacuous). Since the chains have length q, we denote the former graph by W_q and the latter by C_q .

We now wish to show $d_4(C_q) - d_4(W_q) > 0$. A pairing of the chains of W_q and C_q induces a pairing of the (4, 2)-disconnections, so $d_{4,2}(W_q) = d_{4,2}(C_q)$. All 3-bonds of W_q and C_q are trivial, and it is easy to see that $d_{4,3}(W_q) = d_{4,3}(C_q)$. We also note that the graphs have the same number of trivial 4-bonds. Denoting the number of nontrivial 4-bonds by $b_4^n(\cdot)$, we therefore have $d_4(C_q) - d_4(W_q) = b_4^n(C_q) - b_4^n(W_q)$. By inspection, one can see that both W and C has exactly one nontrivial 4-bond for each pair of disjoint 4-cycles, so $b_4^n(W) = 2$ and $b_4^n(C) = 3$, and hence $b_4^n(W_q) = 2q^4$ and $b_4^n(C_q) = 3q^4$. Therefore, $d_4(C_q) - d_4(W_q) = q^4 > 0$, and we have shown that W_q is the unique large-p-optimal (12q - 4, 12q)-graph.

Proof of part (b). In W_q , we now fix a rail chain which we denote by ℓ and a rung chain adjacent to ℓ which we denote by g. Let W'_q denote the graph obtained by moving an edge from ℓ to g.

We show that W'_q has more spanning trees than W_q , i.e. that $t(W'_q) - t(W_q) > 0$. Considering that each spanning tree of W_q and W'_q naturally maps to a spanning tree of W, we can obtain $t(W_q)$ and $t(W'_q)$ from the spanning trees of W and the chain lengths of W_q and W'_q , respectively. (For each spanning tree of W, the relevant chains are those which do *not* map to edges in the tree.)

As is well known, t(W) = 392; this also follows from Lemma 10.3(a) below. Hence, $t(W_q) = 392q^5$. Using Lemma 10.3(b), we similarly obtain that $t(W'_q) = 114q^5 + 117(q+1)q^4 + 110(q-1)q^4 + 51(q-1)(q+1)q^3$. It follows that

$$t(W'_q) - t(W_q) = (117 - 110)q^4 - 51q^3 = (7q - 51)q^3,$$
(50)

which is positive since $q \ge 8$. By Proposition 2.1(b), W'_q is strictly more reliable than W_q for sufficiently small p.

Lemma 10.3. (a) Let t_i be the number of spanning trees of W which contain exactly *i* rungs. Then $(t_i)_0^4 = (8, 64, 160, 128, 32)$. (b) Let g be a particular rung of W and let ℓ be a rail adjacent to g. Out of the 392 spanning trees of W, 114 contain both ℓ and g, 117 contain ℓ but not g, 110 contain g but not ℓ and 51 contain neither ℓ nor g.

Proof. The above lemma can of course be verified more or less manually. We will give one way to systematically count the spanning trees of W and obtain the desired numbers. Out of the spanning trees (henceforth, just "trees") with exactly *i* rungs, counted by t_i , let t_i^g count those which contain g, let t_i^ℓ count those which contain ℓ and let $t_i^{g\ell}$ count those which contain both. By symmetry we have, $t_i^g = \frac{i}{4}t_i$, and since a spanning tree with *i* rungs contains 7 - i rails, we likewise have $t_i^\ell = \frac{7-i}{8}t_i$. We refer to the representation of W in Figure 22 and consider in turn i = 0, 1, 2, 3, 4.

i = 0: Deleting all rungs gives an 8-cycle of rails, and subsequently deleting any rail gives a tree. Hence, $t_0 = 8$, $t_0^{\ell} = 7$, $t_0^{g} = 0$ and $t_0^{g\ell} = 0$.

i = 1: The rung g partitions the rail cycle into two parts. Combine g with three out of the four rails on each side to obtain a tree. Hence, $t_1^g = 16$. One-fourth of these trees do not include ℓ , so $t_1^{g\ell} = 12$.

i = 2: Let $t_2^g = t_{2'}^g + t_{2''}^g$, where $t_{2'}^g$ counts the trees in which the two rungs belong to the same 4-cycle and $t_{2''}^g$ those in which they do not (and so, in our picture, form a cross). For $t_{2''}^g$, the two rungs partition the rails into four sets of two. Choose one set to connect the rungs and then choose one rail from each of the three remaining sets. This gives $t_{2''}^g = 4 \cdot 2^3 = 32$. Five-eighths of these contain ℓ , so $t_{2''}^{g\ell} = 20$. For $t_{2'}^g$, the two rungs partition the rails into two sets of three and two singletons, and the second rung determines whether ℓ is in a 3-set or single. Suppose that ℓ is in a 3-set. The rungs must be connected by either a singleton or by a 3-set. In the former case, choose a singleton and then two out of three edges from each 3-set. This gives 18 trees, 12 of which contain ℓ . In the latter case, choose a 3-set and then two edges from the other 3-set. This gives 6 trees, 5 of which contain ℓ . Now suppose that ℓ is a singleton. This likewise gives 24 trees, but only 9 (half of the trees in "the former case") contain ℓ . The cases sum to $t_{2'}^g = 48$ and $t_{2'}^{g\ell} = 26$, and finally to $t_2^g = 80$ and $t_2^{g\ell} = 46$.

i = 3: Three rungs partition the rails into four singeltons and two sets of two. Starting with g, there are two ways to choose two more rungs so that ℓ is a singleton, and one way so that ℓ is in a 2-set. Suppose that ℓ is a singleton. Either there is a 2-set with both edges included in the tree, or there is not. In the former case, choose such a 2-set, then choose one edge from the other 2-set, and finally choose one of the remaining four rails. This gives $2^3 \cdot 4 = 32$ trees, 8 of which contain ℓ . In the latter case, choose one edge from each of the 2-sets, and then

choose two out of the remaining four rails without creating a 4-cycle. This gives $2^3(6-2) = 32$ trees, 16 of which contain ℓ . Now suppose that ℓ is in a 2-set. In "the former case" above, there are 16 trees, 12 of which contain ℓ . In "the latter case", there are 16 trees, 8 of which contain ℓ . All in all, $t_3^g = 96$ and $t_3^{g\ell} = 44$.

i = 4: The trees are obtained by choosing three rails without creating a cycle, which can only be created by including two opposite rails. Hence, $t_4^g = 32$, and three-eighths include ℓ , so $t_4^{g\ell} = 12$.

Putting our numbers together and using the relations between t_i , t_i^g and t_i^ℓ , we obtain $(t_i)_0^4 = (8, 64, 160, 128, 32), (t_i^g)_0^4 = (0, 16, 80, 96, 32), (t_i^\ell)_0^4 = (7, 48, 100, 64, 12)$ and $(t_i^{g\ell})_0^4 = (0, 12, 46, 44, 12)$. By summing these sequences, W has 392 trees, out of which 224 contain g, 231 contain ℓ and 114 contain both. Hence, 110 trees contain g but not ℓ , 117 contain ℓ but not g, and 392 - (114 + 110 + 117) = 51 contain neither ℓ nor g.

The next proposition relates the number of spanning trees to how the edges are distributed between the rails and rungs, and motivates Conjecture 1(d).

Proposition 10.4.

(a) Consider a subdivision G of the Wagner graph, in which the rail chains have length ℓ and the rung chains have length g. The number of spanning trees of G is

$$t(G) = 8g^4\ell + 64g^3\ell^2 + 160g^2\ell^3 + 128g\ell^4 + 32\ell^5.$$
 (51)

(b) Consider a weighted Wagner graph G, where the rail weights ℓ and the rung weights g are positive real numbers. Fixing m = 8ℓ + 4g and then letting ℓ vary, the above expression t(G) is maximized if and only if

$$\frac{g}{\ell} = \sqrt{10} - 2.$$
 (52)

Proof of part (a). Given a spanning tree of the Wagner graph with exactly *i* rungs, there are 4 - i rungs and i + 1 rails which are not contained in the tree. Consider that the spanning trees of *W* induce a partitioning of the spanning trees of *G* and use Lemma 10.3(a) to obtain (51).

Proof of part (b). Using $m = 8\ell + 4g$, we substitute g with $m/4 - 2\ell$ in (51) and simplify to obtain

$$t(G) = \frac{1}{32}\ell \left(m^2 - 32\ell^2\right)^2.$$
 (53)

Since ℓ and g are positive, $\ell \in (0, m/8)$. By elementary calculus, the maximum of (53) is obtained for $\ell = m/(4\sqrt{10})$ which yields $g = (\sqrt{10} - 2)m/(4\sqrt{10})$ and hence $g/\ell = \sqrt{10} - 2$.

Considering the above results, we would like to propose the following progression of specifications, which still do not paint a complete picture, of the *p*-optimal (k = 4)-graphs.

Conjecture 1. Consider the sets $\mathcal{G}_{m-4,m}$, for $m \geq 4$.

- (a) Every *p*-optimal graph is a weak subdivision of the Wagner graph.
- (b) For sufficiently large p, there is a unique p-optimal graph (necessarily balanced).
- (c) In every p-optimal graph, the set of rung chains and the set of rail chains are each balanced.
- (d) As p decreases and m increases, the optimal ratio between the "average" rung chain length and the "average" rail chain length should approach $\sqrt{10} 2$.
- (e) As a consequence, a uniquely optimal graph exists for only finitely many m.

10.2 A weakened conjecture about Petersen subdivisions (k = 5)

The famous Petersen graph (see Figure 23), denoted by *P*, is well known to be the smallest cubic graph of girth at least 5, and it has only trivial 3- and 4-bonds. Since cycles of length 3 or 4 give rise to nontrivial bonds in cubic graphs (with exceptions when $n \le 6$), the Petersen graph seems especially promising as a distillation for *p*-optimal graphs. Furthermore, *P* itself has been shown to be uniformly optimal among simple (10, 15)-graphs [18].



Figure 23: Two representations of the Petersen graph *P*.

Ath and Sobel also conjectured a particular sequence of successive subdivisions of *P* to yield uniformly optimal (m-5, m)-graphs [1]. However, Proposition 6.2 together with Theorem 6.1(a) easily disproves the conjecture for all *m* such that $m \equiv 5$ or $m \equiv 10$ modulo 15. For such *m*, the specified subdivisions (Figure 24) do not give balanced π^v -values, which implies that they do not minimize $d_3(\cdot)$ among the necessarily bridgeless graphs minimizing $d_2(\cdot)$. Hence, they fail to be large-*p*-optimal.

We also note that the conjectures given in [1] for uniformly most reliable (n, n + 6)-graphs and (n, n + 7)-graphs both fail in an infinite number of cases for the exact same reason. These conjectures concern subdivisions of two graphs known as the *Yutsis 18j-symbol F* (named as one of Adolfas Jucys's angular momentum diagrams), which is uniquely optimal among simple (12, 18)-graphs [6], and the *Heawood graph* in $\mathcal{G}_{14,21}$.

That the particulars fail for the conjecture in [1] does not guarantee that there are no other uniquely optimal subdivisions of P (or the Yutsis or the Heawood graph) for the given values of m. However, as shown in Proposition 10.5 below, there is a weak P-subdivision in $\mathcal{G}_{6,11}$ which is large-p-optimal but not small-p-optimal. In light of this, we would like to suggest the following weaker conjecture regarding the case k = 5. (The second statement below follows from the first since P is edge transitive.)

Conjecture 2. For fixed $n \ge 1$ and m = n + 5, and for each p, every p-optimal (n, m)-graph is a balanced weak subdivision of the Petersen graph. In particular, a uniquely optimal graph exists for each m = 15q + r where $q \ge 1$ and $r \in \{-1, 0, 1\}$.

The following proposition mainly extends a result by Myrvold [17] to multigraphs. The paper describes a sequence of graph pairs, obtained by edge deletion in K_n for $n \ge 6$, which



Figure 24: Representations of two infinite sets of balanced Petersen-subdivisions which fail to be uniformly optimal, contrary to a conjecture. Edges represent chains, where thick red chains are one edge longer than black. The different numbers of red chains at the encircled vertices shows that none of the graphs minimize the number of disconnecting sets of size 3.

demonstrates that UMRGs do not always exist among simple graphs. (While only the particular pair with exceedance 5 is explicitly covered below, our argument can be adapted to the subsequent graphs.) A half-overlapping similar sequence had already been found by Kelmans [14], with a focus on spanning trees and proving small-p-optimality rather than large-p-optimality. The crucial claim is in the proof of [14, Theorem 3.3] and can be traced to [13], where it seems to us that the proof does not apply to multigraphs.

Proposition 10.5. There is a unique large-*p*-optimal (6, 11)-graph G (shown in Figure 25) which is a weak subdivision of P, the Petersen graph. A different weak P-subdivision $G' \in G_{6,11}$ has a larger number of spanning trees, and is therefore strictly more reliable than G for sufficiently small p. In particular, there is no uniquely optimal graph in $G_{6,11}$.



Figure 25: For the (6, 11)-graphs, *p*-optimality depends upon *p*. The large-*p*-optimal graph is *G*, while G' is small-*p*-optimal among simple graphs and likely among multigraphs. Both are weak subdivisions of the Petersen graph (cf. Figure 23).

Proof. G and *G*['], shown in Figure 25, are 3-edge-connected (6, 11)-graphs. The vertex labels indicate how the two graphs can be obtained from the Petersen graph in Figure 23 by edge contraction, which shows that they are balanced weak subdivisions of *P*.

By considering their complements, it is easy to see that *G* and *G'* are the only two simple graphs with degree sequence (4, 4, 4, 4, 3, 3). *G* has no 2-bonds and two 3-bonds (the trivial ones), and clearly, the degree sequence of *G* and *G'* is necessary to have no 2-bonds and at most two 3-bonds in $\mathcal{G}_{6,11}$. Since *G* furthermore has no 4-bonds, while *G'* has one (isolating its two adjacent 3-vertices), *G* alone minimizes $d_2(\cdot)$, $d_3(\cdot)$ and $d_4(\cdot)$ among simple (6, 11)-graphs.

We now need to show that $\mathcal{G}_{6,11}$ has no large-*p*-optimal graph with a multiple edge. Since the degree sequence of *G* is necessary to be large-*p*-optimal, suppose that $G'' \in \mathcal{G}_{6,11}$ has the same degree sequence and a multiple edge between x_1 and x_2 . Then, x_1 and x_2 can be isolated from the other vertices by a nontrivial 2-, 3- or 4-bond. This implies that at least one of $d_2(\cdot)$, $d_3(\cdot)$ and $d_4(\cdot)$ is not minimized by G'', so that R(G, p) > R(G'', p) for *p* sufficiently large.

The proof is finished by verifying that t(G') > t(G) (see [17] or use e.g. Kirchhoffs matrix tree theorem to obtain t(G') = 225 and t(G) = 224).

Remark. MacAssey and Samaniego [16] studied the reliability of simple (6, 11)-graphs under a different model: All edges have *lifetimes* which are independent and identically distributed random variables. It was shown that, with G and G' as in Figure 25, the probability that G' fails before G exceeds 1/2. In this weaker sense, called *stochastic precedence*, G was shown to be the single most reliable simple (6, 11)-graph.

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