

Exercises (1 and 4 are assignments, the deadline for submitting the solution is Friday Nov 16.

1. * (Finite dimensional Banach spaces.) (1) Consider the space $l^p(\mathbb{R}^n) = \mathbb{R}^n$, $1 \leq p \leq \infty$, equipped with the norm

$$\|x\|_p^p = \sum_{i=1}^n |x_i|^p,$$

$\|x\| = \|x\|_2$ being the standard Euclidean norm. Find estimates for the constants $c = c(n)$ and $C = C(n)$ such that

$$c\|x\| \leq \|x\|_p \leq C\|x\|, x \in \mathbb{R}^n.$$

Is $c(n) \rightarrow \infty$, $C(n) \rightarrow \infty$, as $n \rightarrow \infty$? (It's difficult to find the exact value of $c(n)$ and $C(n)$, but you can still answer this question.)

- (2) * Consider now the space $l^p = l^p(\mathbb{N})$, $1 \leq p \leq \infty$,

$$\|x\|_p^p = \sum_{i=0}^{\infty} |x_i|^p.$$

For which pairs of (p, q) , is the identity map $I : x \rightarrow x$ a bounded operator $I : l^p \rightarrow l^q$?

2. (Non-compactness of the unit ball in an infinite-dimensional Banach space. See the textbook for the slightly abstract proof.) (1) Let $0 < \epsilon < 1$ be a fixed number. Let V be a Banach space and $W \subsetneq V$ a finite-dimensional vector subspace in V . Prove that there exists a unit vector $v \notin W$, $\|v\| = 1$, and $1 \geq \text{dist}(v, W) \geq \frac{1}{1+\epsilon}$. (2) Construct a sequences of infinite sequence of unit vectors $\{v_n\}$ such that $1 \geq \text{dist}(v_{n+1}, W_n) \geq \frac{1}{1+\epsilon}$, $\|v_{n+1}\| = 1$. In particular v_n has no convergent subsequences.
3. (S_p -norm of matrices). Consider $\mathbb{R}^n = (\mathbb{R}^n, \|\cdot\|)$ the Euclidean spaces and $M_{mn}(\mathbb{R})$ of real matrices T , $m \leq n$ and linear maps from $\mathbb{R}^n \rightarrow \mathbb{R}^m$. Recall Linear Algebra that $T^t T$ is a $m \times m$ symmetric matrix (and $T T^t$ is a $n \times n$ -symmetric matrix) and $T^t T \geq 0$ (resp. $T T^t \geq 0$). As symmetric matrix $T T^t$ is diagonalizable and has nonnegative eigenvalues, multiplicity counted, $\lambda = (\lambda_1, \dots, \lambda_m) : \lambda_1 \geq \lambda_2 \geq \dots \lambda_m \geq 0$. We define the S_p -norm (similar to l^p -norm) as

$$\|T\|_p = \|\lambda\|_{l^p}$$

Prove that $\|T\|_\infty$ is the matrix-norm of $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$ and $\|T\|_2$ is a Hilbert-space norm, i.e. obtained from an inner product. (All $\|T\|_p$ are also norms, $1 \leq p \leq \infty$, but requires some effort to prove.)

4. * Let $c > 0$ and T be the following matrix

$$T = \begin{bmatrix} 1 & c \\ 0 & 1 \end{bmatrix}.$$

- (1) Find the norm of T as a map $T : (\mathbb{R}^2, \|\cdot\|_2) \rightarrow (\mathbb{R}^2, \|\cdot\|_p)$, $1 \leq p \leq \infty$. (2) Find the norm $\|T\|$ of $T : (\mathbb{R}^2, \|\cdot\|_\infty) \rightarrow (\mathbb{R}^2, \|\cdot\|_p)$.
5. (Examples of unbounded linear functionals defined on dense subspace of Banach spaces). Consider the subspace D of $l^\infty(\mathbb{N})$ consisting of sequences $x = (x_n)$ with finite supports, i.e., $x_n = 0$ for n sufficiently large (depending on x). Let $\lambda : D \rightarrow \mathbb{R}$, $\lambda(x) = \sum_n x_n$. Prove that (1) D is dense in $l^\infty(\mathbb{N})$ (in particular D is not a Banach space since $D \neq l^\infty(\mathbb{N})$). (2) λ is unbounded on D and can not be extended to $l^\infty(\mathbb{N})$.
6. (Examples of unbounded linear functionals). Most linear functions on a Banach space X (not on a dense subspace) are continuous, i.e bounded. Indeed, it is rather difficult to construct unbounded linear functionals which are defined on the whole space X .
- (1) Use Zorn's lemma (that any partially ordered set has a maximal element) to prove the following claim: On any vector space X there is a linear basis $B = \{b_\alpha\}$, in the sense that any $x \in X$ is a linear combination $x = \sum x_\alpha b_\alpha$ of B (all coefficient being zero except finitely many). (This is also called Hamel's basis.)
- (2) Let $X = l^\infty(\mathbb{N})$. Let $\{e_n\}$ be the "standard basis" vector. Clearly $\{e_n\}$ is not a linear basis of $X = l^\infty(\mathbb{N})$, so there is a Hamel's basis $\{b_\alpha\}$ containing the "standard basis" vectors $\{e_n\}$. Let b_{α_0} be any fixed basis vector not in $\{e_n\}$. Any vector $x \in X$ can be written as $x = \sum_\alpha x_\alpha b_\alpha$, and we define $\lambda : x \mapsto x_{\alpha_0}$. Prove that λ is unbounded.