

# ON WEIGHTED BOCHNER-MARTINELLI RESIDUE CURRENTS

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**ABSTRACT.** We study the weighted Bochner-Martinelli residue current  $R^p(f)$  associated with a sequence  $f = (f_1, \dots, f_m)$  of holomorphic germs at  $0 \in \mathbb{C}^n$ , whose common zero set equals the origin, and  $p = (p_1, \dots, p_m) \in \mathbb{N}^m$ . Our main results are a description of  $R^p(f)$  in terms of the Rees valuations of the ideal generated by  $(f_1^{p_1}, \dots, f_m^{p_m})$  and an explicit description of  $R^p(f)$  when  $f$  is monomial. For a monomial sequence  $f$  we show that  $R^p(f)$  is independent of  $p$  if and only if  $f$  is a regular sequence.

## 1. INTRODUCTION

Let  $f = (f_1, \dots, f_m)$  be a sequence of germs of holomorphic functions at  $0 \in \mathbb{C}^n$ , such that  $V(f) := \{f_1 = \dots = f_m = 0\} = \{0\}$ . If  $f$  is a *regular sequence*, that is,  $m = n$ , then there is a canonical residue (current) associated with  $f$  - the Grothendieck residue  $\text{Res}(\frac{\bullet}{f_1 \dots f_m})$ , see [12], and its current avatar the *Coleff-Herrera product*  $R_{CH}(f) = \bar{\partial}[1/f_1] \wedge \dots \wedge \bar{\partial}[1/f_m]$ , introduced in [9]. In [19] Passare-Tsikh-Yger constructed residue currents based on the Bochner-Martinelli kernel as a natural generalization of the Coleff-Herrera product. This idea is further developed in [6], where Berenstein-Yger introduced *weighted Bochner-Martinelli residue currents*.

Let  $p = (p_1, \dots, p_m) \in \mathbb{N}^m$  and let  $f^p$  denote the sequence  $(f_1^{p_1}, \dots, f_m^{p_m})$ ; here  $\mathbb{N}$  denotes the natural numbers  $1, 2, \dots$ . For each ordered multi-index  $\mathcal{I} = \{i_1, \dots, i_n\} \subseteq \{1, \dots, m\}$  let

$$(1.1) \quad R_{\mathcal{I}}^p(f) = \bar{\partial}|f^p|^{2\lambda} \wedge c_n \sum_{\ell=1}^n (-1)^{\ell-1} \frac{\bar{f}_{i_\ell} |f_{i_\ell}|^{2(p_{i_\ell}-1)} \bigwedge'_{q \neq \ell} \bar{\partial}(\bar{f}_{i_q} |f_{i_q}|^{2(p_{i_q}-1)})}{|f^p|^{2n}} \Big|_{\lambda=0},$$

where  $c_n = (-1)^{n(n-1)/2} (n-1)!$ ,  $|f^p|^2 = |f_1^{p_1}|^2 + \dots + |f_m^{p_m}|^2$ ,  $\bigwedge'$  denotes increasing order in  $q$  in the wedge product, and  $\alpha|_{\lambda=0}$  denotes the analytic continuation of the form  $\alpha$  to  $\lambda = 0$ . Moreover, let  $R^p(f)$  denote the vector-valued current with entries  $R_{\mathcal{I}}^p(f)$ ; we will refer to this as the *Bochner-Martinelli residue current of weight  $p$*  associated with  $f$ . Then  $R^p(f)$  is a well-defined  $(0, n)$ -current with support at the origin

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and  $\bar{g}R_{\mathcal{I}}^p(f) = 0$  if  $g$  is a holomorphic function that vanishes at the origin. It follows that the coefficients of the  $R_{\mathcal{I}}^p(f)$  are just finite sums of holomorphic derivatives at the origin. If  $p = (1, \dots, 1)$ , then  $R^p(f)$  is the *Bochner-Martinelli residue current* associated with  $f$ , introduced in [19]; we denote it by  $R(f)$  and its entries by  $R_{\mathcal{I}}(f)$ . Note that, in fact,

$$(1.2) \quad R_{\mathcal{I}}^p(f) = f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1} R_{\mathcal{I}}(f^p).$$

Indeed, the sequence  $f^p$  in the factor  $\bar{\partial}|f^p|^{2\lambda}$  in (1.1) can be replaced by any sequence of functions that vanish at the origin.

Let  $\mathcal{O}_0^n$  be the local ring of germs of holomorphic functions at  $0 \in \mathbb{C}^n$ . Given a germ of a current  $\mu$  at  $0 \in \mathbb{C}^n$ , let  $\text{ann } \mu$  denote the (holomorphic) *annihilator ideal* of  $\mu$ , that is,  $\text{ann } \mu = \{h \in \mathcal{O}_0^n, h\mu = 0\}$ . Our first result concerns  $\text{ann } R^p(f)$ . Let  $\mathfrak{a}(f)$  denote the ideal generated by the  $f_i$  in  $\mathcal{O}_0^n$ . Recall that  $h \in \mathcal{O}_0^n$  is in the *integral closure* of  $\mathfrak{a}(f)$ , denoted by  $\overline{\mathfrak{a}(f)}$ , if  $|h| \leq C|f|$ , for some constant  $C$ . Moreover, recall that  $\mathfrak{a}(f)$  is a *complete intersection ideal* if it can be generated by  $n = \text{codim } V(f)$  functions. Note that this condition is slightly weaker than that  $f$  is a regular sequence. Also, recall that, given ideals  $\mathfrak{a}, \mathfrak{b} \subseteq \mathcal{O}_0^n$ , the *colon ideal*  $\mathfrak{a} : \mathfrak{b}$  is the ideal  $\mathfrak{a} : \mathfrak{b} = \{h \in \mathcal{O}_0^n : h\mathfrak{b} \subseteq \mathfrak{a}\}$ .

We also provide a characterization of the non-vanishing entries of  $R^p(f)$ . Let  $\pi : X \rightarrow (\mathbb{C}^n, 0)$  be a log-resolution of  $\mathfrak{a}(f)$ , see [16, Def. 9.1.12]. Following [15] we say that a multi-index  $\mathcal{I} = \{i_1, \dots, i_n\}$  is *essential* with respect to  $f$  if there is an exceptional prime  $E \subseteq \pi^{-1}(0)$  of  $X$  such that the mapping  $[f_{i_1} \circ \pi : \dots : f_{i_n} \circ \pi] : E \rightarrow \mathbb{CP}^{n-1}$  is surjective and moreover  $\text{ord}_E(f_{i_k}) \leq \text{ord}_E(f_\ell)$  for  $1 \leq k \leq n, 1 \leq \ell \leq m$ , see Section 2 and also [15, Section 3] for details. The valuations  $\text{ord}_E$  that satisfy this are precisely the *Rees valuations* of  $\mathfrak{a}(f)$ . We say that  $\mathcal{I}$  is *p-essential* if it is essential with respect to  $f^p$ . For  $h \in \mathcal{O}_0^n$ , let  $(h)$  denote the ideal generated by  $h$ .

**Theorem A.** *Suppose that  $f$  is a sequence of germs of holomorphic functions at  $0 \in \mathbb{C}^n$ , such that  $V(f) = \{0\}$ . Let  $R^p(f)$  be the corresponding Bochner-Martinelli residue current of weight  $p$ . Then the entry  $R_{\mathcal{I}}^p(f) \neq 0$  if and only if  $\mathcal{I}$  is  $p$ -essential. Moreover*

$$(1.3) \quad \bigcap_{\mathcal{I} \text{ } p\text{-essential}} \overline{\mathfrak{a}(f^p)^n} : (f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1}) \subseteq \text{ann } R^p(f) \subseteq \mathfrak{a}(f).$$

*The left inclusion in (1.3) is strict whenever  $n \geq 2$ . If the right inclusion is an equality, then  $\mathfrak{a}(f)$  is a complete intersection ideal.*

The new results in Theorem A are the characterization of the non-vanishing entries and the last two statements. Berenstein-Yger [6] showed that  $\overline{\mathfrak{a}(f^p)^n} : (f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1}) \subseteq \text{ann } R_{\mathcal{I}}^p(f)$ , and it is easy to see from Andersson's construction of residue currents in [1] that the right inclusion in (1.3) holds. In fact, Berenstein-Yger defined currents  $R_{\mathcal{I}}^p(f)$

also when  $\dim V(f) > 0$ . The inclusions in (1.3) hold true also in this case, and one can replace the leftmost ideal by  $\bigcap_{\mathcal{I}=\{i_1, \dots, i_\mu\}} \overline{\mathfrak{a}(f^p)^\mu} : (f_{i_1}^{p_{i_1}-1} \cdots f_{i_\mu}^{p_{i_\mu}-1})$ , where  $\mu = \min(m, n)$ .

Also, for  $R(f) = R^{(1, \dots, 1)}(f)$  Theorem A was proved in parts in [19], [1], and [15]. If  $f$  is a regular sequence, then the only entry  $R_{\{1, \dots, m\}}(f)$  of  $R(f)$  coincides with the Coleff-Herrera product  $R_{CH}(f)$ , whose annihilator ideal is precisely  $\mathfrak{a}(f)$ , see [10, 18]. This should be compared to [12, Chapter 5.1] where  $\text{Res}(\frac{\bullet}{f_1 \cdots f_m})$  is defined using the Bochner-Martinelli kernel. The idea of regarding (complete intersection) ideals of holomorphic functions as the annihilator ideals of certain residue currents is central for many applications, see, for example, [7]. For  $p = (1, \dots, 1)$ , the inclusions (1.3) read  $\overline{\mathfrak{a}(f)^n} \subseteq \text{ann } R(f) \subseteq \overline{\mathfrak{a}(f)}$ , which gives a direct proof of the Briançon-Skoda Theorem [8]:  $\overline{\mathfrak{a}(f)^n} \subseteq \mathfrak{a}(f)$ . For other applications of Bochner-Martinelli residue currents, see for example [3], [4], and [22].

Weighted Bochner-Martinelli residue currents were introduced in [6] as a tool to construct Green currents but also as a natural extension of Bochner-Martinelli residue currents in the spirit of Lipman [17]; the currents have been further studied in [5] and [24]. In the monograph [17] not only the residue  $\text{Res}(\frac{\bullet}{f_1 \cdots f_m})$  associated with a sequence  $f$  plays a role but also residues of the form  $\text{Res}(\frac{f_1^{p_1-1} \cdots f_m^{p_m-1} \bullet}{f_1^{p_1} \cdots f_m^{p_m}})$ . The currents  $R^p(f)$  can thus be seen as analogues of these residues. If  $f$  is a regular sequence, then  $\text{Res}(\frac{f_1^{p_1-1} \cdots f_m^{p_m-1} \bullet}{f_1^{p_1} \cdots f_m^{p_m}}) = \text{Res}(\frac{\bullet}{f_1 \cdots f_m})$ , which in current language reads

$$(1.4) \quad f_1^{p_1-1} \cdots f_m^{p_m-1} R_{CH}(f^p) = R_{CH}(f).$$

It follows that  $R^p(f)$  is independent of  $p$  if  $f$  is a regular sequence. In general, however,  $R^p(f)$  depends in an essential way on  $p$ ; the set of non-vanishing entries as well as  $\text{ann } R^p(f)$  depend on  $p$ , see Sections 4 and 5. Proposition 5.1 asserts that if  $f$  is monomial, then  $R^p$  is independent of  $p$  if and only if  $f$  is a regular sequence. This motivates the following question.

**Question B.** *Suppose that  $f = (f_1, \dots, f_m)$  is a sequence of germs of holomorphic functions at  $0 \in \mathbb{C}^n$ . Let  $R^p(f)$  be the Bochner-Martinelli residue current of weight  $p$ . Is it true that  $R^p(f)$  is independent of  $p$  if and only if  $f$  is a regular sequence?*

Question B could be asked also for  $\text{ann } R^p(f)$ : is it true that  $\text{ann } R^p(f)$  is independent of  $p$  if and only if  $f$  is a regular sequence?

Lemma 1.2 in [6] asserts that

$$(1.5) \quad \sum_{\mathcal{I}=\{i_1, \dots, i_n\} \subseteq \{1, \dots, m\}} R_{\mathcal{I}}^p(f) \wedge df_{i_n} \wedge \cdots \wedge df_{i_1} / (2\pi i)^n = e^p(f)[0],$$

where  $e^p(f)$  is a positive number; in fact each term in (1.5) is a positive current with support at the origin, see Lemma 3.1. Andersson [2] showed that  $e^{(1,\dots,1)}(f)$  is the Hilbert-Samuel multiplicity of the ideal  $\mathfrak{a}(f)$ . In general  $e^p(f)$  depends on  $p$ , see Example 4.4, but it can also happen that  $e^p(f)$  is independent of  $p$  even if  $\text{ann } R^p(f)$  and  $R^p(f)$  vary with  $p$ , as shown in Example 5.5.

In general it is hard to compute  $R^p(f)$ , as well as  $\text{ann } R^p(f)$  and  $e^p(f)$ . However, if the  $f_j$  are monomials we can give an explicit description of  $R^p(f)$  based on [23, Thm. 3.1]. For  $A = \{a^1, \dots, a^m\} \subseteq \mathbb{Z}^n$ , let  $z^A$  denote the sequence of monomials  $z^{a^1}, \dots, z^{a^m}$ , where  $z^{a^j} = z_1^{a_1^j} \dots z_n^{a_n^j}$  if  $a^j = (a_1^j, \dots, a_n^j)$ . Moreover, for  $p \in \mathbb{N}^m$ , let  $pA$  denote the set  $pA = \{p_1 a^1, \dots, p_m a^m\} \subseteq \mathbb{Z}^n$ . Given a holomorphic function  $g$  we will use the notation  $\bar{\partial}[1/g]$  for the value at  $\lambda = 0$  of  $\bar{\partial}|g|^{2\lambda}/g$ , a priori defined for  $\text{Re } \lambda \gg 0$ , and analogously by  $[1/g]$  we will mean  $|g|^{2\lambda}/g|_{\lambda=0}$ , that is, the principal value of  $1/g$ .

**Theorem C.** *Suppose that  $z^A$  is a sequence of germs of holomorphic monomials at  $0 \in \mathbb{C}^n$ , such that  $V(z^A) = \{0\}$ . Let  $R^p(z^A)$  be the corresponding Bochner-Martinelli residue current of weight  $p$ . Then*

$$(1.6) \quad R_{\mathcal{I}}^p(z^A) = \text{sgn}(A_{\mathcal{I}}) C_{\mathcal{I}} \bar{\partial} \left[ \frac{1}{z_1^{\alpha_1^{\mathcal{I}}}} \right] \wedge \dots \wedge \bar{\partial} \left[ \frac{1}{z_n^{\alpha_n^{\mathcal{I}}}} \right];$$

here  $\text{sgn}(A_{\mathcal{I}})$  is the sign of the determinant of the matrix with rows  $a^{i_1}, \dots, a^{i_n}$ ,  $C_{\mathcal{I}} > 0$  if  $\mathcal{I}$  is  $p$ -essential and  $C_{\mathcal{I}} = 0$  otherwise, and  $(\alpha_1^{\mathcal{I}}, \dots, \alpha_n^{\mathcal{I}}) = \alpha^{\mathcal{I}} = \sum_{j \in \mathcal{I}} a^j$ .

In particular, Theorem C implies that

$$\text{ann } R^p(z^A) = \bigcap_{\mathcal{I} \text{ } p\text{-essential}} (z_1^{\alpha_1^{\mathcal{I}}}, \dots, z_n^{\alpha_n^{\mathcal{I}}}).$$

In Section 2 we provide some background on Rees valuations, whereas the proof of Theorem A occupies Section 3. In Section 4 we focus on the case when  $f$  is monomial; we prove Theorem C and compute the coefficients  $C_{\mathcal{I}}$  in some special cases. Finally, in Section 5 we discuss Question B and some related questions.

## 2. REES VALUATIONS AND ESSENTIAL MULTI-INDICES

Let  $f = (f_1, \dots, f_m)$  be a sequence of germs of holomorphic functions at  $0 \in \mathbb{C}^n$ , such that  $V(f) = \{0\}$ . The *Rees valuations* of  $\mathfrak{a}(f)$  are defined in terms of the normalized blowup  $\nu : X^+ \rightarrow (\mathbb{C}^n, 0)$  of  $\mathfrak{a}(f)$ , see [13, Ch.II.7]. Since  $V(\mathfrak{a}) = \{0\}$ ,  $\nu$  is an isomorphism outside  $0 \in \mathbb{C}^n$  and  $\nu^{-1}(0)$  is the union of finitely many prime divisors  $E \subseteq X^+$ . The Rees valuations of  $\mathfrak{a}(f)$  are then the associated divisorial valuations  $\text{ord}_E$  on  $\mathcal{O}_0^n$ :  $\text{ord}_E(g)$  is the order of vanishing of  $\nu^*g$  along  $E$ .

Let  $\pi : X \rightarrow (\mathbb{C}^n, 0)$  be a log-resolution of  $\mathbf{a}(f)$ , see [16, Def. 9.1.12]. Then, in fact, a divisorial valuation  $\text{ord}_E$  is a Rees valuation of  $\mathbf{a}(f)$  if and only if the image of the prime divisor  $E \subseteq \pi^{-1}(0)$  under the rational mapping  $\Psi = [f_1 \circ \pi : \dots : f_m \circ \pi] : X \dashrightarrow \mathbb{CP}^{m-1}$  is of (maximal) dimension  $n - 1$ , see [20, p. 332].

Consider a multi-index  $\mathcal{I} = \{i_1, \dots, i_n\} \subseteq \{1, \dots, m\}$ . Let  $\pi_{\mathcal{I}} : \mathbb{CP}^{m-1} \setminus W_{\mathcal{I}} \rightarrow \mathbb{CP}^{n-1}$ , where  $W_{\mathcal{I}} := \{w_{i_1} = \dots = w_{i_n} = 0\} \subseteq \mathbb{CP}^n$ , be the projection  $[w_1 : \dots : w_m] \mapsto [w_{i_1} : \dots : w_{i_n}]$ . Following [15] we say that  $\mathcal{I}$  is *essential* with respect to  $E$  (and the sequence  $f$ ) if  $\Psi(E) \not\subseteq W_{\mathcal{I}}$  and the mapping  $\pi_{\mathcal{I}} \circ \Psi : E \dashrightarrow \mathbb{CP}^{n-1}$  is surjective; in particular,  $\text{ord}_E(f_{i_1}) = \dots = \text{ord}_E(f_{i_n}) = \text{ord}_E(\mathbf{a})$ . Moreover we say that  $\mathcal{I}$  is *essential* (with respect to  $f$ ) if  $\mathcal{I}$  is essential with respect to at least one exceptional prime. Furthermore we say that  $\mathcal{I}$  is *p-essential* with respect to  $E$  (and  $f$ ) if  $\mathcal{I}$  is essential with respect to the divisor  $E$  and the sequence  $f^p$ , and that  $\mathcal{I}$  is *p-essential* (with respect to  $f$ ) if  $\mathcal{I}$  is essential with respect to the sequence  $f^p$ .

Observe that if  $\mathcal{I}$  is *p-essential* with respect to  $E$ , then  $\text{ord}_E$  must be a Rees valuation of  $\mathbf{a}(f^p)$ . Conversely, if  $\text{ord}_E$  is a Rees valuation of  $\mathbf{a}(f^p)$ , then there exists at least one multi-index  $\mathcal{I}$ , which is *p-essential* with respect to  $E$ . However, note that  $\mathcal{I}$  can be *p-essential* with respect to more than one divisor  $E$ , and conversely there can be several multi-indices that are *p-essential* with respect to a given  $E$ .

Recall that the integral closure of  $\mathbf{a} \subseteq \mathcal{O}_0^n$  can be defined in terms of the Rees valuations of  $\mathbf{a}$ . Indeed,  $h \in \mathcal{O}_0^n$  is in  $\bar{\mathbf{a}}$  if and only if  $\text{ord}_E(h) \geq \text{ord}_E(\mathbf{a})$  for all Rees valuations  $\text{ord}_E$  of  $\mathbf{a}$ , see for example [16, Ex. 9.6.8].

Given a sequence  $f$  and a multi-index  $\mathcal{I} = \{i_1, \dots, i_n\}$ , let  $f_{\mathcal{I}}$  denote the sequence  $(f_{i_1}, \dots, f_{i_n})$ .

### 3. PROOF OF THEOREM A

The proof of Theorem A is very much inspired by and based on (the proofs of) Theorems A and B in [15] and it also uses Andersson's construction of residue currents in [1]. The following result is Theorem B and Lemma 4.3 in [15].

**Lemma 3.1.**  *$R_{\mathcal{I}}(f) \neq 0$  if and only if  $\mathcal{I}$  is essential with respect to  $f$ . Moreover  $R_{\mathcal{I}}(f) \wedge df_{i_n} \wedge \dots \wedge df_{i_1} / (2\pi i)^n$  is a positive current and its mass is strictly positive if and only if  $\mathcal{I}$  is essential.*

We first prove that  $R_{\mathcal{I}}^p(f) \neq 0$  precisely if  $\mathcal{I}$  is *p-essential*. If  $\mathcal{I}$  is not *p-essential*, then  $R_{\mathcal{I}}(f^p) = 0$  by Lemma 3.1, and hence in light of (1.2)  $R_{\mathcal{I}}^p(f) = 0$ . For the converse, note that

$$(3.1) \quad R_{\mathcal{I}}^p(f) \wedge df_{i_n} \wedge \dots \wedge df_{i_1} = \frac{1}{p_{i_1} \dots p_{i_n}} R_{\mathcal{I}}(f^p) \wedge df_{i_n}^{p_{i_n}} \wedge \dots \wedge df_{i_1}^{p_{i_1}}$$

by (1.2). Lemma 3.1 asserts that the right hand side of (3.1) is non-vanishing if  $\mathcal{I}$  is essential with respect to  $f^p$ . Thus  $R_{\mathcal{I}}^p(f) \neq 0$  if  $\mathcal{I}$  is  $p$ -essential.

The inclusion  $\text{ann } R^p(f) \subseteq \mathfrak{a}(f)$  follows from Andersson's construction of global Bochner-Martinelli residue currents based on the Koszul complex in [1]. We provide (a sketch of) a proof for completeness.

We identify the sequence  $f = (f_1, \dots, f_m)$  with a holomorphic section of the dual bundle  $V^*$  of a trivial rank  $m$  vector bundle  $V$  over some neighborhood  $\mathcal{U}$  of  $0 \in \mathbb{C}^n$ , endowed with the trivial metric. If  $\{e_i\}_{i=1}^m$  is a global holomorphic frame for  $V$  and  $\{e_i^*\}_{i=1}^m$  is the dual frame, we can write  $f = \sum_{i=1}^m f_i e_i^*$ . Let  $s^p$  be the section  $s^p = \sum_{i=1}^m \bar{f}_i |f_i|^{2(p_i-1)} e_i$ , and let

$$u^p = \sum_{\ell} \frac{s^p \wedge (\bar{\partial} s^p)^{\ell-1}}{|f^p|^{2\ell}}.$$

Then  $u^p$  is a section of  $\Lambda(V \oplus T_{0,1}^*(\mathcal{U}))$  (where  $e_j \wedge d\bar{z}_i = -d\bar{z}_i \wedge e_j$ ), that is clearly well-defined and smooth outside  $V(f) = \{0\}$ , and moreover  $\bar{\partial}|f^p|^{2\lambda} \wedge u^p$ , has an analytic continuation as a current to  $\text{Re } \lambda > -\epsilon$ , see [1]. Note that the  $e_{i_n} \wedge \dots \wedge e_{i_1}$ -coefficient of  $R(u^p) := \bar{\partial}|f|^{2\lambda} \wedge u^p|_{\lambda=0}$  is just the current  $R_{\mathcal{I}}^p(f)$ , and thus in particular,  $\text{ann } R(u^p) = \text{ann } R^p(f)$ . Let  $\nabla = \delta_f - \bar{\partial} : \Lambda(V \oplus T_{0,1}^*(\mathcal{U})) \rightarrow \Lambda(V \oplus T_{0,1}^*(\mathcal{U}))$ ; here  $\delta_f$  denotes interior multiplication by  $f$ . Observe that  $\nabla u^p = 1$  outside  $V(f)$ . In [1] it was proved if  $u$  is any section of  $\Lambda(V \oplus T_{0,1}^*(\mathcal{U}))$  that is smooth and satisfies  $\nabla u = 1$  outside  $V(f)$ , then the corresponding current  $R(u) := \bar{\partial}|f|^{2\lambda} \wedge u|_{\lambda=0}$  satisfies that  $\text{ann } R(u) \subseteq \mathfrak{a}(f)$ . We conclude that  $\text{ann } R^p(f) \subseteq \mathfrak{a}(f)$ .

Given a sequence of germs  $g_1, \dots, g_n \in \mathcal{O}_0^n$ , let  $\text{Jac}(g)$  denote the Jacobian determinant  $\text{Jac}(g) = \left| \frac{\partial g_i}{\partial z_j} \right|_{1 \leq i, j \leq n}$ . Note that  $df_{i_n} \wedge \dots \wedge df_{i_1} = \pm \text{Jac}(f_{\mathcal{I}}) dz_n \wedge \dots \wedge dz_1$ . Thus in light of (3.1) and Lemma 3.1,  $\text{Jac}(f_{\mathcal{I}}) \in \text{ann } R_{\mathcal{I}}^p(f)$  if and only if  $R_{\mathcal{I}}^p(f) \equiv 0$ . Given this we can show that  $\text{ann } R^p(f) = \mathfrak{a}(f)$  implies that  $\mathfrak{a}(f)$  is a complete intersection ideal by following the proof of Theorem A in [15, Section 5].

It remains to prove that the right inclusion in (1.3) is strict when  $n \geq 2$ . Given a multi-index  $\mathcal{I} = \{i_1, \dots, i_n\}$ , let  $P(\mathcal{I}) = \sum_{j=1}^n \frac{1}{p_{i_j}}$ . Pick two multi-indices  $\mathcal{I}$  and  $\mathcal{J}$ , such that  $P(\mathcal{I}) \geq P(\mathcal{J})$ . We claim that then  $R_{\mathcal{J}}^p(f) \wedge df_{i_n} \wedge \dots \wedge df_{i_1}$  either vanishes or is a positive pointmass at the origin.

Let  $\pi : X \rightarrow (\mathbb{C}^n, 0)$  be a log-resolution of  $\mathfrak{a}(f^p)$ . Then  $R_{\mathcal{J}}(f^p)$  is the push-forward of a current  $\tilde{R}$  on  $X$ , which has support on the exceptional primes with respect to whom  $\mathcal{J}$  is essential. More precisely,  $\tilde{R}$  can be decomposed as  $\tilde{R} = \sum \tilde{R}_E$ , where the sum is over the exceptional primes  $E \subseteq X$ , such that  $\mathcal{J}$  is essential with respect to  $E$ , and  $\tilde{R}_E$  has support on  $E$ , see [15, Section 6].

Let  $E_1$  be an exceptional prime, such that  $\mathcal{J}$  is essential with respect to  $E_1$ . Then we can choose local coordinates  $\sigma$  on  $X$ , so that  $E_1 = \{\sigma_1 = 0\}$  and  $\tilde{R}_{E_1}$  is of the form  $\bar{\partial}[1/\sigma_1^{a_1}] \wedge [1/(\sigma_2^{a_2} \cdots \sigma_n^{a_n})] \wedge \beta$ , where  $\beta$  is a smooth form and  $a_j = \text{ord}_{E_j}(f^p)$ , where  $E_j = \{\sigma_j = 0\}$ . Observe that for  $1 \leq \ell \leq m$ ,  $\pi^* f_\ell^{p_\ell}$  is divisible by  $\sigma_j^{a_j}$  and so  $\pi^* f_\ell$  is divisible by  $\sigma_j^{\lceil a_j/p_\ell \rceil}$ . It follows that

$$\pi^*(f_{j_1}^{p_{j_1}-1} \cdots f_{j_n}^{p_{j_n}-1}) \tilde{R}_{E_1} = \bar{\partial}[1/\sigma_1^{b_1}] \wedge [1/(\sigma_2^{b_2} \cdots \sigma_n^{b_n})] \wedge \beta,$$

where  $b_j \leq a_j P(\mathcal{J})$ . A computation following [15, p. 2130] yields that

$$\pi^*(df_{i_n} \wedge \cdots \wedge df_{i_1}) = \sigma_1^{c_1-1} (\sigma_2^{c_2} \cdots \sigma_n^{c_n} \gamma + \sigma_1 \delta) d\sigma_1 \wedge \cdots \wedge d\sigma_n,$$

where  $c_j \geq a_j P(\mathcal{I})$  and  $\gamma$  and  $\delta$  are holomorphic functions. Since, by assumption,  $P(\mathcal{I}) \geq P(\mathcal{J})$ ,  $\pi^*(f_{j_1}^{p_{j_1}-1} \cdots f_{j_n}^{p_{j_n}-1}) \tilde{R}_{E_1} \wedge \pi^*(df_{i_n} \wedge \cdots \wedge df_{i_1})$  is of the form  $\bar{\partial}[1/\sigma_1] \wedge d\sigma_1 \wedge \tilde{\beta} = 2\pi i [E_1] \wedge \tilde{\beta}$ , where  $\tilde{\beta}$  is a smooth form. Hence

$$\begin{aligned} R_{\mathcal{J}}^p(f) \wedge df_{i_n} \wedge \cdots \wedge df_{i_1} = \\ \sum_E \pi_* \left( \pi^*(f_{j_1}^{p_{j_1}-1} \cdots f_{j_n}^{p_{j_n}-1}) \tilde{R}_E \wedge \pi^*(df_{i_n} \wedge \cdots \wedge df_{i_1}) \right) \end{aligned}$$

is a non-negative point mass at 0 and the claim is proved.

Now pick a  $p$ -essential multi-index  $\mathcal{I}$ , for which  $P(\mathcal{I}) = \max_{\mathcal{J} \text{ } p\text{-essential}} P(\mathcal{J})$ . Then the non-vanishing entries of  $R^p(f) \wedge df_{i_n} \wedge \cdots \wedge df_{i_1}$  are just point-masses at the origin; in particular,  $\text{Jac}(f_{\mathcal{I}}) \mathfrak{m} \subseteq \text{ann } R^p(f)$ , where  $\mathfrak{m}$  denotes the maximal ideal in  $\mathcal{O}_0^n$ . Let  $E$  be an exceptional prime, such that  $\mathcal{I}$  is  $p$ -essential with respect to  $E$ . A direct computation gives that  $\text{ord}_E(df_{i_1}^{p_{i_1}} \wedge \cdots \wedge df_{i_n}^{p_{i_n}}) = n \text{ord}_E(f^p) - 1$  and  $\text{ord}_E(dz_1 \wedge \cdots \wedge dz_n) \geq \sum_{i=1}^n \text{ord}_E(z_i) - 1$ . Note that  $\text{ord}_E(z_k) \geq 1$  for  $1 \leq k \leq n$ . Since  $df_{i_1}^{p_{i_1}} \wedge \cdots \wedge df_{i_n}^{p_{i_n}} = p_{i_1} \cdots p_{i_n} f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1} \text{Jac}(f_{\mathcal{I}}) dz_1 \wedge \cdots \wedge dz_n$  it follows that

$$\begin{aligned} \text{ord}_E(z_k f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1} \text{Jac}(f_{\mathcal{I}})) \leq n \text{ord}_E(f^p) - n + 1 = \\ \text{ord}_E(\overline{\mathfrak{a}(f^p)^n}) - n + 1 \end{aligned}$$

for  $1 \leq k \leq n$ . Here we have used that  $\overline{\mathfrak{a}}$  is the set of all  $h \in \mathcal{O}_0^n$ , that satisfy  $\text{ord}_E(h) \geq \text{ord}_E(\mathfrak{a})$  for all Rees valuations  $\text{ord}_E$  of  $\mathfrak{a}$ , see Section 2. Hence, if  $n \geq 2$ , there are elements, for example  $z_k \text{Jac}(f_{\mathcal{I}})$ , in  $\text{ann } R^p(f)$  that are not in  $\overline{\mathfrak{a}(f^p)^n}$ :  $(f_{i_1}^{p_{i_1}-1} \cdots f_{i_n}^{p_{i_n}-1})$ . This proves that the first inclusion in (1.3) is strict for  $n \geq 2$  and concludes the proof of Theorem A.

#### 4. THE MONOMIAL CASE

Let  $z^A = (z^{a^1}, \dots, z^{a^m})$  be a sequence of germs of monomials in  $\mathcal{O}_0^n$ . Recall that the *Newton polyhedron*  $\text{NP}(A)$  is defined as the convex hull in  $\mathbb{R}^n$  of the set of exponents of monomials in  $\mathfrak{a}(z^A)$ . The Rees

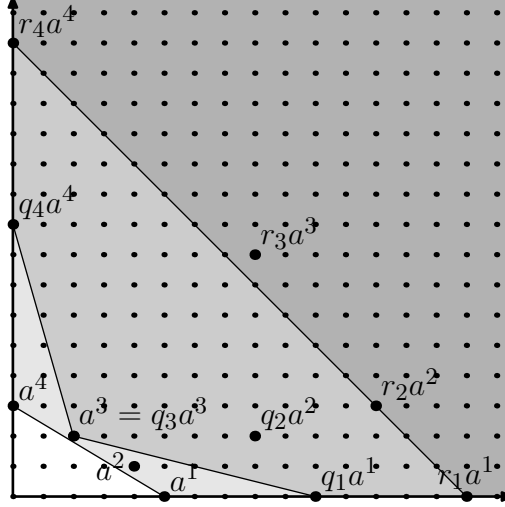


FIGURE 1. The Newton polytopes of the sequences  $z^A$  (light grey),  $z^{qA}$  (medium grey), and  $z^{rA}$  (dark grey) in Example 4.1.

valuations of  $\mathbf{a}(z^A)$  are monomial and in one-to-one correspondence with the compact facets (faces of dimension  $n - 1$ ) of  $\text{NP}(A)$ . More precisely, the facet  $\tau$  with normal vector  $\rho = (\rho_1, \dots, \rho_n)$  corresponds to the monomial valuation  $\text{ord}_\tau(z_1^{a_1} \cdots z_n^{a_n}) = \rho_1 a_1 + \cdots + \rho_n a_n$ , see for example [14, Thm. 10.3.5]. Given a multi-index  $\mathcal{I} = \{i_1, \dots, i_n\}$ , let  $A_{\mathcal{I}}$  denote the set  $\{a^{i_1}, \dots, a^{i_n}\} \subseteq A$  so that  $z^{A_{\mathcal{I}}}$  is the sequence  $z^{a^{i_1}}, \dots, z^{a^{i_n}}$ . Moreover, let  $\det(A_{\mathcal{I}})$  denote the determinant of the matrix with rows  $a^{i_1}, \dots, a^{i_n}$ . It follows that a multi-index  $\mathcal{I}$  is essential with respect to  $E_\tau$  precisely if  $A_{\mathcal{I}}$  is contained in  $\tau$  and  $\det(A_{\mathcal{I}}) \neq 0$ ; here  $E_\tau$  denotes the exceptional prime associated with  $\tau$ . This means that  $\mathcal{I}$  is  $p$ -essential if and only if  $pA_{\mathcal{I}} := \{p_{i_1} a^{i_1}, \dots, p_{i_n} a^{i_n}\}$  is contained in a facet of  $\text{NP}(pA)$  and  $\det(A_{\mathcal{I}}) \neq 0$ .

Observe that if  $V(z^A) = \{0\}$ , then  $z^A$  is regular precisely if  $m = n$  and  $z^{a^j}$  is of the form  $z_j^{b_j}$  (possibly after reordering the variables). Moreover, recall that the integral closure of  $\mathbf{a}(z^A)$  is the monomial ideal generated by monomials with exponents in  $\text{NP}(A)$ , see for example [20].

Let us illustrate Theorem C with some examples.

*Example 4.1.* Let  $z^A$  be the sequence of monomials  $z^A = (z^{a^1}, \dots, z^{a^4}) = (z_1^5, z_1^4 z_2, z_1^2 z_2^2, z_2^3)$ . Then  $\text{NP}(A)$  has just one compact facet and so  $\mathbf{a}(z^A)$  has exactly one Rees valuation, which is the monomial valuation  $\text{ord}_E$  given by  $\text{ord}_E(z_1^{b_1} z_2^{b_2}) = 3b_1 + 5b_2$ . Moreover the only essential multi-index with respect to  $z^A$  is  $\{1, 4\}$  and so Theorem C asserts that  $R(z^A) = R^p(z^A)$ , where  $p = (1, 1, 1, 1)$ , has one non-vanishing entry  $R_{\{1,4\}}(z^A) = C_{\{1,4\}} \bar{\partial} \left[ \frac{1}{z_1^5} \right] \wedge \bar{\partial} \left[ \frac{1}{z_2^3} \right]$  and  $\text{ann } R(z^A) = (z_1^5, z_2^3)$ .



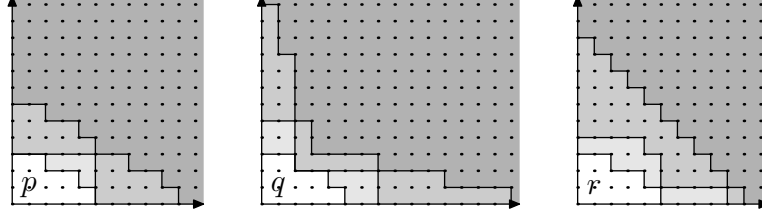


FIGURE 2. The exponent sets of the ideals  $\mathfrak{a}(z^A)$  (light grey),  $\text{ann } R^p(z^A)$  (medium grey) and  $\bigcap \overline{(z^A)^2} : (z^{(p_{i_1}-1)a^{i_1}} z^{(p_{i_2}-1)a^{i_2}})$  (dark grey) for weights  $p$ ,  $q$ , and  $r$  in Example 4.1.

Let  $q = (2, 2, 1, 3)$ . Then  $\text{NP}(qA)$  has two compact facets, so that  $\mathfrak{a}(z^{qA}) = (z_1^{10}, z_1^8 z_2^2, z_1^2 z_2^2, z_2^9)$  has two Rees valuations:  $\text{ord}_{E_1}(z_1^{b_1} z_2^{b_2}) = b_1 + 4b_2$  and  $\text{ord}_{E_2}(z_1^{b_1} z_2^{b_2}) = 7b_1 + 2b_2$ . Moreover there are two  $q$ -essential multi-indices,  $\{1, 3\}$  and  $\{3, 4\}$ , corresponding to  $E_1$  and  $E_2$ , respectively. It follows from Theorem C that  $\text{ann } R^q(z^A) = (z_1^7, z_2^2) \cap (z_1^2, z_2^5) = (z_1^7, z_1^2 z_2^2, z_2^5)$ . Note that  $\text{ann } R^p \not\subseteq \text{ann } R^q$  and  $\text{ann } R^q \not\subseteq \text{ann } R^p$ , which illustrates that in general no relation between the weights  $p$  and  $q$  is reflected in the relation between  $\text{ann } R^p(z^A)$  and  $\text{ann } R^q(z^A)$ . One can check that by varying the weight  $p$  one gets all together 9 different annihilator ideals. Let us consider one more example. Let  $r = (3, 3, 4, 5)$ . Then  $\text{NP}(rA)$  has one compact facet, so that  $\mathfrak{a}(z^{rA})$  has one Rees valuation. However, there are three  $r$ -essential multi-indices,  $\{1, 2\}$ ,  $\{1, 4\}$ , and  $\{2, 4\}$ , and  $\text{ann } R^r(z^A) = (z_1^9, z_2) \cap (z_1^5, z_2^3) \cap (z_1^4, z_2^4)$ . In Figure 1 we have drawn  $\text{NP}(pA)$  and also marked the elements in  $pA$ , for the weights  $p$ ,  $q$ , and  $r$ .

Note that  $z^{(q_1-1)a^1} z^{(q_3-1)a^3} = z_1^5$  and  $z^{(q_3-1)a^3} z^{(q_4-1)a^4} = z_2^6$ . It follows that for the weight  $q$  the leftmost ideal in (1.3) is given by  $(z_1^{15}, z_1^{11} z_2, z_1^7 z_2^2, z_1^3 z_2^3, z_1^2 z_2^5, z_1 z_2^9, z_2^{12})$  and so one sees directly that the left inclusion in (1.3) is strict in this case. In Figure 2 the three ideals in (1.3) are depicted for weights  $p$ ,  $q$ , and  $r$ . Note that  $\text{ann } R^p(z^A)$  is strictly included in  $\mathfrak{a}(z^A)$  in all three cases. Also note that  $\overline{\mathfrak{a}(z^A)^2} \not\subseteq \text{ann } R^r(z^A)$ , which shows that it is not true in general that  $\overline{\mathfrak{a}(f)^n} \subseteq \text{ann } R^p(f)$ .  $\square$

*Example 4.2.* Let  $z^A = (z, z^2)$ . Then  $\mathfrak{a}(z^A)$  is just the maximal ideal  $\mathfrak{m} \subseteq \mathcal{O}_0^1$ . Note that since  $n = 1$  there is a unique Rees valuation associated with  $\mathfrak{a}(z^A)$ , namely the order of vanishing at the origin. For  $j \in \mathbb{N}$ , let  $p^j = (j, 1)$ . Then  $R(z^A) = R^{p^1}(z^A) = (\bar{\partial}[1/z], 0)$ ,  $R^{p^2}(z^A) = (\bar{\partial}[1/z], \bar{\partial}[1/z^2])$ , and  $R^{p^j}(z^A) = (0, \bar{\partial}[1/z^2])$  for  $j \geq 3$ . It follows that  $\text{ann } R = \mathfrak{m}$ , whereas  $\text{ann } R^{p^j} = \mathfrak{m}^2$  for  $j \geq 2$ .  $\square$

Example 4.2 shows that in general  $R^p(f)$ , as well as  $\text{ann } R^p(f)$ , depends in an essential way on the particular sequence  $f$  and not only on the ideal  $\mathfrak{a}(f)$ . Theorem A in [15] asserts that  $\text{ann } R(f) = \mathfrak{a}(f)$  if and only if  $\mathfrak{a}(f)$  is a complete intersection ideal. Theorem A says that the only if-direction of this statement holds for any  $p$ , whereas Example 4.2 shows that the if-direction fails in general. Moreover, in the monomial case  $R(f)$  only depends on  $\mathfrak{a}(f)$  and not on the particular sequence  $f$ . Question D in [15] asks whether it is always true (as long as  $V(f) = \{0\}$ ) that  $\text{ann } R(f)$  only depends on  $\mathfrak{a}(f)$ .

**4.1. Proof of Theorem C.** Theorem 3.1 in [23] states that if  $\mathcal{I}$  is essential with respect to  $z^A$ , then  $R_{\mathcal{I}}(f)$  is of the form (1.6), where  $C_{\mathcal{I}}$  is a nonzero constant. Thus, using (1.2) and (1.4), we conclude that the entries of  $R^p(f)$  are of the form (1.6).

Assume that  $\mathcal{I}$  is  $p$ -essential. Then by Lemma 3.1, (3.1) times  $1/(2\pi i)^n$  has strictly positive mass. Note that  $dz^{a^{i_n}} \wedge \cdots \wedge dz^{a^{i_1}} = \det(A_{\mathcal{I}}) z_1^{\alpha_1^{\mathcal{I}}-1} \cdots z_n^{\alpha_n^{\mathcal{I}}-1} dz_n \wedge \cdots \wedge dz_1$ . Since  $\bar{\partial} \left[ \frac{1}{z} \right] \wedge dz = 2\pi i [0]$ , it follows that the left hand side of (3.1) is equal to  $(2\pi i)^n C_{\mathcal{I}} |\det(A_{\mathcal{I}})| [0]$ , and so  $C_{\mathcal{I}} \geq 0$ .

**4.2. The coefficients  $C_{\mathcal{I}}$ .** Given a sequence of monomials  $z^A$  one can find a log-resolution  $X_A \rightarrow (\mathbb{C}^n, 0)$  of  $\mathfrak{a}(z^A)$ , where  $X_A$  is a toric variety constructed from (the normal fan of)  $\text{NP}(A)$ , see [7, p. 82]. In [23] we computed  $R(z^A)$  as the push-forward of a certain current on  $X_A$ . Assume that  $\mathcal{I}$  is essential with respect to  $E_{\tau}$ , where  $\tau$  is a facet of  $\text{NP}(A)$ . According to [23, p. 381], the coefficient  $C_{\mathcal{I}}$  is of the form  $C_{\mathcal{I}} = \pm \frac{1}{(2\pi i)^{n-1}} (n-1)! DI$ , where  $I$  is an integral of the form

$$I = \int \frac{\prod_{j=1}^{n-1} |t_j|^{2(c_{j1}+\cdots+c_{jn}-1)}}{\sum_{k=1}^{\ell} \prod_{j=1}^{n-1} |t_j|^{2c_{jk}}} d\bar{t}_1 \wedge \cdots \wedge d\bar{t}_{n-1} \wedge dt_{n-1} \wedge \cdots \wedge dt_1,$$

for some  $n \leq \ell \leq m$  and  $\{c_{jk}\}_{1 \leq j \leq n-1, 1 \leq k \leq \ell}$ , and  $D$  is the determinant of the matrix with entries  $\{d_{jk}\}_{1 \leq j, k \leq n}$ , where  $d_{jk} = c_{jk}$  if  $j \leq n-1$  and  $d_{nk} = 1$ . The terms in the denominator correspond to the  $a^j \in A$  that lie in  $\tau$ ; in particular,  $C_{\mathcal{I}}$  depends only on  $\tau \cap A$ . (Assuming that  $\mathcal{I} = \{1, \dots, n\}$  and that  $\{a^1, \dots, a^{\ell}\}$  are the exponents in  $\tau$ , then, in the terminology of [23],  $c_{jk} = \rho_j \cdot (b_k - a_0)$ .) In general the integral  $I$  is hard to compute; compare to (5.1).

Assume that  $\ell = n$  and that  $c_{jk} = 0$  unless  $j = k$ , possibly after rearranging the variables  $t_j$ . Then

$$I = \int \frac{\prod_{j=1}^{n-1} |t_j|^{2(c_j-1)}}{(1 + \sum_{j=1}^{n-1} |t_j|^{2c_j})^n} d\bar{t}_1 \wedge \cdots \wedge d\bar{t}_{n-1} \wedge dt_{n-1} \wedge \cdots \wedge dt_1,$$

where  $c_j$  just denotes  $c_{jj}$ . A direct computation gives that

$$\int \frac{|s|^{2(N-1)}}{(1 + |s|^{2N})^p} d\bar{s} \wedge ds = 2\pi i \frac{1}{p-1} \frac{1}{N},$$

which implies that  $I = \frac{(2\pi i)^{n-1}}{(n-1)!} \frac{1}{c_1 \cdots c_{n-1}}$ . Moreover  $D = c_1 \cdots c_{n-1}$ , and since  $C_{\mathcal{I}} \geq 0$ , we conclude that  $C_{\mathcal{I}} = 1$ .

The assumption that  $\ell = n$  is satisfied precisely if  $\mathcal{I}$  is the unique multi-index that is essential with respect to a certain Rees valuation. The assumption that  $c_{jk} = 0$  for  $j \neq k$  is for example satisfied if the normal fan of  $\text{NP}(A)$  is regular, see [11]. It is also satisfied if  $n = 2$ .

Given a facet  $\tau$  of  $\text{NP}(A)$ , let  $\det(\tau)$  be the normalized volume, that is,  $n!$  times the Euclidean volume, of the convex hull of  $\tau$  and the origin in  $\mathbb{R}^n$ . If  $\tau$  is simplicial with vertices  $b^1, \dots, b^n$ , then  $\det(\tau)$  is just (the absolute value of) the determinant of the matrix with rows  $b^1, \dots, b^n$ . For  $n = 2$  we have the following description of the coefficients  $C_{\mathcal{I}}$ :

$$(4.1) \quad \sum_{A_{\mathcal{I}} \subseteq \tau} |\det(A_{\mathcal{I}})| C_{\mathcal{I}} = \det(\tau).$$

To prove this, recall that if  $V(z^A) = \{0\}$ , then the Hilbert-Samuel multiplicity  $e(z^A)$  of  $\mathfrak{a}(z^A)$  equals the normalized volume  $\text{Vol}(\mathbb{R}_+^n \setminus \text{NP}(A))$  of the complement in  $\mathbb{R}_+^n$  of  $\text{NP}(A)$ , see for example [21]. Observe that  $\text{Vol}(\mathbb{R}_+^n \setminus \text{NP}(A)) = \sum \det(\tau)$ , where the sum runs over the facets  $\tau$  of  $\text{NP}(A)$ . Now (4.1) follows in light of (1.5) and the fact that if  $\mathcal{I}$  is essential with respect to  $E_{\tau}$ , then  $C_{\mathcal{I}}$  depends only on  $a^j \in A \cap \tau$ .

**Question 4.3.** *Does (4.1) hold also when  $n > 2$ ?*

*Example 4.4.* Let  $z^A$  and  $p, q$ , and  $r$  be as in Example 4.1, and let  $s = (2, 1, 1, 2)$ . From [2] we know that  $e^p(z^A)$  is the Hilbert-Samuel multiplicity of  $\mathfrak{a}(z^A)$ . Since there is only one essential multi-index with respect to  $z^A$  we can also compute this directly from (4.1). Indeed  $C_{\{1,4\}} = 1$  and so  $e^p(z^A) = |\det(A_{\{1,4\}})| = 15$ .

Moreover, recall that  $\mathfrak{a}(z^{qA})$  has two Rees valuations and that there is one  $q$ -essential multi-index associated with each divisor:  $\{1, 3\}$  and  $\{3, 4\}$ . Hence  $C_{\{1,3\}} = C_{\{3,4\}} = 1$  and so  $e^q(z^A) = |\det(A_{\{1,3\}})| + |\det(A_{\{3,4\}})| = 10 + 6 = 16$ , that is, the normalized area of the convex hull of  $a^1 = (5, 0)$ ,  $a^3 = (2, 2)$ , and  $a^4 = (0, 3)$ . Similarly  $\mathfrak{a}(z^{sA})$  has three Rees valuations and there is one  $s$ -essential multi-index for each valuation; it follows that  $e^s(z^A) = 17$ , see Figure 3.

Finally  $\mathfrak{a}(z^{rA})$  has one Rees valuation, but there are three  $r$ -essential multi-indices. From (4.1) we know that  $C_{\{1,2\}} |\det(A_{\{1,2\}})| + C_{\{1,4\}} |\det(A_{\{1,4\}})| + C_{\{2,4\}} |\det(A_{\{2,4\}})| = |\det(A_{\{1,4\}})|$ , which means  $5C_{\{1,2\}} + 15C_{\{1,4\}} + 12C_{\{2,4\}} = 15$ . However, we cannot say more; in particular, we cannot determine  $e^r(z^A)$ .

□

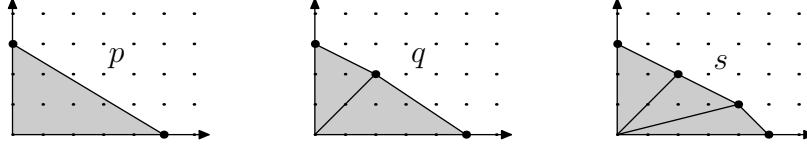


FIGURE 3. The multiplicities  $e^p(z^A)$ ,  $e^q(z^A)$ , and  $e^s(z^A)$  in Example 4.4.

## 5. DISCUSSION OF QUESTION B

Theorem C allows us to give an affirmative answer to Question B in the monomial case. Recall that if  $\mathfrak{a}(f)$  is a complete intersection ideal, then  $\mathfrak{a}(f)$  is, in fact, generated by  $n$  of the  $f_j$ . This follows for example from Nakayama's Lemma.

**Proposition 5.1.** *Suppose that  $z^A = (z^{a_j})_{j=1}^m$  is a sequence of germs of holomorphic monomials at  $0 \in \mathbb{C}^n$ , such that  $V(z^A) = \{0\}$ . Then  $R^p(z^A)$  is independent of  $p$  if and only if  $z^A$  is a regular sequence.*

*Moreover,  $\text{ann } R^p(z^A)$  is independent of  $p$  if and only if for each  $\mathcal{I} = \{i_1, \dots, i_n\} \subseteq \{1, \dots, m\}$ , either  $z^{A_{\mathcal{I}}}$  generates  $\mathfrak{a}(z^A)$  or  $\det(A_{\mathcal{I}}) = 0$ .*

Note that the condition that either  $z^{A_{\mathcal{I}}}$  generates  $\mathfrak{a}(z^A)$  or  $\det(A_{\mathcal{I}}) = 0$  is equivalent to that  $\mathfrak{a}(z^A)$  is a complete intersection ideal, generated by say  $z_1^{b_1}, \dots, z_n^{b_n}$ , and that moreover, for  $1 \leq j \leq m$ ,  $z^{a_j}$  is equal to  $z_k^{b_k}$  for some  $1 \leq k \leq n$ .

*Proof.* First, note that the if-directions of the statements in Proposition 5.1 follow immediately from Theorem C. Thus we need to prove the only if-directions.

Let  $\mathcal{I}$  be a multi-index defined by that  $z^{a_{i_j}}$  is of the form  $z_j^{b_j}$ , where  $b_j$  is the smallest number such that  $z_j^{b_j}$  is among the entries of  $z^A$ . Without loss of generality we may assume that  $\mathcal{I} = \{1, \dots, n\}$ . Choose  $p \in \mathbb{N}^m$ , so that  $p_i = 1$  if  $i \leq n$  and  $p_i \gg 1$  otherwise. Then  $\mathcal{I}$  is the unique  $p$ -essential multi-index.

Assume that  $m > n$  and choose  $j$ , such that  $n < j \leq m$ . Moreover, choose  $q \in \mathbb{N}^m$  such that  $a^j$  lies in the one of the compact facets of  $\text{NP}(qA)$ . For example, let  $q$  be defined by  $q_i = |a^1| + \dots + |a^{i-1}| + |a^{i+1}| + \dots + |a^m|$ , where  $|a^\ell| = a_1^\ell + \dots + a_n^\ell$ . Then  $j$  is contained in a  $q$ -essential multi-index, say  $\mathcal{J}$ . It follows that  $R_{\mathcal{J}}^q(z^A) \neq 0$ , whereas  $R_{\mathcal{J}}^p(z^A) = 0$ . Hence  $R^p(z^A) \neq R^q(z^A)$  and we have proved the first part of Proposition 5.1.

Next, assume that there is an  $a^j \in A$  such that  $z^A$  is not equal to any of  $z_1^{b_1}, \dots, z_n^{b_n}$ . Since  $V(z^A) = \{0\}$ , at least one of the entries of  $a^j$  is positive, say  $a_k^j > 0$ . Let  $\mathcal{J} = \{1, \dots, k-1, k+1, \dots, n, j\}$ . Then  $\det(A_{\mathcal{J}}) \neq 0$ , which means that we can find a weight  $q$  such that  $\mathcal{J}$  is  $q$ -essential; for instance we can take  $q$  as above. By assumption,

$a_k^j > b_k$  or  $a_i^j > 0$  for some  $i \neq k$ . In both cases, for some  $\ell$ , the  $\ell$ th entry of  $\sum_{j \in \mathcal{J}} a^j$  is strictly larger than the  $\ell$ th entry of  $\sum_{j \in \mathcal{I}} a^j$  and thus  $\text{ann } R_{\mathcal{J}}^q(z^A) \not\subseteq \text{ann } R_{\mathcal{I}}^p(z^A)$ . This proves the second part of Proposition 5.1.  $\square$

Observe that a necessary condition for Question B to be true would be that the set of  $p$ -essential multi-indices is independent of  $p$  if and only if  $f$  is a regular sequence. As we saw in the above proof this is true if  $f$  is monomial, but we do not know if it holds in general. When  $f$  is monomial, the essential multi-indices are rather special. For example, a multi-index can be essential with respect to at most one Rees valuation, which is not the case in general. Indeed, if  $m = n$ , then  $\mathcal{I} = \{1, \dots, n\}$  is essential with respect to all Rees valuations (and there can be more than one Rees valuation). The following example illustrates another phenomenon, which does not occur in the monomial case.

*Example 5.2.* Let  $f = (z_1^4 - z_2^4, z_1^2 z_2, z_1 z_2^2)$ . Then  $\mathbf{a}(f)$  has three Rees valuations, namely the monomial valuations  $\text{ord}_{E_1}(z_1^{b_1} z_2^{b_2}) = b_1 + b_2$ ,  $\text{ord}_{E_2}(z_1^{b_1} z_2^{b_2}) = 2b_1 + b_2$ ,  $\text{ord}_{E_3}(z_1^{b_1} z_2^{b_2}) = b_1 + 2b_2$ , and  $\{2, 3\}$ ,  $\{1, 3\}$  and  $\{1, 2\}$  are the unique essential multi-indices with respect to  $\text{ord}_{E_1}$ ,  $\text{ord}_{E_2}$ , and  $\text{ord}_{E_3}$ , respectively. Note that this situation cannot happen if  $f_j$  are all monomials.

Let  $q = (1, 2, 2)$ . Then  $\mathbf{a}(f^q) = (z_1^4 - z_2^4, z_1^4 z_2^2, z_1^2 z_2^4)$  has four Rees valuations,  $\text{ord}_{E_1}, \dots, \text{ord}_{E_4}$ . To see this, note that after blowing up the origin once, the strict transform of  $\mathbf{a}(f^q)$  has support at four points  $x_1, \dots, x_4$ . The divisor  $E_j$  is obtained by further blowing up  $x_j$  twice. A computation yields that  $\{1, 2\}$  and  $\{1, 3\}$  are both  $q$ -essential with respect to  $E_j$  for  $1 \leq j \leq 4$ , whereas  $\{2, 3\}$  is not  $q$ -essential. Hence  $R(f) \neq R^q(f)$ .  $\square$

Note that  $\det(A_{\mathcal{I}}) = 0$  is equivalent to that  $dz^{a^{i_1}} \wedge \dots \wedge dz^{a^{i_n}}$  vanishes identically, which in turn implies that  $\mathcal{I}$  is not  $p$ -essential for any  $p \in \mathbb{N}^m$ . This motivates the following version of Question B.

**Question B'.** *Is it true that  $\text{ann } R^p(f)$  is independent of  $p$  if and only if for any  $\mathcal{I} = \{i_1, \dots, i_n\}$ , either  $f_{\mathcal{I}}$  generates  $\mathbf{a}(f)$  or the form  $df_{i_1} \wedge \dots \wedge df_{i_n}$  vanishes identically.*

Let us mention some partial answers to Question B'. Theorem C in [15] asserts that if  $\mathbf{a}(f)$  is a complete intersection ideal, then  $R_{\mathcal{I}}(f)$  is a constant times the Coleff-Herrera product  $R_{CH}(f_{\mathcal{I}})$  if  $f_{\mathcal{I}}$  generates  $\mathbf{a}(f)$  and 0 otherwise. Using this and (1.4) one can check that  $\text{ann } R^p(f)$  is independent of  $p$  if  $\mathbf{a}(f)$  is a complete intersection ideal, generated by say  $f_1, \dots, f_n$ , and moreover for  $j > n$ ,  $f_j$  is equal to (a constant times) one of the  $f_k$  for  $1 \leq k \leq n$ ; compare this to (the discussion right after) Proposition 5.1.

*Example 5.3.* Let  $f = (z_1, z_2, z_1 + z_2)$ . Then  $\mathfrak{a}(f)$  is just the maximal ideal in  $\mathcal{O}_0^2$ , which is clearly a complete intersection ideal, and thus by Theorem C in [15],  $\text{ann } R(f) = \mathfrak{a}(f)$ . Note that any choice of  $f_i$  and  $f_j$  generate  $\mathfrak{a}(f)$ , so  $f$  satisfies the condition in Question B'.

Let  $p = (3, 3, 3)$ . Observe that  $\mathfrak{a}(f^p) = (z_1^3, z_2^3, z_1^2 z_2 + z_1 z_2^2)$  is not a complete intersection ideal. A computation yields that

$$R_{\{1,3\}}(f^p) = A_1 \bar{\partial}[1/z_1^5] \wedge \bar{\partial}[1/z_2] + A_2 \bar{\partial}[1/z_1^4] \wedge \bar{\partial}[1/z_2^2] + A_3 \bar{\partial}[1/z_1^3] \wedge \bar{\partial}[1/z_2^3],$$

for some constants  $A_1, A_2$ , and  $A_3$ . It follows that  $R_{\{1,3\}}^p(f) = (A_1 + 2A_2 + A_3) \bar{\partial}[1/z_1] \wedge \bar{\partial}[1/z_2]$ . In fact, also the other entries of  $R^p$  are of this form and so  $\text{ann } R^p = \mathfrak{a}(f)$ .  $\square$

Note that if there is a subsequence  $f_{\mathcal{I}} = (f_{i_1}, \dots, f_{i_n})$  of  $f$  such that  $V(f_{\mathcal{I}}) = \{0\}$ , then by choosing  $p_j = 1$  if  $j \in \mathcal{I}$  and  $p_j \gg 1$  for  $j \notin \mathcal{I}$ , the only non-vanishing entry of  $R^p(f)$  is  $R_{\mathcal{I}}^p(f)$ , which is a constant times  $R_{CH}(f_{\mathcal{I}})$ . Thus, given that there exists such an  $f_{\mathcal{I}}$ ,  $\text{ann } R^p(f)$  is not independent of  $p$  as soon as, for example, there is another multi-index  $\mathcal{J}$ , such that  $V(f_{\mathcal{J}}) = \{0\}$ , or as soon as  $\text{ann } R(f)$  is not a complete intersection ideal. One can, however, not always find such an  $f_{\mathcal{I}}$ , as the following example shows.

*Example 5.4.* Let  $f = (f_1, f_2, f_3) = (z_1 z_2, z_1(z_1 + z_2), z_2(z_1 + z_2))$ . Then  $V(f_{\mathcal{I}})$  is a line through the origin for all  $\mathcal{I} = \{i_1, i_2\}$ ; in particular,  $V(f_{\mathcal{I}}) \neq \{0\}$ . Moreover,  $\mathfrak{a}(f)$  is the (monomial) ideal  $\mathfrak{m}^2$ , where  $\mathfrak{m}$  is the maximal ideal in  $\mathcal{O}_0^2$ . Thus the only Rees valuation of  $\mathfrak{a}(f)$  is the order of vanishing at the origin and so  $R(f)$  can be computed by blowing up the origin once. Note that all multi-indices  $\mathcal{I} = \{i_1, i_2\}$  are essential. Let  $R^{\ell,k}$  denote the current  $\bar{\partial}[1/z_1^\ell] \wedge \bar{\partial}[1/z_2^k]$ , and let

$$(5.1) \quad C_j = \frac{1}{2\pi i} \int \frac{|t|^{2j} d\bar{t} \wedge dt}{(|t|^2 + |1+t|^2 + |t(1+t)|^2)^2}.$$

Then, a computation yields that  $R_{\{1,2\}}(f) = -C_0 R^{3,1}$ ,  $R_{\{1,3\}}(f) = 2C_2 R^{1,3}$ , and  $R_{\{2,3\}}(f) = C_0 R^{3,1} + 2C_1 R^{2,2} + C_2 R^{1,3}$ . It follows that  $\text{ann } R(f) = \mathfrak{m}^3$ .

Let  $p = (2, 1, 1)$ . Then  $\mathfrak{a}(f^p)$  has two Rees valuations,  $\text{ord}_{E_1}$  and  $\text{ord}_{E_2}$ , where  $E_1$  is the exceptional divisor obtained by blowing up the origin once, whereas  $E_2$  is obtained by further blowing up a point on  $E_1$  twice. Moreover,  $\{2, 3\}$  is essential with respect to  $E_1$  and  $\{1, 2\}$  and  $\{1, 3\}$  are essential with respect to  $E_2$ . A computation gives that  $R_{\{1,2\}}^p(f) = R_{\{1,3\}}^p(f) = -1/2(R^{3,1} - R^{2,2} + R^{1,3})$  and  $R_{\{2,3\}}^p(f) = A^{3,1} R^{3,1} + A^{2,2} R^{2,2} + A^{1,3} R^{1,3}$ , where  $A^{i,j} > 0$ .

Note that  $R_{\mathcal{I}}^p(f) \neq R_{\mathcal{I}}(f)$ , as well as  $\text{ann } R_{\mathcal{I}}^p(f) \neq \text{ann } R_{\mathcal{I}}(f)$ , for, at least,  $\mathcal{I} = \{1, 2\}, \{1, 3\}$ . Moreover, note that  $\text{ann } R(f)$  is strictly included in  $\text{ann } R^p(f)$ . Indeed,  $(A^{2,2} + A^{1,3})z_1^2 + (A^{1,3} - A^{3,1})z_1 z_2 - (A^{3,1} + A^{2,2})z_2^2 \in \text{ann } R^p(f) \setminus \text{ann } R(f)$ .  $\square$

**5.1. Related questions.** Question B could be posed also for the currents (1.5). The following example shows that  $e^p(f)$  does not necessarily vary with  $p$  even if  $R^p(f)$  and  $\text{ann } R^p(f)$  do.

*Example 5.5.* Let  $z^A = (z_1^2, z_1 z_2, z_2^2)$ . Then by varying  $p$  there are three different possibilities of  $p$ -essential multi-indices. First, all three multi-indices  $\mathcal{I} = \{i_1, i_2\}$  could be  $p$ -essential, which for example is the case for  $p = (1, 1, 1)$ . Next, for  $p = (1, 2, 1)$ ,  $\{1, 3\}$  is the only  $p$ -essential multi-index, and for  $p = (2, 1, 1)$ , the  $p$ -essential multi-indices are  $\{1, 2\}$  and  $\{2, 3\}$ . In the first situation, by [2],  $e^p(z^A)$  is the Hilbert-Samuel multiplicity of  $\mathfrak{a}(z^A)$ , which is equal to  $\text{Vol}(\mathbb{R}_+^n \setminus \text{NP}(A)) = |\det(A_{\{1,3\}})| = 4$ . In light of (4.1) it is not hard to check that this is holds true also if  $p$  is another weight such that all  $\mathcal{I}$  are  $p$ -essential. In the latter two cases, by Section 4.2, the coefficients  $C_{\mathcal{I}}$  are all 1, when  $\mathcal{I}$  is  $p$ -essential. It follows that  $e^p = |\det(A_{\{1,3\}})| = 4$  and  $e^p = |\det(A_{\{1,3\}})| + |\det(A_{\{2,3\}})| = 2 + 2$ , respectively, so in fact  $e^p(z_A)$  is independent of  $p$ .  $\square$

One can also ask in what sense  $R_{\mathcal{I}}^p(f)$  and  $\text{ann } R_{\mathcal{I}}^p(f)$  depend on  $p$ , once  $\mathcal{I}$  is  $p$ -essential. In the monomial case  $\text{ann } R_{\mathcal{I}}^p(f)$  is fix as long as  $\mathcal{I}$  is essential but the coefficient  $C_{\mathcal{I}}$  in (1.6) vary in general. Indeed, in Example 5.5 above, in the first case, for  $p = (1, 1, 1)$ ,  $C_{\mathcal{I}}$  are all strictly between 0 and 1, whereas in the latter cases they are either 0 or 1. In general, also  $\text{ann } R_{\mathcal{I}}^p(f)$  varies with  $p$ , see Example 5.4 above. Computations, such as in Example 5.4, suggest that in general there may be infinitely many different annihilator ideals  $\text{ann } R_{\mathcal{I}}^p(f)$  and  $\text{ann } R^p(f)$  as  $p$  varies over  $\mathbb{N}^m$ . This contrasts the monomial case, where there are always finitely many different ideals  $\text{ann } R^p(f)$ .

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