

# ON A MONGE-AMPÈRE OPERATOR FOR PLURISUBHARMONIC FUNCTIONS WITH ANALYTIC SINGULARITIES

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ABSTRACT. We study continuity properties of generalized Monge-Ampère operators for plurisubharmonic functions with analytic singularities. In particular, we prove continuity for a natural class of decreasing approximating sequences. We also prove a formula for the total mass of the Monge-Ampère measure of such a function on a compact Kähler manifold.

## 1. INTRODUCTION

We say that a plurisubharmonic (psh) function  $u$  on a complex manifold  $X$  has *analytic singularities* if locally it can be written in the form

$$(1.1) \quad u = c \log |F| + b,$$

where  $c \geq 0$  is a constant,  $F = (f_1, \dots, f_m)$  is a tuple of holomorphic functions, and  $b$  is bounded. For instance, if  $f_j$  are holomorphic functions and  $a_j$  are positive rational numbers, then  $\log(|f_1|^{a_1} + \dots + |f_m|^{a_m})$  has analytic singularities.

By the classical Bedford-Taylor theory, [5, 6], if  $u$  is of the form (1.1), then in  $\{F \neq 0\}$ , for any  $k$ , one can define a positive closed current  $(dd^c u)^k$  recursively as

$$(1.2) \quad (dd^c u)^k := dd^c(u(dd^c u)^{k-1}).$$

It was shown in [3] that  $(dd^c u)^k$  has locally finite mass near  $\{F = 0\}$  for any  $k$  and that the natural extension  $\mathbf{1}_{\{F \neq 0\}}(dd^c u)^{k-1}$  across  $\{F = 0\}$  is closed, cf. [3, Eq. (4.8)]. Moreover, by [3, Proposition 4.1],  $u\mathbf{1}_{\{F \neq 0\}}(dd^c u)^{k-1}$  has locally finite mass as well, and therefore one can define the Monge-Ampère current

$$(1.3) \quad (dd^c u)^k := dd^c(u\mathbf{1}_{\{F \neq 0\}}(dd^c u)^{k-1})$$

for any  $k$ .

Demailly, [17] extended Bedford-Taylor's definition (1.2) to the case when the unbounded locus of  $u$  is small compared to  $k$  in a certain sense; in particular, if  $u$  is as in (1.1), then  $(dd^c u)^k$  is well-defined in this way as long as  $k \leq \text{codim } \{F = 0\} =: p$ . Since, a positive closed current of bidegree  $(k, k)$  with support on a variety of codimension  $> k$  vanishes,

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$\mathbf{1}_{\{F \neq 0\}}(dd^c u)^k = (dd^c u)^k$  for  $k \leq p-1$ , and it follows that (1.3) coincides with (1.2) for  $k \leq p$ .

Recall that the Monge-Ampère operators  $(dd^c u)^k$  defined by Bedford-Taylor-Demailly have the following continuity property: if  $u_j$  is a decreasing sequence of psh functions converging pointwise to  $u$ , then  $(dd^c u_j)^k \rightarrow (dd^c u)^k$  weakly. Moreover, a general psh function  $u$  is said to be in the domain of the Monge-Ampère operator  $\mathcal{D}(X)$  if, in all open sets  $\mathcal{U} \subset X$ ,  $(dd^c u_j)^n$  converge to the same Radon measure for all decreasing sequences of smooth psh  $u_j$  converging to  $u$  in  $\mathcal{U}$ . The domain  $\mathcal{D}(X)$  was characterized in [10, 11]; in case  $X$  is a hyperconvex domain in  $\mathbb{C}^n$   $\mathcal{D}(X)$  coincides with the Cegrell class, [14].

In this paper we study continuity properties of the Monge-Ampère operators  $(dd^c u)^k$  defined by (1.3). It is not hard to see that general psh functions with analytic singularities do not belong to  $\mathcal{D}(X)$ , cf. Examples 3.2 and 3.4 below, and therefore we do not have continuity for all decreasing sequences in general. Our main result, however, states that continuity does hold for a large class of natural approximating sequences. It thus provides an alternative definition of  $(dd^c u)^k$ , and at the same time gives further motivation for that this Monge-Ampère operator is indeed natural.

**Theorem 1.1.** *Let  $u$  be a negative psh function with analytic singularities on a manifold of dimension  $n$ . Assume that  $\chi_j(t)$  is a sequence of bounded nondecreasing convex functions defined for  $t \in (-\infty, 0)$  decreasing to  $t$  as  $j \rightarrow \infty$ . Then for every  $k = 1, \dots, n$  we have weak convergence of currents*

$$(dd^c(\chi_j \circ u))^k \longrightarrow (dd^c u)^k$$

as  $j \rightarrow \infty$ .

For instance, we can take  $\chi_j = \max(t, -j)$  or  $\chi_j = (1/2) \log(e^{2t} + 1/j)$ . Applied to  $u = \log |F|$  and  $\chi_j = (1/2) \log(e^{2t} + 1/j)$  Theorem 1.1 says that

$$(dd^c(1/2) \log(|F|^2 + 1/j))^k \rightarrow (dd^c \log |F|)^k,$$

which was in fact proved already in [2, Proposition 4.4].

By a resolution of singularities the proofs of various local properties of Monge-Ampère currents for psh functions with analytic singularities can be reduced to the case of psh functions with *divisorial singularities*, i.e., psh functions that locally are of the form  $u = c \log |f| + v$ , where  $c \geq 0$ ,  $f$  is a holomorphic function and  $v$  is bounded. Since  $\log |f|$  is pluriharmonic on  $\{f \neq 0\}$ , in fact,  $v$  is psh. In Section 3 we prove Theorem 1.1 for  $u$  of this form; in this case

$$(1.4) \quad (dd^c u)^k = dd^c(u(dd^c v)^{k-1}) = dd^c u \wedge (dd^c v)^{k-1}.$$

Note that, in light of the Poincaré-Lelong formula,

$$(dd^c u)^k = [f = 0] \wedge (dd^c v)^{k-1} + (dd^c v)^k,$$

where  $[f = 0]$  is the current of integration along  $\{f = 0\}$  counted with multiplicities.

Our definition of  $(dd^c u)^k$  thus relies on the possibility to reduce to the quite special case with divisorial singularities. It seems like an extension to more general psh  $u$  must involve some further ideas, cf., Section 6.

We also study psh functions with analytic singularities on compact Kähler manifolds. Recall that if  $(X, \omega)$  is such a manifold then a function  $\varphi: X \rightarrow \mathbb{R} \cup \{-\infty\}$  is called  $\omega$ -plurisubharmonic ( $\omega$ -psh) if locally the function  $g + \varphi$  is psh, where  $g$  is a local potential for  $\omega$ , i.e.,  $\omega = dd^c g$ . Equivalently, one can require that  $\omega + dd^c \varphi \geq 0$ . We say that an  $\omega$ -psh function  $\varphi$  has analytic singularities if the functions  $g + \varphi$  have analytic singularities. Note that such a  $\varphi$  is locally bounded outside an analytic variety  $Z \subset X$  that we will refer to as the singular set of  $\varphi$ . If  $\varphi$  is an  $\omega$ -psh function with analytic singularities, we can define a global positive current  $(\omega + dd^c \varphi)^k$ , by locally defining it as  $(dd^c(g + \varphi))^k$ , see Lemma 5.1. We will prove the following formula for the total Monge-Ampère mass:

**Theorem 1.2.** *Let  $\varphi$  be an  $\omega$ -psh function with analytic singularities on a compact Kähler manifold  $(X, \omega)$  of dimension  $n$ . Let  $Z$  be the singular set of  $\varphi$ . Then*

$$(1.5) \quad \int_X (\omega + dd^c \varphi)^n = \int_X \omega^n - \sum_{k=1}^{n-1} \int_X \mathbf{1}_Z (\omega + dd^c \varphi)^k \wedge \omega^{n-k}.$$

In particular,

$$(1.6) \quad \int_X (\omega + dd^c \varphi)^n \leq \int_X \omega^n.$$

*Remark 1.3.* Let  $\varphi$  be a general  $\omega$ -psh function such that the Bedford-Taylor-Demailly Monge-Ampère operator  $(\omega + dd^c \varphi)^n$  is well-defined; if  $\varphi$  has analytic singularities, this means that the singular set has dimension 0. Then it follows from Stokes' theorem that equality holds in (1.6).  $\square$

To see that in general there is not equality in (1.6) consider the following simple example:

*Example 1.4.* Let  $X$  be the projective space  $\mathbb{P}^n$  with the Fubini-Study metric  $\omega$  and let  $n \geq 2$ . Define

$$\varphi([z_0 : z_1 : \dots : z_n]) := \log \left( \frac{|z_1|}{|z|} \right), \quad z \in \mathbb{C}^{n+1} \setminus \{0\}.$$

Since  $(dd^c \log |z_1|)^n = 0$  in  $\mathbb{C}^{n+1}$ , cf. (1.3), it follows that  $(\omega + dd^c \varphi)^n = 0$  on  $\mathbb{P}^n$ .  $\square$

In Section 5 we provide a geometric interpretation of Theorem 1.2 which in particular shows that inequality in (1.6) is not an "exceptional case".

The paper is organized as follows. In Section 2 we prove a continuity result for currents of the form

$$u dd^c v_1 \wedge \dots \wedge dd^c v_k,$$

where  $u$  is psh and  $v_1, \dots, v_k$  are locally bounded psh, defined by Demailly [15], cf. (1.4). In Section 3 we prove Theorem 1.1 for functions with divisorial singularities and we also characterize when such functions are maximal. The general case of Theorem 1.1 is proved in Section 4. In Section 5 we prove Theorem 1.2. Finally in Section 6 we make some further remarks.

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## 2. CONTINUITY OF CERTAIN MONGE-AMPÈRE CURRENTS

In the seminal paper [6] Bedford and Taylor, see [6, Theorem 2.1], showed that, for  $k = 1, \dots, n$  and locally bounded psh functions  $u, v_1, \dots, v_k$  on a manifold  $X$  of dimension  $n$ , the current

$$(2.1) \quad u dd^c v_1 \wedge \dots \wedge dd^c v_k$$

is well-defined and continuous for decreasing sequences. Demailly generalized their definition to the case when  $u$  is merely psh; he proved that the current (2.1) has locally finite mass, see [15, Theorem 1.8]. Here we prove the corresponding continuity result.

**Theorem 2.1.** *Assume that  $u^j$  is a sequence of psh functions decreasing to a psh function  $u$  and that for  $\ell = 1, \dots, k$  the sequence  $v_\ell^j$  of psh functions decreases to a locally bounded psh  $v_\ell$  as  $j \rightarrow \infty$ . Then*

$$u^j dd^c v_1^j \wedge \dots \wedge dd^c v_k^j \longrightarrow u dd^c v_1 \wedge \dots \wedge dd^c v_k$$

*weakly as  $j \rightarrow \infty$ .*

*Proof.* By the Bedford-Taylor theorem we have weak convergence

$$S^j := dd^c v_1^j \wedge \dots \wedge dd^c v_k^j \longrightarrow dd^c v_1 \wedge \dots \wedge dd^c v_k =: S.$$

By [15, Theorem 1.8] the sequence  $u^j S^j$  is locally weakly bounded and thus it is enough to show that, if  $u^j S^j \rightarrow \Theta$  weakly, then  $\Theta = uS$ .

Take an elementary positive form  $\alpha$  of bidegree  $(n-k, n-k)$  and fix  $j_0$  and  $\varepsilon > 0$ . Then for  $j \geq j_0$  we have

$$u^j S^j \wedge \alpha \leq u^{j_0} S^j \wedge \alpha \leq u^{j_0} * \rho_\varepsilon S^j \wedge \alpha,$$

where  $u^{j_0} * \rho_\varepsilon$  is a standard regularization of  $u^{j_0}$  by convolution, i.e.,  $\rho_\varepsilon$  is a rotation invariant approximate identity. Letting  $j \rightarrow \infty$  we get  $\Theta \wedge \alpha \leq u^{j_0} * \rho_\varepsilon S \wedge \alpha$  and thus  $\Theta \leq uS$ .

We will use the following lemma.

**Lemma 2.2.** *Let  $u, v_0, v_1, \dots, v_n$  be psh functions defined in a neighborhood of  $\overline{\Omega}$  where  $\Omega$  is a bounded domain in  $\mathbb{C}^n$ . Suppose that all of these functions except possibly  $u$  are bounded and set  $T := dd^c v_2 \wedge \dots \wedge dd^c v_n$ . Assume that  $v_0 \leq v_1$  in  $\Omega$  and  $v_0 = v_1$  in  $\Omega \cap U$ , where  $U$  is a neighborhood of  $\partial\Omega$ . Then*

$$\int_{\Omega} u dd^c v_0 \wedge T \leq \int_{\Omega} u dd^c v_1 \wedge T.$$

*Proof.* We have

$$\begin{aligned}
\int_{\Omega} u dd^c v_0 \wedge T - \int_{\Omega} u dd^c v_1 \wedge T &= \lim_{\varepsilon \rightarrow 0} \int_{\Omega} u * \rho_{\varepsilon} dd^c (v_0 - v_1) \wedge T \\
&= \lim_{\varepsilon \rightarrow 0} \lim_{\delta \rightarrow 0} \int_{\Omega} u * \rho_{\varepsilon} dd^c ((v_0 - v_1) * \rho_{\delta}) \wedge T \\
&= \lim_{\varepsilon \rightarrow 0} \lim_{\delta \rightarrow 0} \int_{\Omega} (v_0 - v_1) * \rho_{\delta} dd^c (u * \rho_{\varepsilon}) \wedge T \leq 0.
\end{aligned}$$

□

*End of proof of Theorem 2.1.* We may assume that all functions are defined in a neighborhood of a ball  $\overline{B} = \overline{B}(z_0, r)$  and, similarly as in the proof of Bedford-Taylor's theorem, that  $v_{\ell}^j = v_{\ell} = A(|z - z_0|^2 - r^2)$  near  $\partial B$  for some  $A > 0$ , cf., e.g., the proof of [15, Theorem 1.5]. Since  $\Theta \leq uS$ , it remains to prove that  $\int_B (uS - \Theta) \wedge \omega^{n-k} \leq 0$ , where  $\omega = dd^c |z|^2$ . By successive application of Lemma 2.2 we get

$$\int_B u dd^c v_1 \wedge \cdots \wedge dd^c v_k \wedge \omega^{n-k} \leq \int_B u^j dd^c v_1^j \wedge \cdots \wedge dd^c v_k^j \wedge \omega^{n-k}.$$

Therefore,

$$\int_B u S \wedge \omega^{n-k} \leq \liminf_{j \rightarrow \infty} \int_B u^j dd^c v_1^j \wedge \cdots \wedge dd^c v_k^j \wedge \omega^{n-k} \leq \int_B \Theta \wedge \omega^{n-k},$$

and thus the theorem follows. □

Theorem 2.1 generalizes a result of Demailly (see [18], Proposition III.4.9 on p. 155) who assumed in addition that a complement of the open set where  $u, v_1, \dots, v_k$  are locally bounded has vanishing  $(2n - 1)$ -dimensional Hausdorff measure.

### 3. THE CASE OF DIVISORIAL SINGULARITIES

In this section we first prove a special case of Theorem 1.1.

**Theorem 3.1.** *Assume that  $u = \log |f| + v$  is negative, where  $f$  is holomorphic and  $v$  is a bounded psh function. Let  $\chi_j$  be as in Theorem 1.1. Then*

$$(dd^c(\chi_j \circ u))^k \longrightarrow dd^c u \wedge (dd^c v)^{k-1}$$

as  $j \rightarrow \infty$ .

*Proof.* We will use an idea from [8]. Notice that locally on  $(-\infty, 0)$ , the sequence  $\chi_j'$  is bounded and tends to 1 uniformly when  $j \rightarrow \infty$ . For each  $j$ ,

$$\gamma_j(t) := \int_{-1}^t (\chi_j'(s))^k ds + \chi_j(-1)$$

is bounded, convex and nondecreasing on  $(-\infty, 0)$ , and  $\gamma_j' = (\chi_j')^k$ , where the derivative exists. Moreover, the sequence  $\gamma_j$  is decreasing and tends to  $t$ .

Let us first assume that  $\chi_j$ , and hence  $\gamma_j$ , are smooth. Since  $\log |f|$  is pluriharmonic on  $\{f \neq 0\}$  we have that

$$\begin{aligned} (dd^c(\chi_j \circ u))^k &= (\chi_j'' \circ u \, du \wedge d^c u + \chi_j' \circ u \, dd^c u)^k \\ &= (k\chi_j'' \circ u \, du \wedge d^c u + \chi_j' \circ u \, dd^c u) \wedge (\chi_j' \circ u \, dd^c u)^{k-1} \\ &= d((\chi_j' \circ u)^k d^c u) \wedge (dd^c u)^{k-1} \\ &= dd^c(\gamma_j \circ u) \wedge (dd^c v)^{k-1} \\ &= dd^c(\gamma_j \circ u \, (dd^c v)^{k-1}) \end{aligned}$$

there. Since none of the above currents charges the set  $\{f = 0\}$ , the equality

$$(3.1) \quad (dd^c(\chi_j \circ u))^k = dd^c(\gamma_j \circ u \, (dd^c v)^{k-1})$$

holds everywhere. If  $\chi_j$  is not smooth we make a regularization  $\chi_{j,\epsilon} = \chi_j * \rho_\epsilon$ . Then  $\chi_{j,\epsilon}' \rightarrow \chi_j'$  in  $L^1_{loc}(-\infty, 0)$  and hence the associated  $\gamma_{j,\epsilon}$  tend to  $\gamma_j$  locally uniformly. We conclude that (3.1) still holds. The theorem now follows from (3.1) and Theorem 2.1.  $\square$

The following example shows that  $(dd^c u_j)^k$  does not converge to  $(dd^c u)^k$  for general decreasing sequences of psh functions  $u_j \rightarrow u$ .

*Example 3.2.* Let

$$u(z) = \log |z_1| + |z_2|^2.$$

One easily checks that

$$(dd^c u)^2 = [z_1 = 0] \wedge dd^c |z_2|^2 \neq 0.$$

Thus, if  $u_j = \chi_j \circ u$ , where  $\chi_j$  is chosen as Theorem 1.1, e.g.,  $u_j = (1/2) \log(|z_1|^2 e^{2|z_2|^2} + 1/j)$ , then

$$(dd^c u_j)^2 \rightarrow (dd^c u)^2.$$

However,  $v_j := (1/2) \log(|z_1|^2 + 1/j) + |z_2|^2$  are also smooth psh functions that decrease to  $u$  but

$$(dd^c v_j)^2 \rightarrow 2[z_1 = 0] \wedge dd^c |z_2|^2 = 2(dd^c u)^2.$$

It follows that  $u$  does not belong to the domain of definition of the Monge-Ampère operator; in fact, this follows directly from [10, Theorem 1.1] since clearly  $u \notin W^{1,2}_{loc}$ . By [10, Theorem 4.1] one can find another approximating sequence of smooth psh functions decreasing to  $u$  whose Monge-Ampère measures do not have locally uniformly finite mass near  $\{z_1 = 0\}$ .  $\square$

Recall that a psh function  $u$  is called *maximal* in an open set  $\Omega$  in  $\mathbb{C}^n$  if for any other psh  $v$  in  $\Omega$  satisfying  $v \leq u$  outside a compact set, we have  $v \leq u$  in  $\Omega$ . We refer to [25, 9] for basic properties of maximal psh functions. In particular,  $u$  is maximal if and only if for each  $\Omega' \Subset \Omega$  and psh  $v$  such that  $v \leq u$  on  $\partial\Omega'$  one has  $v \leq u$  in  $\Omega'$ . By Bedford-Taylor's theory [5, 6] a locally bounded psh  $u$  is maximal if and only if  $(dd^c u)^n = 0$ .

The following result due to Rashkovsii, see [23, Theorem 1], gives a local characterization of maximal psh functions with divisorial singularities.

**Proposition 3.3.** *Let  $\Omega$  be a domain in  $\mathbb{C}^n$ ,  $n \geq 2$ ,  $f$  a holomorphic function in  $\Omega$  (not vanishing identically), and  $v$  a locally bounded psh function in  $\Omega$ . Then  $u = \log |f| + v$  is maximal in  $\Omega$  if and only if  $v$  is maximal in  $\Omega$ .*

One can rephrase Proposition 3.3 as follows: if a psh function  $u$  is globally of the form  $\log |f| + v$ , where  $f$  is a holomorphic function and  $v$  is psh and locally bounded, then  $u$  is maximal if and only if it is maximal outside the singular set. It would be interesting to verify whether such a characterization is true globally for psh functions with divisorial singularities.

*Example 3.4.* Proposition 3.3 implies that the psh function  $u$  in Example 3.2 is maximal (in any domain in  $\mathbb{C}^2$ ). Thus it is not true in general for psh functions with analytic singularities  $u$  that  $(dd^c u)^n = 0$  is equivalent to  $u$  being maximal.

Moreover in any bounded domain we can find a sequence of continuous maximal psh functions decreasing to  $u$ , or a sequence  $u_j$  of smooth psh functions decreasing to  $u$  such that  $(dd^c u_j)^2 \rightarrow 0$  weakly, see e.g., [9, Proposition 1.4.9]. It follows that (the mass of)  $\lim_j (dd^c u_j)^2$  when  $u_j$  is a decreasing sequence of bounded psh functions  $u_j \rightarrow u$  can be both smaller and larger than (the mass of)  $(dd^c u)^2$ , cf. Example 3.2.  $\square$

*Remark 3.5.* In [12] it was shown that the psh function

$$(3.2) \quad u(z) := -\sqrt{\log |z_1| \log |z_2|}$$

is maximal in  $\{|z_1| < 1, |z_2| < 1\} \setminus \{(0,0)\}$ , and that the Monge-Ampère measure of  $\max\{u, -j\}$ , however, does not converge weakly to 0 as  $j \rightarrow \infty$ .

In view of Theorem 3.1 and Proposition 3.3 the function  $u$  in Examples 3.2 and 3.4 gives a new example of such a maximal psh function.  $\square$

Proposition 3.3 implies that for psh functions with divisorial singularities it suffices to check their maximality outside hypersurfaces. This is not true in general as the following example shows.

*Example 3.6.* The function given by (3.2) is psh in the unit bidisc, maximal away from the singular set, i.e. the hypersurface  $\{z_1 z_2 = 0\}$ , but not maximal in the entire bidisc  $\Delta^2$ . In fact, the psh function

$$v(z) := -\sqrt{-\log |z_1|} - \sqrt{-\log |z_2|} + 1$$

coincides with  $u$  on the boundary of the bidisk  $(\Delta(0, 1/e))^2$ , but  $v > u$  on the diagonal inside  $(\Delta(0, 1/e))^2$ .  $\square$

#### 4. THE GENERAL CASE OF THEOREM 1.1

We now give a proof of Theorem 1.1. Since the statement is local we may assume that  $u = \log |F| + b$ , where  $F$  is a tuple of holomorphic functions on an open set  $X \subset \mathbb{C}^n$ , and  $b$  is bounded.

Let  $Z$  be the common zero set of  $F$ . By Hironaka's theorem one can find a proper map  $\pi: X' \rightarrow X$  that is a biholomorphism  $X' \setminus \pi^{-1}Z \simeq X \setminus Z$ , where  $\pi^{-1}Z$  is a hypersurface, such that the ideal sheaf generated by the functions  $\pi^* f_j$  is principal. Let  $D$  be the exceptional divisor and let  $L \rightarrow X'$  be the associated line bundle that has a global holomorphic section

$f^0$  whose divisor is precisely  $D$ . It then follows that  $\pi^*F = f^0F'$ , where  $F'$  is a nonvanishing tuple of sections of  $L^{-1}$ . Given a local frame for  $L$  on  $X'$  we can thus write  $F = f^0F'$  where  $f^0$  is a holomorphic function and  $F'$  a nonvanishing tuple of holomorphic functions. Then

$$\pi^*u = \log |\pi^*F| + \pi^*b = \log |f^0| + \log |F'| + \pi^*b =: \log |f^0| + v,$$

and since  $\pi^*u$  is psh it follows that  $v$  is. Another local frame gives rise to the same local decomposition up to a pluriharmonic function. Notice that

$$dd^c \log |f^0| = [D],$$

where  $D$  is the divisor determined by  $f^0$ .

In view of Theorem 3.1,

$$(dd^c(\chi_j \circ \pi^*u))^k \rightarrow (dd^c\pi^*u)^k = [D] \wedge (dd^cv)^{k-1} + (dd^cv)^k.$$

Assume that  $a$  is psh and bounded. Since neither  $(dd^ca)^k$  nor  $(dd^c\pi^*a)^k$  charge subvarieties it follows that

$$\pi_*(dd^c\pi^*a)^k = (dd^ca)^k.$$

Since  $\pi^*(\chi_j \circ u) = \chi_j \circ \pi^*u$ , thus

$$(dd^c(\chi_j \circ u))^k = \pi_*(dd^c(\pi^*(\chi_j \circ u)))^k = \pi_*(dd^c(\chi_j \circ \pi^*u))^k \rightarrow \pi_*([D] \wedge (dd^cv)^{k-1} + (dd^cv)^k).$$

By [3, Equation (4.5)],

$$\pi_*([D] \wedge (dd^cv)^{k-1} + (dd^cv)^k) = (dd^cu)^k$$

and thus Theorem 1.1 follows.

*Remark 4.1.* The definition of  $(dd^cu)^k$  as well as proof of Theorem 1.1 work just as well if  $X$  is a reduced, not necessarily smooth, analytic space, cf., e.g., [4].  $\square$

## 5. PROOF AND DISCUSSION OF THEOREM 1.2

We start by showing that the Monge-Ampère operators  $(\omega + dd^c\varphi)^k$  are well-defined whenever  $\varphi$  is an  $\omega$ -psh function with analytic singularities.

**Lemma 5.1.** *Let  $\varphi$  be an  $\omega$ -psh function with analytic singularities. Then  $(dd^c(g + \varphi))^k$  is independent of the local potential  $g$  of  $\omega$ .*

*Proof.* We need to prove that

$$(5.1) \quad (dd^c(g + h + \varphi))^k = (dd^c(g + \varphi))^k$$

if  $h$  is pluriharmonic. Clearly this is true for  $k = 1$ .

If  $T$  is a positive closed current and  $u$  and  $v$  are functions such that  $uT$  and  $vT$  have locally finite mass, then clearly so has  $(u + v)T = uT + vT$ . Assuming that (5.1) holds for  $k = \ell$ , it follows that

$$\begin{aligned} (dd^c(g + h + \varphi))^{\ell+1} &= dd^c((g + h + \varphi)\mathbf{1}_{X \setminus Z}(dd^c(g + h + \varphi))^\ell) = \\ &= dd^c((g + \varphi)\mathbf{1}_{X \setminus Z}(dd^c(g + \varphi))^\ell) + dd^c(h\mathbf{1}_{X \setminus Z}(dd^c(g + \varphi))^\ell), \end{aligned}$$



where  $Z$  is the singular set of  $\varphi + g$ . Since  $h$  is pluriharmonic the rightmost expression equals

$$(dd^c(g + \varphi))^{\ell+1} + dd^c h \wedge \mathbf{1}_{X \setminus Z} (dd^c(g + \varphi))^\ell = (dd^c(g + \varphi))^{\ell+1}.$$

Thus (5.1) follows by induction.  $\square$

*Proof of Theorem 1.2.* For  $k = 0, \dots, n-1$  we let

$$T_k := \mathbf{1}_{X \setminus Z} (\omega + dd^c \varphi)^k;$$

note that  $T_0$  is just the function 1. Locally we can define

$$(5.2) \quad \varphi T_k := (g + \varphi) T_k - g T_k,$$

cf. (1.3). This definition is independent of the local potential  $g$  of  $\omega$  and, cf. the proof of Lemma 5.1, thus  $\varphi T_k$  defines a global current on  $X$ . Applying  $dd^c$  to (5.2) we get

$$(5.3) \quad dd^c(\varphi T_k) = dd^c((g + \varphi) T_k) - dd^c(g T_k) = (\omega + dd^c \varphi)^{k+1} - \omega \wedge T_k.$$

Now

$$(5.4) \quad \int_X \omega^{n-k} \wedge T_k = \int_X \omega^{n-k-1} \wedge (\omega + dd^c \varphi)^{k+1} - \int_X \omega^{n-k-1} \wedge dd^c(\varphi T_k) = \\ \int_X \omega^{n-k-1} \wedge \mathbf{1}_Z (\omega + dd^c \varphi)^{k+1} + \int_X \omega^{n-k-1} \wedge T_{k+1}.$$

Here we have used (5.3) for the second equality; the second term in the middle expression vanishes by Stokes' theorem. Applying (5.4) inductively to  $\int_X \omega^n = \int_X \omega^n T_0$  we get (1.5).  $\square$

Given an  $\omega$ -psh function  $\varphi$ , in [21, 13] was introduced the *non-pluripolar Monge-Ampère operators*

$$\langle (\omega + dd^c \varphi)^k \rangle := \lim_{j \rightarrow \infty} \mathbf{1}_{\{\varphi > -j\}} (\omega + dd^c \max(\varphi, -j))^k;$$

the definition is based on the corresponding local construction in [7].

Assume that  $\varphi$  has analytic singularities with singular set  $Z$ . Then  $\langle (\omega + dd^c \varphi)^k \rangle$  coincides with the classical Monge-Ampère operator outside  $Z$  and it does not charge  $Z$ . Hence

$$\langle (\omega + dd^c \varphi)^k \rangle = \mathbf{1}_{X \setminus Z} (\omega + dd^c \varphi)^k.$$

Following [3], cf. [4], we let

$$M_k^\varphi := \mathbf{1}_Z (dd^c \varphi + \omega)^k, \quad k = 1, \dots, n.$$

Using this notation we can rephrase Theorem 1.2 as

$$(5.5) \quad \int_X \langle (\omega + dd^c \varphi)^n \rangle = \int_X \omega^n - \sum_{k=1}^n \int_X M_k^\varphi \wedge \omega^{n-k}.$$

In fact, by applying (5.4) inductively to  $\int_X \omega^n T_0$  as in the proof of Theorem 1.2, but stopping at  $k = \ell - 1$ , we get:

**Proposition 5.2.** *Let  $\varphi$  be an  $\omega$ -psh function with analytic singularities on a compact Kähler manifold  $(X, \omega)$  of dimension  $n$ . Then, for  $\ell = 1, \dots, n$ ,*

$$(5.6) \quad \int_X \langle (\omega + dd^c \varphi)^\ell \rangle \wedge \omega^{n-\ell} = \int_X \omega^n - \sum_{k=1}^{\ell} \int_X M_k^\varphi \wedge \omega^{n-k}.$$

From [13, Theorem 1.16] it follows that if  $\varphi, \varphi'$  are  $\omega$ -psh with analytic singularities and  $\varphi$  is *less singular* than  $\varphi'$ , i.e.,  $\varphi \geq \varphi' + \mathcal{O}(1)$ , then

$$(5.7) \quad \int_X \langle (\omega + dd^c \varphi)^\ell \rangle \wedge \omega^{n-\ell} \geq \int_X \langle (\omega + dd^c \varphi')^\ell \rangle \wedge \omega^{n-\ell}$$

for each  $\ell$ . From (5.7) and Proposition 5.2 we conclude that

$$\sum_{k=1}^{\ell} \int_X M_k^\varphi \wedge \omega^{n-k} \leq \sum_{k=1}^{\ell} \int_X M_k^{\varphi'} \wedge \omega^{n-k}$$

for each  $\ell$ . It is not true in general, however, that  $\int_X M_k^\varphi \wedge \omega^{n-k} \leq \int_X M_k^{\varphi'} \wedge \omega^{n-k}$  for each  $k$ , as is illustrated by the following example.

*Example 5.3.* Let  $X = \mathbb{P}_{[z_0:z_1:z_2]}^2$  with the Fubini-Study metric  $\omega$ , and let

$$\varphi = \log \left( \frac{(|z_1|^2 + |z_2|^2)^{1/2}}{|z|} \right) \text{ and } \varphi' = \log \left( \frac{|z_1|}{|z|} \right),$$

cf. Example 1.4. Then  $\varphi$  and  $\varphi'$  are  $\omega$ -psh with analytic singularities and clearly  $\varphi$  is less singular than  $\varphi'$ . Note that  $M_2^\varphi = [z_1 = z_2 = 0]$  and  $M_1^{\varphi'} = [z_1 = 0]$ , whereas  $M_1^\varphi$  and  $M_2^{\varphi'}$  vanish. In particular,  $\int_X M_2^\varphi > \int_X M_2^{\varphi'}$ .  $\square$

*Remark 5.4.* In general we cannot have a global continuity result like Theorem 1.1. Indeed, assume that  $\varphi$  is an  $\omega$ -psh function with analytic singularities such that

$$\int_X (\omega + dd^c \varphi)^\ell \wedge \omega^{n-\ell} < \int_X \omega^n,$$

cf. (5.6); this holds, e.g., for  $\varphi'$  in Example 5.3 and  $\ell = 2$ . Moreover, assume that there is a sequence of locally bounded  $\omega$ -psh, or smooth, functions  $\varphi_j$  converging to  $\varphi$ . By Stokes' theorem

$$\int_X (\omega + dd^c \varphi_j)^\ell \wedge \omega^{n-\ell} = \int_X \omega^n$$

for all  $j$ , and thus  $(\omega + dd^c \varphi_j)^\ell$  cannot converge to  $(\omega + dd^c \varphi)^\ell$ .  $\square$

Let  $X$  be a, possibly non-smooth, analytic space, cf. Remark 4.1, and let  $\omega$  be a smooth positive  $(1, 1)$ -form on  $X$  that locally has a smooth potential. Then we still have the notion of  $\omega$ -psh function on  $X$  and the formulation and proof of Theorem 1.2, as well as the definitions of  $M_k^\varphi$ , work as in the smooth case.

There is a close connection between Theorem 1.2 and the currents  $M_k^\varphi$  and global (non-proper) intersection theory, that will be studied in a forthcoming paper by two of the authors.

In some sense the currents  $M_k^\varphi$  can be seen as generalized intersection cycles, cf. [4, Section 6]. Let us just give a simple example with a proper intersection here, cf. Example 1.4 above.

*Example 5.5.* Let  $i: X \rightarrow \mathbb{P}^n$  be a projective variety of dimension  $p$ , and let  $f$  be a  $m$ -homogeneous form in  $\mathbb{C}^{n+1}$  that does not vanish identically on any irreducible component of  $X$ ; i.e.,  $Z(f)$  intersects  $X$  properly. If we consider  $f$  as a section of the line bundle  $\mathcal{O}(m) \rightarrow \mathbb{P}^n$  then it has the natural norm  $\|f\| = |f(z)|/|z|^m$ . It follows that  $u = \log \|f\|$  is  $m\omega$ -psh on  $X$ , where  $\omega$  is the Fubini-Study form. Notice that  $\langle (m\omega + dd^c \varphi)^n \rangle = 0$ . Moreover  $M_k = 0$  for  $k \geq 2$  and  $M_1 = dd^c \log |f|$ . Thus the equality (5.5) means that

$$\int_X dd^c \log |f| \wedge \omega^{p-1} = m \int_X \omega^p = \deg Z \cdot \deg X$$

and the rightmost expression is equal to

$$(5.8) \quad \int_{\mathbb{P}^n} [Z] \wedge [X] \wedge \omega^{p-1}.$$

Since  $[Z] \wedge [X]$  is the Lelong current of the proper intersection  $Z \cdot X$  of  $Z$  and  $X$ , (5.8) equals  $\deg(Z \cdot X)$  and thus (5.5) in this case is just an instance of Bezout's formula.  $\square$

## 6. SOME FURTHER COMMENTS

The Monge-Ampère operators (1.3) are also closely related to local intersection theory. Given a psh function of the form (1.1) on a possibly non-smooth analytic space  $X$ , we let

$$M_k^u := \mathbf{1}_Z (dd^c u)^k, \quad k = 1, \dots, n,$$

where  $Z = \{F = 0\}$ . In [3, 4] it was proved that

$$(6.1) \quad \ell_x M_k^u = e_k(x),$$

where  $\ell_x \mu$  denotes the Lelong number of the positive closed current  $\mu$  at  $x$ , and  $e_k(x)$  is the  $k$ th Segre number at  $x$  of the ideal  $\mathcal{J}$  generated by  $F$ . Segre numbers were introduced independently by Gaffney-Gassler [20] and Tworzewski [26] as certain local intersection numbers, and in a purely algebraic way by Achilles-Manaresi [1]. In fact, if  $Z$  is discrete, then the only nonvanishing Segre number  $e_n(x)$  equals the classical *Hilbert-Samuel multiplicity* of  $\mathcal{J}$  at  $x$ . Thus (6.1) is a generalization of the well-known fact the Lelong number of  $(dd^c \log |F|)^n$  is the Hilbert-Samuel multiplicity of  $\mathcal{J}$  if  $Z$  is discrete.

Demailly's approximation theorem [16] asserts that any psh function  $u$  on a bounded pseudoconvex domain  $\Omega$  can be approximated by psh functions with analytic singularities. Let

$$u_j := \frac{1}{2j} \log \sup \left\{ |f|^2 : f \in \mathcal{O}(\Omega), \int_{\Omega} |f|^2 e^{-2ju} d\lambda \leq 1 \right\}.$$

Then  $u_j \rightarrow u$  pointwise and in  $L_{loc}^1$  and there exists a sequence of positive constants  $\varepsilon_j$  decreasing to 0 such that the subsequence  $u_{2j} + \varepsilon_j$  is decreasing, see [19]; in view of [22] this cannot be done for the whole sequence  $u_j$ . Since  $u_j$  are in fact defined by weighted Bergman kernels, it is clear that locally they can be written in the form (1.1) where  $b$  is smooth. If  $u$  has an isolated analytic singularity (so that the Demailly definition of the Monge-Ampère operator applies), it is proved in [24] that there is continuity for the Monge-Ampère masses

of the  $u_j$ . It would be interesting to investigate possible convergence properties of  $(dd^c u_j)^k$  in more general cases; for example when the initial function  $u$  also has analytic singularities, or for more general psh  $u$  as a means to extend  $(dd^c u)^k$  to such  $u$ .

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